

Research paper

Implementation of a stress management program in outpatients with type 2 diabetes mellitus: a randomized controlled trial

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ABSTRACT

OBJECTIVE: Although there is scientific evidence that stress adversely affects metabolic control, only a few studies have examined the role of stress management in improving glycemic control in patients with type 2 diabetes mellitus (DM). In this study, we investigated the effect of a relaxation technique on levels of stress and glycemic control. **DESIGN:** A total of 53 patients with type 2 DM were randomly assigned to undergo either an 8-week stress management program, consisting of 10 min of diaphragmatic breathing and 15 min of progressive muscle relaxation twice per day (n=25, intervention group), or not (n=28, control group). Perceived stress, health locus of control and HbA1c were primary outcomes and were measured before and after intervention. **RESULTS:** In the intervention group, perceived stress score (PSS) and HbA1c had decreased significantly ($P<0.05$) by the end of the program. Specifically concerning the PSS, the higher the initial levels of perceived stress the greater the benefit of the intervention. No other significant changes were found. **CONCLUSIONS:** Our results show a beneficial role of stress management for patients with type 2 DM, as regards both stress levels and glycemic control. It is recommended to consider this type of treatment as an adjunct to conventional therapy. We deem that our study could encourage future studies in this area with larger samples, longer duration and more objective measurements.

Key words: Diabetes type 2, HbA1c, Intervention, Perceived stress, RCT, Stress management

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INTRODUCTION

Diabetes mellitus is a heterogeneous group of metabolic diseases characterized by chronic hyperglycemia and metabolic disorders, with type 2 diabetes

being the most common form.¹ Obesity and physical inactivity were identified early on as significant causal factors¹⁻³ but only recently has stress been implicated in its pathology as well. Chronic activation of the hypothalamic pituitary axis (HPA) can lead to severe metabolic consequences, common in visceral obesity and metabolic syndrome.^{4,7} At the same time, diabetes itself can add to the initial stress burden not only due to the actual level of glycemia but also because of the pressure incurred by lifestyle modification and the insulin injection.

Therefore, many studies have tried to assess whether stress management can have a beneficial role in the management of patients with type 2 diabetes. Regarding stress reduction, the few available studies show that cognitive behavioral therapy (CBT),^{8,9} meditation and biofeedback appear to be effective.^{10,11} In terms of improving glycemic control, results have been mixed: some have found a positive effect,⁹⁻¹¹ while others have not.⁸⁻¹²

One of the most popular stress management techniques is progressive muscle relaxation (PMR),¹³ which incorporates both a physical and a mental component. The knowledge of relaxation breathing (RB) is usually required for proper application. According to research data, the combination of this technique (RB-PMR) with others (biofeedback, guided imagery, CBT) has shown a beneficial effect in lowering stress levels,^{8,9,14} though a positive metabolic action has not yet been demonstrated.^{10,12}

In summary, it is clear that the literature is as yet inadequate and the results of the few available studies mixed, especially regarding the effect of this technique on glycemic control. While there is still no systematic review of randomized clinical trials that have used PMR-RB to improve glycemic control in patients with type 2 diabetes, at the same time its combination with other techniques makes it difficult to draw conclusions about its effectiveness.

The primary aim of this study is to add to the literature by investigating the effects of RB-PMR on HbA1c, perceived stress (PSS) and health locus of control (HLC subscales). Secondary endpoints include the identification of changes in stress related symptoms, saliva cortisol, a putative dose-response effect, stress predictors and factors affecting compliance.

SUBJECTS AND METHODOLOGY

This was a parallel group, randomized, controlled trial with an almost 1:1 allocation ratio of treatment or non-treatment groups and 8 weeks of follow-up. After trial commencement there were a few deviations from original protocol (e.g. exclusion criteria, patient contact frequency).

The study was conducted in cooperation with the outpatient Diabetes Clinic of Laiko General Hospital in Athens, Greece, between November 2011 and July 2012. Recruitment was performed on the same days twice per week, from November 2011 till May 2012. All participants were fully informed about the purposes of the study and provided written consent. The study had the approval of the Scientific Committee of our hospital. Meetings with patients were one-on-one and were held in a specific area ceded to the researcher after an agreement between the Diabetes and Dietetics Departments. Eligible participants were all patients diagnosed with type 2 diabetes according to the criteria of the American Diabetes Association, who lived in Athens, were aged 30-75 years and were under the conventional treatment (diet, exercise, antidiabetic drugs and insulin). Exclusion criteria included diagnosis of type 1 diabetes mellitus, current neuroleptic or psycho-stimulant medication or psychiatric treatment, the use of corticosteroids within the last month, practice of other relaxation technique(s), significant acute or chronic concomitant disease, serious diabetes complications (e.g. severe visual impairment), end-stage renal disease and amputation, poorly controlled diabetes [glycated hemoglobin A1c (HbA1c)] >8.5%, (>68mmol/mol)], pregnancy, breastfeeding and incompetence at reading or writing in Greek. After enrollment, participants who changed their type or dose of diabetes medication or insulin or experienced an objectively severe stressful event (one or more of the first five events of the Social Readjustment Rating Scale) were also excluded from the analysis to avoid bias.

After an initial screening of each patient's file information, they were contacted and informed about the study. For those patients who declined to participate, the reasons were recorded. Patients who were interested to learn more were invited to the hospital for the first meeting so as to confirm eligibility and

describe study requirements. Eligible participants were randomly assigned to either the intervention or the control group. A simple randomization procedure (random numbers generated by an online generator, www.random.org) was used. Randomization, baseline and final measurements were not blinded. On the other hand, data analysis was blinded. All information (e.g. effects of stress on health, instructions for saliva cortisol sample and questionnaires) were provided in an identical verbal and written manner. When the first questionnaires had been completed, HbA1c was measured and a saliva cortisol sample was taken at the second meeting. In the treatment group, RB-PMR was provided by an audio CD comprising 10 min of DB (deep breathing) and 15 min of PMR. Practice of some exercises and explanation of the RB-PMR philosophy and health benefits followed. All patient questions were answered. Patients were encouraged to focus on the difference between stress and relaxation through different muscle groups so as to increase their perception of relaxation response.¹³ In addition, they were asked to practice the technique twice a day for 8 weeks and keep a diary. When the technique was not performed, reasons were recorded. To measure and enhance compliance and eliminate dropouts, participants in the intervention group were contacted on a weekly basis. During the telephone communication, patients were asked to report on any problems when practicing RB-PMR and the principal sources of stress during the last week as well as to make general comments, all of which were recorded. No counseling was provided during the telephone communication. At the end of the intervention 8 weeks later, the final questionnaires and cortisol samples were returned and HbA1c was measured. Finally, the control group continued with the usual care of the hospital department (physician and dietitian meeting) and at the end of the intervention participants were rewarded with a relaxation CD.

Baseline and outcome measures included the following:

Sociodemographic characteristics (sex, age, marital status, educational level (years of education), place of residence (now and in the past), job schedule and self-reported financial status.

Medical history: Diabetes duration, type and dose

of medication or insulin, occurrence of hyperlipidemia, hypertension or thyroid disease, other serious health problems, smoking status.

Lifestyle disease related factors: Body mass index (BMI), waist-to-hip ratio (WHR), physical activity status (type, frequency, intensity), adherence to the Mediterranean diet using the MedDietScore.¹⁵

Stress levels: The Perceived Stress Scale is a measure of the degree to which an individual's situations in life are appraised as stressful.¹⁶ Questions are designed in such a way that a person may evaluate how unpredictable, uncontrollable and overloaded he perceives his life to be. At the same time, there are questions that evaluate the levels of experienced stress directly. Participants were also asked about stress symptoms, both physical and psychological, through a specific list. Finally, the Social Readjustment Rating Scale (SRRS),¹⁷ consisting of 43 life events which are considered stressful, was completed. Each event has a numerical value. As mentioned above, the scale was used as a criterion for exclusion from the statistical analysis for patients who had experienced one of the first five listed events over the past 2 months.

Health Locus of Control (HLC subscales)¹⁸ validated in Greek.¹⁹ Individuals express their degree of agreement to 18 proposals on a 6-grade Likert scale. The scale consists of three subscales, independent of each other. The internal subscale reflects the degree to which a person believes that he/she is responsible for his/her health. The external subscale and the luck-related subscale represents the extent to which other people (e.g. doctors, family) or luck, respectively, are perceived as the main determinants of health. The higher the score on each subscale, the stronger the belief in that determinant.

Laboratory data: HbA1c, an indicator of metabolic control for the past 3 months, was measured with high pressure liquid chromatography (HPLC). Saliva cortisol samples were also taken to determine stress levels. The secretion of cortisol is pulsed (about 15 beats a day) and its concentration in the blood fluctuates daily. The highest level occurs 30-45 min after awakening and is noted on the curve as CAR (Cortisol Awakening Response). Subsequently, a gradual decline can be observed until the evening, this reduction rate being described as the daily slope

(diurnal slope). The average daily concentration of cortisol throughout the day is described by the area under the curve (Area Under the Curve-AUC). In this study we used the shortest protocol, which requires three measurements per day and has the largest application and reliability. The measurements were made immediately after awakening, and 45 minutes and 12 hours later, respectively (e.g. 08:00, 08:45 and 20:00).

For sample size calculation, we assumed a large attrition rate of 30% by week 8 during the intervention phase, primarily due to increased daily requirements. Therefore, we had anticipated needing at baseline 74 subjects (52 after dropouts) with type 2 diabetes to detect large effect sizes (effect size of 0.8 SD) with at least 80% power (statistical significance level $\alpha=0.05$, allocation ratio almost 1:1). Following enrollment, the dropout exclusion rate at the stage of analysis was 28%, yielding a final sample of 53 patients.

For the presentation of the research data, the means \pm standard deviation was used for continuous variables as well as the absolute and proportion frequencies for categorical variables. For group comparisons, Student's t-test and the Mann-Whitney U-test were used, according to normality (assessed by Q-Q plots, histograms and the Kolmogorov-Smirnov or Shapiro-Wilk test), for continuous variables and the Chi-squared test for qualitative variables. Next, a modified per-protocol analysis was chosen because it contains less error compared to intention-to-treat analysis. Specifically, dropout reasons were about the same between the two groups and patients that were excluded from the analysis by the investigator reported severe stressors, which would have introduced bias.

Finally, in terms of statistical analysis, changes in HbA1c, PSS, HLC1, HLC2 and HLC3 scores were used as primary outcomes. To detect differences between groups, one-way analysis of covariance (ANCOVA) was used. All assumptions were checked. For PSS, HLC1, HLC2 and HLC3, the baseline values were used as covariates. For HbA1c, age, gender and baseline HbA1c were considered the most important covariates. PSS was not entered into the model due to moderate correlations with age (Spearman's $\rho=-0.294$, $p=0.036$) and sex (Spearman's $\rho=0.312$, $p=0.026$), which induces undesir-

able colinearity. Effect sizes were calculated for each variable using Cohen's d . Generally, the effect sizes of 0.8, 0.5 and 0.2 are considered as large, medium and small, respectively. Secondary endpoints (changes in symptoms perception, dose-response effect, factors affecting compliance with the technique, saliva cortisol levels) were addressed with simple Spearman's ρ correlation tests and the parametric Student's t-test or non-parametric Mann-Whitney test, for numerical-by-numerical and numerical-by-nominal comparisons, respectively. The level of statistical significance was 5%. Statistical analysis was performed using SPSS for Windows (version 18.0.3) statistical software (SPSS Inc., Chicago, IL).

RESULTS

The study was conducted in 53 patients with type 2 diabetes referred to the outpatients' Diabetes Clinic. The flowchart of the participants is displayed in Figure 1.

Table 1 shows the distribution of the sociodemographic, clinical and lifestyle characteristics of the participants. For categorical variables, absolute and relative frequencies (n, %) are used. For quantitative values, means or medians and standard deviations, depending on normal distribution assumption, are computed, respectively. Most of the participants were over 60, without a partner, overweight or obese, physically active, of moderate socioeconomic status, and none were current smokers. The majority took tablets rather than insulin. The mean baseline of PSS, HLC, cortisol levels and HbA1c are also presented. There were no significant baseline differences between the two study groups ($p > 0.05$).

A significant decrease in PSS was observed in the intervention group with moderate effect size (Cohen's $d=0.71$, $p=0.011$), after controlling for baseline PSS and interaction with the group (Table 2). After a visual graph assessment (Figure 2), it was apparent that the higher the initial levels of perceived stress, the greater the benefit of the technique for PSS scores >16 . For PSS <16 , there were not sufficient subjects to detect any potential relationship and this may be the reason for the interaction. As for HbA1c, moderate reduction with medium effect size (Cohen's $d=0.73$, $p=0.015$) was observed after adjustment for

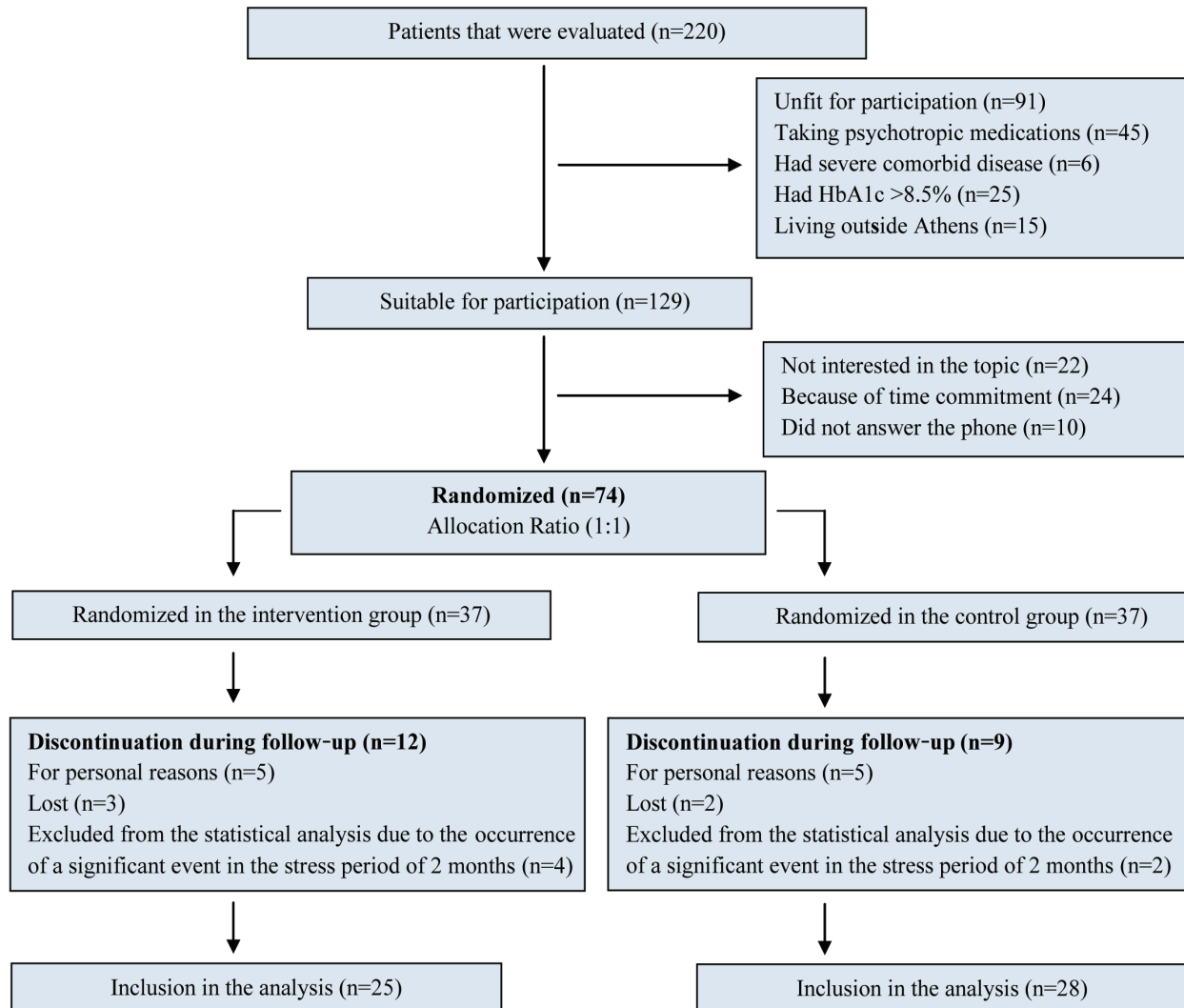


Figure 1. Flowchart of participants.

age, gender, baseline HbA1c levels and age by group interaction. The interaction indicates that the mean HbA1c differences depended on patients' age. As depicted in Table 2, the mean HbA1c change was calculated for patients of the same sex, aged 61.8 years and baseline HbA1c 6.7%. A further analysis was conducted, adding socioeconomic status as a covariate, and HbA1c reduction remained statistically significant ($p=0.029$) (data not shown). For HLC subscales, small non-significant differences were observed after controlling for baseline values and interactions between baseline levels and group.

Regarding secondary endpoints, a decrease in the average stress symptoms score was observed as well

as in physical and psychological symptoms subscores. However, only the physical symptoms score mean change was significant ($\Delta\text{Phys score} = -3.25 \pm 6.11$, $p=0.023$).

Next, cortisol profiles in the whole sample and in each group separately before and after the intervention were studied. At the beginning of treatment, the cortisol profile was not consistent with the normal daily variation (circadian pattern) in the whole sample and the intervention group. Post intervention, cortisol followed the familiar pattern of variation, with higher values seen in the morning and 30-45 minutes after the alarm in both groups. However, no statistically significant difference was recorded.

Table 1. Baseline sample characteristics

	Intervention group	Control group	<i>p</i>
N (%)	25 (47)	28 (53)	
Age (years)	60.52 (\pm 6.73)	63.00 (\pm 8.00)	0.230
Male sex, N (%)	17 (32)	28 (53)	0.999
Married, N (%)	18 (34)	24 (45)	0.313
Socioeconomic level*			0.069
- low	4 (16.7)	13 (48.1)	
- moderate	16 (66.7)	13 (48.1)	
- high	4 (16.7)	1 (3.7)	
Current smoking, N (%)	3 (12)	6 (21.4)	0.353
MedDietScore	35.72 (\pm 5.24)	35.57 (\pm 3.76)	0.905
Physical activity frequency			0.290
- Never	3 (12)	8 (28.6)	
- Rare	1 (4)	3 (10.7)	
- 1-2 times/week	5 (20)	3 (10.7)	
- >3 times/week	16 (64)	14 (50)	
Body mass index (kg/cm ²) ¹	29.3 (\pm 7.1)	31.9 (\pm 6.3)	0.178
Duration of diabetes (official diagnosis) (years) ¹	3.4 (\pm 7.4)	8 (\pm 6.4)	0.538
Antidiabetic tablets, N (%)	19 (76)	24 (85.7)	0.451
Insulin treated, N (%)	8 (32)	10 (35.7)	0.776
Family history of diabetes	18 (34)	21 (40)	0.999
PSS score	27.21 (\pm 8.02)	24.67 (\pm 9.03)	0.296
Health locus of control			
- HLC-1 score ¹	28.96 (\pm 5.27)	28.12 (\pm 5.83)	0.844
- HLC-2 score ¹	17.21 (\pm 7.93)	18.42 (\pm 7.17)	0.412
- HLC-3 score ¹	27.58 (\pm 6.12)	27.65 (\pm 6.38)	0.992
Cortisol levels (mg/dl)			
- After awakening ¹	0.71 (\pm 0.30)	0.62 (\pm 0.41)	0.831
- 45 minutes later ¹	0.56 (\pm 0.29)	0.59 (\pm 0.37)	0.915
- 12 hours later ¹	0.25 (\pm 0.13)	0.26 (\pm 0.12)	0.972
- CAR* ¹	-0.012 (\pm 0.299)	0.048 (\pm 0.349)	0.599
- Slope ¹	-0.028 (\pm 0.023)	-0.023 (\pm 0.031)	0.755
- AUC ¹	4.96 (\pm 1.8)	4.64 (\pm 2.7)	0.929
HbA1c (%) / (mmol/mol)	6.51 (\pm 0.63) / 52 (\pm 5)	6.86 (\pm 0.66) / 55 (\pm 5)	0.061

PSS: Perceived Stress Scale, HLC: Health Locus of Control (1=internal, 2=luck, 3=external), HbA1c: glycosylated hemoglobin A1c, CAR: Cortisol Awakening Response, AUC: Area Under the Curve.

*The socioeconomic level was created as a variable from the responses of individuals as to whether household income meets their needs (not at all, slightly, moderately, considerably or greatly).

¹These quantitative variables do not follow the normal distribution in at least one of the comparison groups. For these variables, data are presented as medians \pm SDs, and the Mann-Whitney U-test was applied. For the remaining quantitative variables, means \pm SD and Student's t-test were used, whereas for categorical variables Pearson's chi-square or Fisher's exact test was used.

Spearman's correlations of times of practice and primary outcomes were not significant (Spearman's rho = 0.123 for Δ PSS, 0.085 for Δ HbA1c, 0.194

for Δ HLC1, 0.245 for Δ HLC2, 0.166 for Δ HLC3). Thus, no dose-response relationship was observed. As far as compliance with treatment is concerned,

Table 2. Adjusted mean changes (\pm SD) of primary outcomes by study groups and effect sizes

Outcome	Intervention group (n = 25)	Control group (n = 28)	p	Effect size (Cohen's d)
(D) PSS \pm PLACE	-2.6 (\pm 1.1)	1.3 (\pm 1.1)	0.011*	0.71
(D) HLC1 \pm SD Internal	-10.7 (\pm 1.77)	-8.78 (\pm 1.70)	0.448	0.21
(D) HLC2 \pm SD Chance	0.59 (\pm 1.25)	1.45 (\pm 1.20)	0.511	0.14
(D) HLC3 \pm SD Powerful others	-0.14 (\pm 0.709)	0.01 (\pm 0.681)	0.883	0.04
(D) HbA1c \pm SE	-0.23 (\pm 0.10)	0.11 (\pm 0.09)	0.015 *	0.73

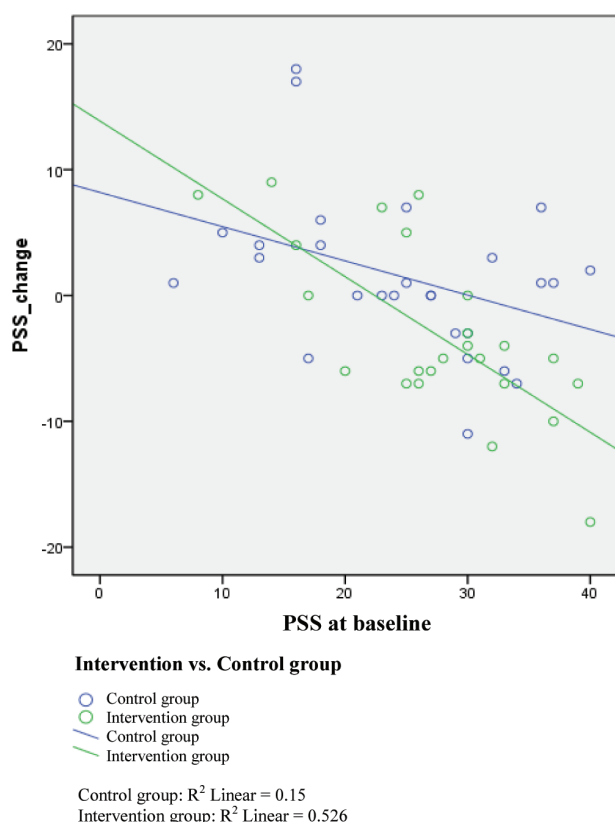


Figure 2. Correlation between changes in Perceived Stress Score between the two study groups. Patients with higher baseline stress levels practicing RB-PMR for 8 weeks showed a larger reduction in PSS.

only individuals with increased BMI (Spearman's rho=0.425, p=0.035) and shorter disease duration (Spearman's rho=-0.463, p=0.034) were linearly associated with better compliance with relaxation treatment instructions.

Finally, it was examined whether certain variables (age, sex, level of education, use of insulin, diabetes history, socioeconomic level, number of children, body mass index, HbA1c, duration of diabetes mel-

litus, occupation, smoking habits) were associated with greater levels of stress. We found that men (Spearman's rho=-0.312, p=0.026) and patients of higher socioeconomic status Spearman's rho= -0.314, p=0.025) experienced less stress in relation to women and people of lower socioeconomic level, respectively.

DISCUSSION

This randomized clinical trial was conducted to evaluate the effect of stress management through an 8-week relaxation program. Our results can be summarized as the following: RB-PMR significantly reduced perceived stress and glycosylated hemoglobin with medium effect sizes recorded (Cohen's d=0.71 and 0.67, respectively). In addition, higher baseline perceived stress was associated with greater PSS decrease. The three HLC subscales did not change significantly. Regarding stress symptoms, the physical, but not psychological, symptoms score decreased in the intervention group with moderate effect size (Cohen's d=0.66). In addition, patients with diabetes in the intervention group practiced the relaxation technique 0.8 times per day. Patients with a higher BMI and the most recent diabetes diagnosis showed better compliance with the program. However, no dose-response relationship between times of practice and primary outcomes was noted. Furthermore, female gender and low socioeconomic level were linearly associated with higher perceived stress at the beginning of the intervention. Finally, the baseline cortisol profile was blunted in the intervention group; after intervention, the cortisol profile was normal in both groups. Mean cortisol changes were not statistically significant.

Regarding the effect of RB-PMR on stress levels in patients with type 2 diabetes, there are no recent research data. However, previous studies suggest

that this technique, when combined with biofeedback and CBT, has beneficial effects on stress and anxiety levels.⁸⁻¹⁴ On the other hand, when provided along with guided imagery it does not seem to offer similar benefits.¹² Perceived stress reduction is likely to be mediated by the short-term effects of RB-PMR, such as a reduced perception of pain, a pleasant mental status, stimulation of the parasympathetic system or a temperature increase.²⁰ Long-term application has been associated with a decrease in cortisol saliva, generalized anxiety,²¹ blood pressure,^{21,22} heart rate⁴⁰ and headaches.²³

As far as the benefits of the technique in glycemic control are concerned, few studies have used this technique in individual sessions. Therefore, a “side by side” comparison with our own study is difficult. In agreement with our study, Surwit et al found that PMR in combination with CBT and diabetes education during five group sessions can reduce HbA1c by 0.5% (4mmol/mol).⁹ However, a previous study that used PMR and CBT during six group sessions did not find a statistically significant reduction in HbA1c.⁸ In another intervention in African women with type 2 MD, PMR was found to offer benefits similar to those of physical activity.²⁴ Finally, some studies suggest that any benefits regarding glycemic control may not be detectable until after one year of intervention,⁹ or they may be restricted to specific groups of patients (i.e. those with elevated baseline anxiety, neuroticism, etc).^{12,25} In our study we did not test this particular case.

The effect of stress on metabolic activity has been thoroughly examined. Chronic activation of the HPA axis induces the release of counter regulatory hormones (glucagon, epinephrine, cortisol), which affect the metabolic actions of insulin and lead to hyperglycemia.^{26,27} Moreover, cortisol, accompanied by an energy surplus, promotes mainly visceral obesity, which contributes to insulin resistance.²⁷ Another mechanism implicates inflammation. Stress triggers an acute phase inflammatory response, a key component of the immune response, which is mediated by macrophages, the liver, adipose tissue, etc. and leads to cytokines overproduction (IL-1, IL-6, TNF-a).⁴³ Finally, stress induces ischemia of the gastrointestinal tract, leading to increased intestinal permeability and endotoxemia, which aggravates existing inflammation.^{28,29}

Regarding secondary outcomes, relief of physical symptoms can selectively be attributed to the nature of the technique, which is more muscle-oriented and includes no cognitive or behavioral elements.³⁰ Furthermore, in agreement with other studies, female gender^{31,32} and low socioeconomic status³³ were associated with higher PSS levels at the beginning of the intervention. Finally, the initially blunt cortisol profile in the intervention group is consistent with the findings of Bruehl et al.³⁴ However, this condition did not last till the end of the intervention and changes in mean cortisol were not statistically significant.

It is acknowledged that this study has a number of limitations. First, our primary outcomes, PSS and HLC, were based on self-reports as opposed to clinical and/or laboratory assessments. Especially regarding PSS, there are a number of potential confounders (e.g. personality, psychopathology, beliefs, values and the current mood of the respondents) that can seriously modify the results.³⁵ Furthermore, most secondary outcomes, like symptoms of stress, were based on non-validated questionnaires, which can introduce information bias as they may not measure what they are created for and disregard important elements of the population for which they are designed. In addition, the intervention group generally showed greater consistency to questionnaire instructions and were more prone to improve final results (e.g. misjudge stress symptoms, perceived stress). The lack of blindness and the inability to confirm compliance aside from the diary entries should not be ignored. Another important point is the fact that the study duration was based on the technique protocol (8 weeks). However, HbA1c is commonly tracked after a 12-week interval, so it is possible that the actual HbA1c change is not entirely depicted at week 8. Finally, there are no clinically meaningful cut-offs for perceived stress and secondary outcomes, thus the extrapolation of our results to clinical practice warrants caution. For this reason, sample size calculation was based solely on detecting high effect sizes.

The generalization of our results is limited to outpatients with type 2 diabetes without acute or severe coexisting disease, who live in an urban area and follow typical diabetes treatment. There are many reasons why this group of patients was chosen. Firstly, the technique requires the use of muscle groups; there-

fore, patients with limited motor activity (i.e. patients with peripheral neuropathy or vascular disease) were excluded. Secondly, only patients following a stable treatment plan were included (mean disease duration 8 years). By that time, current treatment (diet, medication) will have conferred its benefits, thus, the addition of a supplementary treatment is rational. On the other hand, patients with newly diagnosed diabetes were excluded from the study due to frequent medication or insulin adjustments.

Overall, this study provides additional evidence of the benefits of stress management for patients with type 2 diabetes on both physical and psychological levels. Further research with larger samples, longer duration and more objective outcomes are necessary to assess the utility of relaxation techniques. We deem that the implementation of simple techniques, such as RB-PMR, characterized by low cost, small time requirements and feasibility, should be considered a cost-effective, non-pharmaceutical adjunct treatment for patients with type 2 diabetes in everyday clinical practice.

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CONFLICT OF INTEREST

None declared.

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Research paper**Non-alcoholic fatty liver disease in women with polycystic ovary syndrome: assessment of non-invasive indices predicting hepatic steatosis and fibrosis**

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ABSTRACT

OBJECTIVE: Insulin resistance contributes to the pathogenesis of both polycystic ovary syndrome (PCOS) and non-alcoholic fatty liver disease (NAFLD). The main aim of the present study was the evaluation of non-invasive indices of hepatic steatosis and fibrosis in PCOS women with or without metabolic syndrome (MetS). **DESIGN:** In this cross-sectional study, three non-invasive indices for hepatic steatosis [NAFLD liver fat score, lipid accumulation product (LAP) and hepatic steatosis index (HIS)] and four for fibrosis [FIB-4, aspartate aminotransferase (AST)-to-Platelet Ratio Index (APRI), body mass index (BMI)-Age-Alanine aminotransferase (ALT)-Triglycerides (BAAT) and BMI AST/ALT Ratio Diabetes (BARD)] were calculated in 314 PCOS women (77 with, 237 without MetS) and 78 controls. **RESULTS:** All steatosis indices were significantly higher in the PCOS than the control group (NAFLD liver fat score: -0.139 ± 0.117 vs. -0.976 ± 0.159 , $p < 0.001$; LAP: 43.3 ± 1.9 vs. 34.7 ± 3.1 , $p = 0.036$; HIS: 44.6 ± 0.5 vs. 42.1 ± 0.8 , $p = 0.016$). FIB-4 and BAAT [fibrosis stage (F)2-4] were higher in the PCOS group (0.480 ± 0.020 vs. 0.400 ± 0.013 , $p < 0.001$; and 15.6% vs. 5.1%, respectively), whereas APRI and BARD were not. All steatosis indices were significantly higher in PCOS women with than without MetS (NAFLD liver fat score: 1.874 ± 0.258 vs. -0.793 ± 0.099 , $p < 0.001$; LAP: 76.8 ± 4.9 vs. 33.4 ± 1.4 , $p < 0.001$; and HIS: 49.8 ± 1 vs. 43 ± 0.5 , $p < 0.001$). Of the fibrosis indices, only BAAT (F2-4: 50.6% vs. 4.2%) was higher in PCOS women with MetS. **CONCLUSIONS:** Non-invasive indices of hepatic steatosis were significantly higher in PCOS, especially in the presence of MetS, whereas indices of hepatic fibrosis yielded controversial results. Further studies are warranted to evaluate the long-term outcomes of hepatic steatosis and fibrosis indices in PCOS women.

Key words: APRI, FIB-4, Hepatic steatosis index, Insulin resistance, Lipid accumulation product, Metabolic syndrome, NAFLD liver fat score, Steatosis

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INTRODUCTION

Polycystic ovary syndrome (PCOS) is the commonest endocrine disorder in women of reproductive age,¹ its prevalence having been reported to be up to 18% in accordance with the ESHRE/ASRM criteria.² Multiple metabolic aberrations, such as insulin resistance (IR) and hyperinsulinemia, visceral obesity, inflammation and endothelial dysfunction, hypertension, dyslipidemia, high incidence of impaired glucose tolerance and a lifetime risk of type 2 diabetes mellitus (T2DM) and cardiovascular diseases are associated with the syndrome.³

Non-alcoholic fatty liver disease (NAFLD), the commonest hepatic disorder and the leading cause of cryptogenic cirrhosis in Western countries, constitutes a major, global, public health problem.⁴ Its prevalence has been reported to be 10-46% and 6-35% in the US and the rest of the world, respectively,⁵ and it increases mortality mainly due to hepatic and cardiovascular disease.⁶

Although the pathogenesis of either disorder has not yet been fully elucidated, IR has been implicated, at least partly, in the pathogenesis of both diseases.^{2,7-8} IR has been proposed as the common pathogenetic mechanism in conditions clustered under the term of metabolic syndrome (MetS), including obesity, T2DM, dyslipidemia, hypertension, PCOS and NAFLD, all of which increase the risk for the abovementioned cardiovascular diseases and mortality, the endpoints of MetS.⁸ Based on the observation that IR is the common underlying factor, studies evaluating the association between PCOS and NAFLD are increasing and are systematically summarized elsewhere.⁹ It seems that women with PCOS are possibly at risk for developing NAFLD and, conversely, NAFLD may be a risk factor for PCOS.⁹ However, the majority of relevant studies to date are not based on liver biopsy, regarded as the gold standard for the diagnosis of NAFLD,¹⁰ because it raises obvious ethical issues. Therefore, the use of non-invasive indices of hepatic injury is gaining increasing interest, as they offer an estimation of NAFLD stage and grade and thus may serve as accurate tools, at least for selection of patients for liver biopsy.¹¹

The primary endpoints of this study were the evaluation of non-invasive indices of hepatic steatosis and

fibrosis in women: a) with PCOS vs. without PCOS; and b) with PCOS associated or not with MetS. The secondary endpoint of this study was the association of non-invasive indices of hepatic steatosis and fibrosis with variables related to sex hormones or IR.

PATIENTS AND METHODS

Patients

This was a one-center, cross-sectional study. Data were prospectively collected based on protocols of previous studies,^{7,12-13} but retrospectively reviewed for this study. Premenopausal women with PCOS and apparently healthy female controls were recruited on an outpatient basis at the Gynecological Endocrinology Infirmary of the Second Department of Obstetrics and Gynecology, Aristotle University of Thessaloniki, Greece. Women with PCOS were referred to the Gynecological Endocrinology Infirmary for diagnostic evaluation and/or treatment; controls were healthy volunteers. All participants provided informed consent. The study protocol conformed to the ethical guidelines of the 1975 Declaration of Helsinki and was approved by the institutional review board.

The inclusion criteria for the PCOS group were based on the revised criteria of ESHRE/ASRM, which require the presence of at least two of the following three features: 1) oligo- or anovulation (<8 spontaneous hemorrhagic episodes/year); 2) biochemical hyperandrogenemia [defined in our population as early follicular phase serum total testosterone >2.1 nmol/L, corresponding to the mean + 2 standard deviations (SD) testosterone concentrations in 200 control subjects measured in our laboratory] or clinical manifestations of hyperandrogenemia; and 3) polycystic ovary morphology on ultrasound (≥ 12 small follicles in at least one ovary and/or ovarian volume >10 cm³).² According to this definition, PCOS can be subdivided into four different phenotypes of PCOS: 1) severe PCOS (when all three criteria are included); 2) oligo- or anovulation and hyperandrogenemia; 3) ovulatory PCOS (hyperandrogenemia and polycystic ovary morphology); and 4) mild PCOS (oligo- or anovulation and polycystic ovary morphology).¹

The PCOS group was further subdivided based on the presence of MetS according to the definitions proposed by the International Diabetes Federation

(IDF). The reason for choosing this definition was that the same definition was applied for the estimation of the NAFLD liver fat score, which is one of the non-invasive indices used in this study.

Exclusion criteria for both PCOS and control groups were: 1) congenital adrenal hyperplasia (a short Synacthen test was performed in all women with basal serum 17α -hydroxyprogesterone concentrations >1.5 ng/mL); 2) Cushing's syndrome; 3) galactorrhea; 4) androgen-secreting tumors; 5) ethanol consumption >20 g/day; 6) history of liver cirrhosis or other liver disease (viral hepatitis, autoimmune hepatitis, primary sclerosing cholangitis, primary biliary cirrhosis, drug-induced liver disease, hemochromatosis, Wilson's disease, α 1-antitrypsin deficiency); 7) type 1 diabetes mellitus; 8) uncontrolled hypothyroidism or hyperthyroidism; 9) adrenal insufficiency; 10) renal failure; 11) cancer; 12) pregnancy; 13) premature ovarian failure; 13) addiction to any drug; 14) use of the following medications within the last semester before screening: estrogens, androgens, anti-androgens, progestins, glucocorticosteroids, spironolactone, insulin, thiazolidinediones, ursodeoxycholic acid, ferrum, interferon, tamoxifene, amiodarone, biologic agents, any medication against tuberculosis, epilepsy or viruses, or any medication affecting hemostasis, such as antiplatelet agents or oral anticoagulants.

Methods

In all women, weight, height, waist circumference (WC) and hip circumference (HC) were measured. Baseline blood samples were collected after an overnight fast between days 3 and 7 of the menstrual cycle in the control group and after a spontaneous bleeding episode in the PCOS group. The serum concentrations of follicle stimulating hormone (FSH), luteinizing hormone (LH), prolactin, total testosterone, Δ_4 -androstenedione, dehydroepiandrosterone-sulphate (DHEAS), sex hormone-binding globulin (SHBG), insulin, glucose, total cholesterol, high-density lipoprotein cholesterol (HDL-C), triglycerides, uric acid, aspartate transaminase (AST), alanine transaminase (ALT), gamma-glutamyl transferase (GGT), alkaline phosphatase (ALP) and platelets count were measured. The methodology used for serum measurements has been previously described in detail.¹³ The same experienced sonographer performed transvaginal

ultrasonography on the same day as the blood was drawn; the volume and the number of small follicles (measuring 2–9 mm in diameter) of each ovary were determined.

The diagnoses of T2DM or impaired fasting glucose (IFG) were based on the American Diabetes Association (ADA) definition; T2DM: either fasting plasma glucose ≥ 7 mmol/L or 2-h plasma glucose ≥ 11 mmol/L during an oral glucose tolerance test (75 g anhydrous glucose dissolved in water), which was performed in all the participants; IFG: fasting plasma glucose 5.5–6.9 mmol/L.

Body mass index (BMI) was calculated by the formula: body weight (kg)/height² (m²). The waist-to-hip ratio (WHR), LH-to-FSH ratio and AST-to-ALT ratio were also calculated. Low-density lipoprotein cholesterol (LDL-C) was estimated using the Friedewald formula. Free androgen index (FAI) was calculated by the formula: FAI = total testosterone (nmol/L)/SHBG (nmol/L) X 100. IR, β -cell function and insulin sensitivity were quantified by the homeostatic model of assessment (HOMA)-IR, HOMA- β and quantitative insulin sensitivity check index (QUICKI), respectively, by the formulas: HOMA-IR = fasting glucose (mmol/L) x fasting insulin (μ U/mL)/22.5; HOMA- β = $[20 * \text{fasting insulin } (\mu\text{U/mL})]/[\text{fasting glucose (mmol/L)} - 3.5]$; QUICKI = $1/[\log(\text{fasting insulin; } \mu\text{U/mL}) + \log(\text{fasting glucose; mg/dL})]$.

Based on the available data, three non-invasive indices for hepatic steatosis [NAFLD liver fat score,¹⁴ lipid accumulation product (LAP)¹⁵ and hepatic steatosis index 9HIS¹⁶] and four indices for hepatic fibrosis [FIB-4,¹⁷ AST-to-Platelet Ratio Index (APRI),¹⁸ BMI Age ALT Triglycerides (BAAT)¹⁹ and BMI Age ALT Triglycerides (BARD)²⁰] were estimated for all women with PCOS and controls. The required parameters and equations for each of these indices are presented in detail in Table 1.

Statistical Analysis

Continuous data were presented as mean \pm standard error of the mean (SEM). Categorical data were presented as frequencies. The Kolmogorov-Smirnov test was used to check the normality of distributions of continuous variables. The independent sample T-test or Mann-Whitney test was used for between-group

Table 1. Formulas of non-invasive indices for hepatic steatosis and fibrosis used for the study

Non-invasive index	Required parameters	Equation
NAFLD liver fat score	MetS (yes=1/no=0) # T2DM (yes=1/no=0) Fasting serum insulin (mU/L) AST (U/L) AST/ALT (each in U/L)	$-2.89 + 1.18 \times (\text{MetS}) + 0.45 \times (\text{T2DM}) + 0.15 \times (\text{fasting serum insulin}) + 0.04 \times (\text{AST}) - 0.94 \times (\text{AST/ALT})$
LAP	WC (cm) Triglycerides (mmol/L)	For women: $(\text{waist circumference} - 58) \times \text{triglycerides}$
HIS	ALT/AST (each in U/L) BMI (kg/m ²) T2DM (yes=1/no=0) Female (yes=1/no=0)	$8 \times (\text{ALT/AST}) + \text{BMI} + 2 \times (\text{female}) + 2 \times (\text{T2DM})$
FIB-4	Age (years) AST (U/L) ALT (U/L) Platelets (N*10 ³ /μL)	$(\text{Age} \times \text{AST}) / (\text{platelets} \times \text{ALT}^{1/2})$
APRI	AST (U/L) Platelets (N*10 ³ /μL)	$100 \times (\text{AST}/(\text{upper normal limit of AST})) / \text{platelets}$
BAAT	Age ≥50 years (yes=1/no=0) BMI ≥28 kg/m ² (yes=1/no=0) Triglycerides ≥1.7 mmol/L (150 mg/dL) (yes=1/no=0) ALT ≥2 times upper normal limit (yes=1/no=0)	Age + BMI + triglycerides + ALT
BARD	BMI ≥28 kg/m ² (yes=1/no=0) T2DM (yes=1/no=0) AST/ALT ≥0.8 (yes=1/no=0)	BMI + T2DM + 2 × (ALT/AST)

#According to International Diabetes Federation (IDF) definition.

ALT: alanine transaminase; APRI: AST to Platelet Ratio Index; AST: aspartate transaminase; BAAT: BMI Age ALT Triglycerides; BARD: BMI AST/ALT Ratio Diabetes; BMI: body mass index; HIS: hepatic steatosis index; LAP: lipid accumulation product; MetS: metabolic syndrome; NAFLD: non-alcoholic fatty liver disease; T2DM: type 2 diabetes mellitus; WC: waist circumference.

comparisons in cases of two groups of continuous variables. One-way analysis of variance (ANOVA) or the Kruskal-Wallis test (with Bonferroni post-hoc adjustment) was used for between-group comparisons in cases of more than two groups of continuous variables. Analysis of covariance (ANCOVA) was used to adjust between-group comparisons for covariates. The chi-square or Fisher exact test was used for between-group comparisons of categorical variables. Spearman's coefficient (r_s) was used for binary correlations. Statistical analysis was performed with SPSS 21.0 for Macintosh (IBM Corp., Armonk, NY). Significance was set at a level of $p < 0.05$.

RESULTS

Comparison between women with PCOS and controls

Three hundred and fourteen women with PCOS

and 78 controls were included in this study. The PCOS group was divided into: 1) severe ($n=164$); 2) oligo- or anovulation and hyperandrogenemia ($n=89$); 3) ovulatory ($n=30$); and 4) mild PCOS ($n=31$). Comparative data of the study groups are presented in Table 2. The control group was of significantly higher age compared with the PCOS group. As expected, BMI, WC and HC, testosterone, DHEAS, Δ_4 -androstenedione and FAI, LH, LH to FSH ratio were significantly higher, whereas SHBG and FSH were lower in the PCOS compared with the control group. Regarding liver function tests, ALP was significantly higher in the PCOS compared with the control group, whereas AST, ALT, GGT and AST to ALT ratio were similar between groups. Total and HDL-cholesterol were significantly higher in the control group, whereas LDL-C and triglycerides were not different between groups. Interestingly, serum uric acid was significantly higher in the PCOS compared with the control group.

Table 2. Comparative data between PCOS and control group

	Control group	PCOS group	p-value *	Reference range
Women (N)	78	314	-	-
IFG [N (%)]	9 (11.5)	45 (14.3)	0.522	-
T2DM [N (%)]	1 (1.3)	6 (1.9)	0.707	-
MetS [N (%)] #	10 (12.8)	77 (24.5)	0.026	-
Age (years)	33.0 ± 0.5	26.1 ± 0.4	<0.001	-
BMI (kg/m ²)	28.8 ± 0.7	31.7 ± 0.4	0.001	20-25
WC (cm)	87.8 ± 1.6	93.9 ± 0.9	0.002	<80
HC (cm)	108.9 ± 1.3	114.9 ± 0.2	<0.001	na
WHR	0.803 ± 0.008	0.815 ± 0.004	0.201	na
Testosterone (nmol/L)	1.21 ± 0.05	2.54 ± 0.06	<0.001	0.5-2.1
DHEAS (µmol/L)	5.40 ± 0.24	7.86 ± 0.20	<0.001	4.1-10.3
Δ4-androstenedione (nmol/L)	6.49 ± 0.28	9.81 ± 0.24	<0.001	3.5-13.3
SHBG (nmol/L)	60.6 ± 3.6	36.4 ± 1.3	<0.001	30-135
FAI	2.4 ± 0.1	9.7 ± 0.4	<0.001	<5
Prolactin (pmol/L)	517 ± 30	570 ± 17	0.077	130-1260
LH (U/L)	5.9 ± 0.5	7.7 ± 0.3	0.001	2-12.5
FSH (U/L)	6.3 ± 0.2	5.8 ± 0.1	0.040	2.5-10
LH to FSH ratio	1.00 ± 0.09	1.38 ± 0.05	<0.001	na
AST (U/L)	18.7 ± 0.8	20 ± 0.5	0.197	10-31
ALT (U/L)	25.8 ± 1.3	26.9 ± 0.9	0.768	10-34
AST to ALT ratio	0.820 ± 0.035	0.893 ± 0.026	0.180	na
GGT (U/L)	21.5 ± 1.7 (N=52)	20.6 ± 0.8 (N=144)	0.756	0-38
ALP (U/L)	71.3 ± 2.8	76.9 ± 1.2	0.046	30-120
Total cholesterol (mmol/L)	5.26 ± 0.13	4.97 ± 0.05	0.038	<5.2 §
HDL-C (mmol/L)	1.37 ± 0.05	1.29 ± 0.03	0.027	>1.3
LDL-C (mmol/L)	3.37 ± 0.10	3.16 ± 0.05	0.089	<4.1 §
Triglycerides (mmol/L)	1.07 ± 0.06	1.11 ± 0.03	0.867	<1.7
Uric acid (µmol/L)	250 ± 6	286 ± 6	<0.001	155-393
Platelets (N x 10 ³ /µL)	278 ± 9	282 ± 4	0.655	140-400
Glucose (mmol/L)	5.44 ± 0.06	5.49 ± 0.06	0.603	3.3-5.6
Insulin (pmol/L)	82.7 ± 5.6	115.3 ± 4.2	<0.001	42-188
HOMA-IR	2.92 ± 0.21	4.15 ± 0.17	<0.001	na
HOMA-β	125 ± 8	179 ± 8	<0.001	na
QUICKI	0.335 ± 0.003	0.322 ± 0.002	0.001	na
NAFLD liver fat score	-0.976 ± 0.159	-0.139 ± 0.117	<0.001	< -1.413: no steatosis >1.257: steatosis
LAP	34.7 ± 3.1	43.3 ± 1.9	0.036	na
HIS	42.1 ± 0.8	44.6 ± 0.5	0.016	<30: no steatosis >36: steatosis
FIB-4	0.400 ± 0.013	0.480 ± 0.020	<0.001	>1.3: advanced fibrosis
APRI	0.234 ± 0.012	0.241 ± 0.007	0.951	>0.85: advanced fibrosis

Data are presented as mean ± standard error of the mean (SEM) or frequency (percentage).

*Between-groups comparison (independent sample T-test or Mann-Whitney test). #According to International Diabetes Federation (IDF) definition. §For patients without other cardiovascular risk factors.

ALP: alkaline phosphatase; ALT: alanine transaminase; APRI: AST to Platelet Ratio Index; AST: aspartate transaminase; BMI: body mass index; DHEAS: dehydroepiandrosterone sulfate; FAI: free androgen index; FSH: follicle-stimulating hormone; GGT: gamma-glutamyl transferase; HC: hip circumference; HDL-C: high density lipoprotein cholesterol; HIS: hepatic steatosis index; HOMA-IR: homeostatic model of assessment insulin resistance; IFG: impaired fasting glucose; LAP: lipid accumulation product; LDL-C: low density lipoprotein cholesterol; LH: luteinizing hormone; MetS: metabolic syndrome; na: not applicable; NAFLD: non-alcoholic fatty liver disease; PCOS: polycystic ovary syndrome; QUICKI: quantitative insulin sensitivity check index; SHBG: sex hormone-binding protein; T2DM: type 2 diabetes mellitus; WC: waist circumference; WHR: waist to hip ratio.

Regarding IR, insulin, HOMA-IR, HOMA- β and frequency of MetS were significant higher, whereas QUICKI was lower in the PCOS compared with the control group (Table 2).

Regarding non-invasive indices, data for continuous ones are presented in Table 2 and Figure 1 and those for categorical (BAAT and BARD) in Table 3. All indices of hepatic steatosis (NAFLD liver fat score, LAP and HIS) were significantly higher in the PCOS than the control group (Table 2; Figure 1). Regarding hepatic fibrosis, FIB-4 and BAAT were higher in the PCOS group, whereas APRI and BARD were not (Tables 2 and 3). In any case, based on the indices of hepatic fibrosis, only a minority of PCOS women had advanced fibrosis: according to BAAT, there were only two (0.6%) PCOS women at stage 3 and none at stage 4, whereas, according to BARD, only three (1%) PCOS women were at stage 4. By applying the thresholds for advanced fibrosis (F3-F4) in FIB-4 and APRI, two (0.6%) and four (1.3%) PCOS women, respectively, were expected to have advanced fibrosis and no women in the control group.

When patients with mild PCOS (without hyper-

Table 3. Comparative data between PCOS and control group for BAAT and BARD indices

	Control group	PCOS group	p-value*
BAAT			
0-1 [N (%)]	74 (94.9)	265 (84.4)	0.015
2-4 [N (%)]	4 (5.1)	49 (15.6)	
BARD			
0-1 [N (%)]	37 (47.4)	152 (48.4)	0.900
2-4 [N (%)]	41 (52.6)	162 (51.6)	

Data are presented as frequency (percentage).

* Between-groups comparison (chi-square or Fischer exact test). BAAT: BMI Age ALT Triglycerides, BARD: BMI AST/ALT Ratio Diabetes.

androgenemia; n=31) were excluded, the results were essentially unchanged for all indices. Notably, statistical significance did not change when continuous non-invasive indices were adjusted for age (Table 4). FIB-4 was not adjusted for age as the latter was included in its calculation.

Correlations between the continuous non-invasive indices and parameters related to sex hormones and IR are summarized in Table 5.

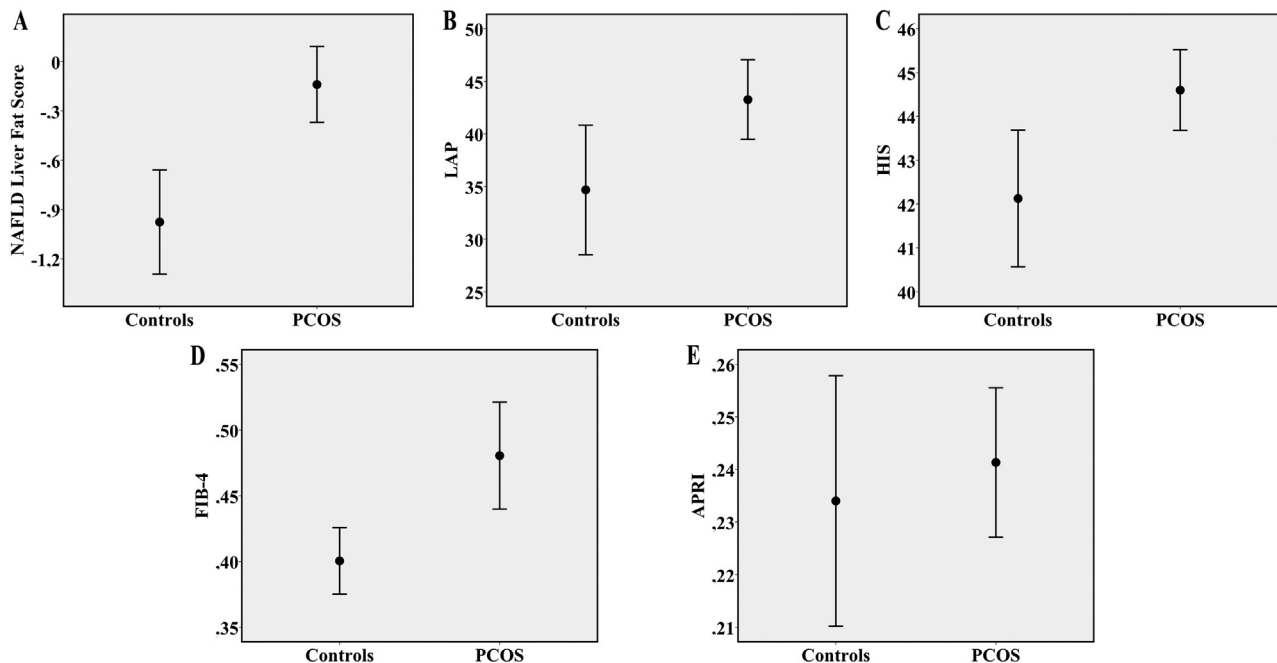


Figure 1. Error bars (mean and 95% Confidence Interval) depicting: (A) NAFLD liver fat score; (B) LAP; (C) HIS; (D) FIB-4 and (E) APRI scores between PCOS women and controls. APRI: AST to Platelet Ratio Index; AST: aspartate transaminase; HIS: hepatic steatosis index; LAP: lipid accumulation product; NAFLD: non-alcoholic fatty liver disease.

Table 4. Comparative data of study groups for non-invasive indices after adjustment for age

	Control group	PCOS group	p-value*
Women (N)	78	314	-
NAFLD liver fat score	-0.927 ± 0.241	-0.151 ± 0.113	0.005
LAP	30.1 ± 4	44.4 ± 1.9	0.002
HIS	41.9 ± 1	44.6 ± 0.5	0.017
APRI	0.224 ± 0.015	0.244 ± 0.007	0.260

Data are estimated marginal mean ± standard error of the mean (SEM) or frequency.

*Between-groups comparison (Analysis of covariance; ANCOVA). APRI: AST to Platelet Ratio Index; AST: aspartate transaminase; HIS: hepatic steatosis index; LAP: lipid accumulation product; NAFLD: non-alcoholic fatty liver disease; PCOS: polycystic ovary syndrome.

Comparison between women with and without MetS

Comparative data between PCOS women with

(n=77) and without (n=237) MetS groups are presented in Table 6. Age was essentially similar between groups. As expected, data regarding anthropometric, metabolic (lipid profile and uric acid), IR and sex hormone (testosterone, SHBG and FAI) parameters were more favorable in PCOS women without MetS.

Regarding the liver function tests, ALT, AST, GGT, but not ALP and AST to ALT ratio, were higher in PCOS women with MetS. All indices of hepatic steatosis (NAFLD liver fat score, LAP and HIS) were significantly higher in PCOS patients with than without MetS (Table 6). Regarding hepatic fibrosis, only BAAT was higher in PCOS patients with MetS, whereas FIB-4, APRI and BARD were similar (Tables 6 and 7). When patients with mild PCOS (without hyperandrogenemia; n=31) were excluded, the results were essentially unchanged for all indices. Notably, statistical significance did not

Table 5. Correlations between non-invasive indices and variables related to sex hormones or insulin resistance

	NAFLD liver fat score	LAP	HIS	FIB-4	APRI
NAFLD liver fat score	-	-	-	-	-
LAP	0.584 (<0.001)	-	-	-	-
HIS	0.594 (<0.001)	0.618 (<0.001)	-	-	-
FIB-4	0.252 (<0.001)	-0.011 (0.825)	0.368 (<0.001)	-	-
APRI	0.060 (0.236)	-0.022 (0.665)	0.092 (0.067)	0.628 (<0.001)	-
Testosterone (nmol/L)	0.217 (<0.001)	0.172 (0.001)	0.151 (0.003)	-0.156 (0.002)	-0.019 (0.701)
DHEAS (µmol/L)	0.048 (0.340)	0.045 (0.372)	0.069 (0.175)	-0.222 (<0.001)	-0.105 (0.037)
Δ4-androstenedione (nmol/L)	0.085 (0.094)	0.041 (0.413)	-0.026 (0.609)	-0.129 (0.010)	0.009 (0.854)
SHBG (nmol/L)	-0.481 (<0.001)	-0.518 (<0.001)	-0.431 (<0.001)	0.273 (<0.001)	0.054 (0.282)
FAI	0.416 (0.001)	0.410 (<0.001)	0.350 (<0.001)	-0.268 (<0.001)	-0.053 (0.298)
LH (U/L)	0.039 (0.441)	-0.071 (0.161)	-0.075 (0.139)	-0.094 (0.063)	0.006 (0.904)
FSH (U/L)	-0.048 (0.347)	-0.092 (0.069)	-0.027 (0.596)	0.040 (0.432)	0.122 (0.016)
LH to FSH ratio	0.074 (0.146)	-0.014 (0.782)	-0.026 (0.602)	-0.140 (0.006)	-0.070 (0.165)
Prolactin (pmol/L)	-0.095 (0.059)	-0.065 (0.211)	-0.104 (0.039)	-0.071 (0.090)	-0.084 (0.096)
Glucose (mmol/L)	0.372 (<0.001)	0.342 (<0.001)	0.283 (<0.001)	0.079 (0.120)	0.122 (0.016)
Insulin (pmol/L)	0.917 (<0.001)	0.557 (<0.001)	0.517 (<0.001)	0.275 (<0.001)	-0.067 (0.186)
HOMA-IR	0.917 (<0.001)	0.571 (<0.001)	0.521 (<0.001)	0.268 (<0.001)	-0.079 (0.118)
HOMA-β	0.747 (<0.001)	0.407 (<0.001)	0.394 (<0.001)	0.243 (<0.001)	-0.010 (0.845)
QUICKI	-0.917 (<0.001)	-0.571 (<0.001)	-0.521 (<0.001)	-0.268 (<0.001)	0.079 (0.117)

Data are presented as Spearman's coefficient of correlation; r_s (p-value).

APRI: AST to Platelet Ratio Index; AST: aspartate transaminase; DHEAS: dehydroepiandrosterone sulfate; FAI: free androgen index; FSH: follicle-stimulating hormone; HIS: hepatic steatosis index; HOMA-IR: homeostatic model of assessment insulin resistance; LAP: lipid accumulation product; LH: luteinizing hormone; QUICKI: quantitative insulin sensitivity check index; NAFLD: non-alcoholic fatty liver disease; SHBG: sex hormone-binding protein.

Table 6. Comparative data between PCOS with and without MetS[#]

	Non-MetS group	MetS group	p-value*
Women [N (%)]	237 (75.5)	77 (24.5)	-
IFG [N (%)]	8 (3.4)	37 (48.1)	<0.001
T2DM [N (%)]	1 (0.4)	5 (6.5)	0.004
Age (years)	25.8 ± 0.4	26.8 ± 0.8	0.287
BMI (kg/m ²)	30.1 ± 0.4	36.8 ± 0.8	<0.001
WC (cm)	90.1 ± 0.9	104.1 ± 1.5	<0.001
HC (cm)	112.3 ± 0.8	122.7 ± 1.4	<0.001
WHR	0.804 ± 0.005	0.848 ± 0.007	<0.001
Testosterone (nmol/L)	2.48 ± 0.07	2.74 ± 0.12	0.016
DHEAS (μmol/L)	7.98 ± 0.24	7.49 ± 0.33	0.646
Δ4-androstenedione (nmol/L)	9.81 ± 0.28	9.81 ± 0.52	0.773
SHBG (nmol/L)	40 ± 1.5	25.6 ± 1.2	<0.001
FAI	8.6 ± 0.5	13 ± 1	<0.001
Prolactin (pmol/L)	587 ± 17	517 ± 26	0.079
LH (U/L)	7.8 ± 0.3	7.3 ± 0.6	0.428
FSH (U/L)	5.9 ± 0.1	5.5 ± 0.2	0.112
LH to FSH ratio	1.38 ± 0.06	1.37 ± 0.10	0.951
AST (U/L)	19.2 ± 0.5	22.2 ± 1.2	0.020
ALT (U/L)	25.8 ± 1	30.2 ± 2.4	0.046
AST to ALT ratio	0.903 ± 0.031	0.859 ± 0.041	0.461
GGT (U/L)	19.7 ± 0.8 (N=125)	26.5 ± 3 (N=19)	0.041
ALP (U/L)	76.8 ± 1.4	76.9 ± 2	0.981
Total cholesterol (mmol/L)	4.92 ± 0.06	5.19 ± 0.13	0.046
HDL-C (mmol/L)	1.38 ± 0.02	1 ± 0.02	<0.001
LDL-C (mmol/L)	3.08 ± 0.06	3.40 ± 0.11	0.012
Triglycerides (mmol/L)	0.94 ± 0.02	1.63 ± 0.08	<0.001
Uric acid (μmol/L)	268 ± 6	321 ± 6	<0.001
Platelets (N x 10 ³ /μL)	275 ± 4	304 ± 8	0.001
Glucose (mmol/L)	5.32 ± 0.03	5.98 ± 0.07	<0.001
Insulin (pmol/L)	100.7 ± 4.2	161.1 ± 11.8	<0.001
HOMA-IR	3.48 ± 0.14	6.22 ± 0.44	<0.001
HOMA-β	173 ± 10	196 ± 14	0.012
QUICKI	0.328 ± 0.002	0.302 ± 0.003	<0.001
NAFLD liver fat score	-0.793 ± 0.099	1.874 ± 0.258	<0.001
LAP	33.4 ± 1.4	76.8 ± 4.9	<0.001
HIS	43 ± 0.5	49.8 ± 1	<0.001
FIB-4	0.400 ± 0.015	0.401 ± 0.024	0.984
APRI	0.239 ± 0.008	0.252 ± 0.016	0.881

Data are presented as mean ± standard error of the mean (SEM) or frequency (percentage).

*Between-groups comparison (independent sample T-test or Mann-Whitney test).

[#]According to International Diabetes Federation (IDF) definition.

ALP: alkaline phosphatase; ALT: alanine transaminase; AST: aspartate transaminase; BMI: body mass index; DHEAS: dehydroepiandrosterone sulfate; FAI: free androgen index; FSH: follicle-stimulating hormone; GGT: gamma-glutamyl transferase; HC: hip circumference; HDL-C: high density lipoprotein cholesterol; HIS: hepatic steatosis index; HOMA-IR: homeostatic model of assessment insulin resistance; IFG: impaired fasting glucose; LAP: lipid accumulation product; LDL-C: low density lipoprotein cholesterol; LH: luteinizing hormone; MetS: metabolic syndrome; QUICKI: quantitative insulin sensitivity check index; NAFLD: non-alcoholic fatty liver disease; PCOS: polycystic ovary syndrome; SHBG: sex hormone-binding protein; T2DM: type 2 diabetes mellitus; WC: waist circumference; WHR: waist to hip ratio.

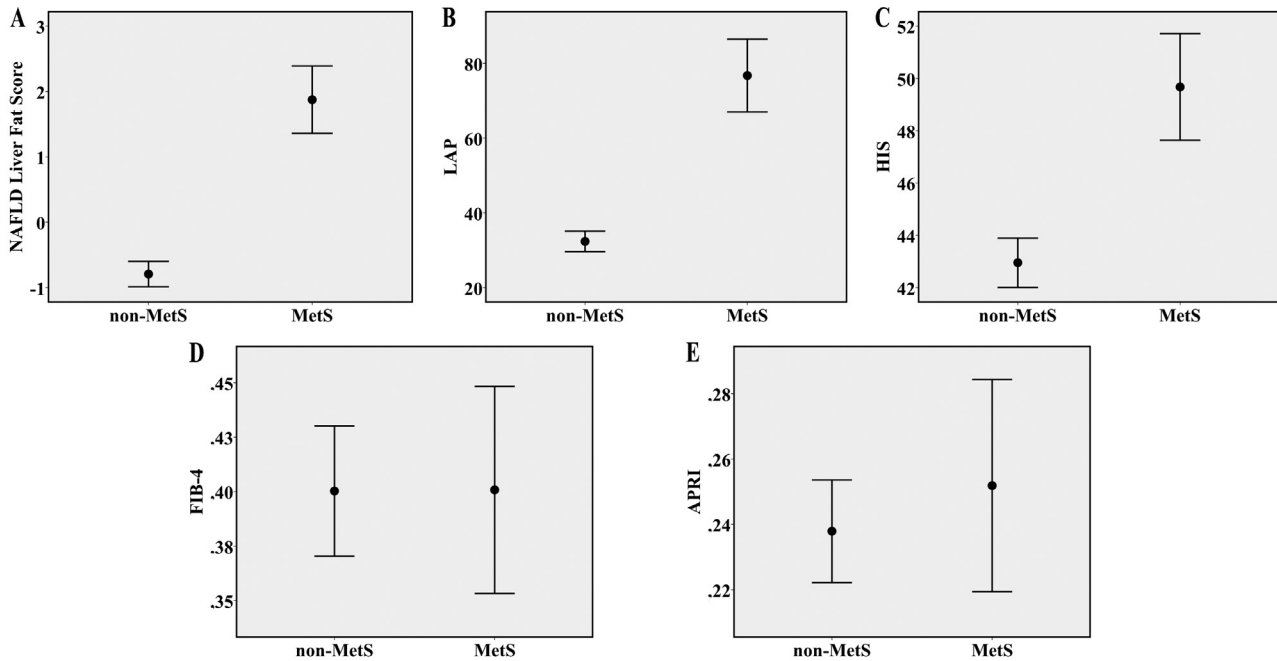


Figure 2. Error bars (mean and 95% Confidence Interval) depicting: (A) NAFLD liver fat score; (B) LAP; (C) HIS; (D) FIB-4 and (E) APRI scores between PCOS patients with and without MetS. APRI: AST to Platelet Ratio Index; AST: aspartate transaminase; HIS: hepatic steatosis index; LAP: lipid accumulation product; MetS: metabolic syndrome; NAFLD: non-alcoholic fatty liver disease.

Table 7. Comparative data between PCOS women with and without MetS group for BAAT and BART indices

	Non-MetS group	MetS group	p-value*
BAAT			
0-1 [N (%)]	227 (95.8)	38 (49.4)	<0.001
2-4 [N (%)]	10 (4.2)	39 (50.6)	
BARD			
0-1 [N (%)]	113 (47.7)	39 (50.6)	0.650
2-4 [N (%)]	124 (50.6)	38 (49.4)	

Data are presented as frequency (percentage)

*Between-groups comparison (chi-square or Fischer exact test)

BAAT: BMI Age ALT Triglycerides; BARD: BMI AST/ALT Ratio Diabetes; MetS: metabolic syndrome.

change when continuous non-invasive indices were adjusted for age (data not shown).

Similarly, when the comparison was performed among four groups [control/non-MetS (n=68) vs. control/MetS (n=10) vs. PCOS/non-Mets (237) vs. PCOS/MetS (n=77)], all indices of hepatic steatosis showed a trend towards higher values when moving towards PCOS and MetS [NAFLD liver fat score: $p < 0.001$; LAP: $p < 0.001$; HIS: $p < 0.001$].

DISCUSSION

To our knowledge, this is the first study reporting data on combined non-invasive indices of hepatic steatosis and fibrosis in women with PCOS, which are of relatively low cost and can be calculated on a routine basis. Indices of hepatic steatosis (NAFLD liver fat score, LAP and HIS) were significantly higher in the PCOS than the control group, as well as in PCOS women with than without MetS. However, regarding indices of hepatic fibrosis, FIB-4 and BAAT, but not APRI and BARD, were higher in the PCOS than the control group. In PCOS women with MetS, only BAAT was higher compared with PCOS women without MetS. When non-invasive indices were adjusted for age, the statistical significance remained essentially unchanged for all comparisons.

The results of this series seem to be clear for indices of hepatic steatosis, but not for those of fibrosis. This discordance in fibrosis indices may be partly attributed to the low rates of hepatic fibrosis expected in this cohort of relatively young women (26.1±0.4 years) with a low rate of T2DM (1.9%) and relatively low concentrations of triglycerides

(1.11 ± 0.03 mmol/L). As shown, only a minority of women with PCOS in this cohort were expected to have advanced fibrosis according to the thresholds of all fibrosis indices. However, the high rates of hepatic steatosis, together with higher IR (HOMA-IR, HOMA- β , QUICKI) and obesity (BMI, WC) in their third decade, may possibly render PCOS women at higher risk for hepatic inflammation and fibrosis later in their life, given that approximately 15-30% of patients with non-alcoholic simple steatosis evolve to non-alcoholic steatohepatitis (NASH).⁶ Simple steatosis consists mainly of steatosis, whereas NASH in addition to steatosis features progressive inflammation and fibrosis;⁶ this distinction is of importance, since simple steatosis progresses to cirrhosis in less than 5% of cases, whereas NASH progresses to cirrhosis in 10-15% of cases over 10 years and in 25-30% of cases in the presence of advanced fibrosis.^{5,21} Furthermore, NASH, but not simple steatosis, predisposes to hepatocellular carcinoma.^{5,21}

Regarding the indices of hepatic steatosis, similarly to our findings other studies have reported higher rates of ultrasound-proven hepatic steatosis in PCOS (31-73%) than non-PCOS controls (18-47%).²²⁻²⁵ Steatosis was also shown to be positively associated with HOMA-IR^{23,26} and FAI²³ but negatively with SHBG,²³ as in our study. Hepatic fat, quantified by proton-magnetic resonance spectroscopy, was also found to be higher in women with PCOS ($n=29$) than in controls ($n=22$).²⁷ Interestingly, hyperandrogenic women with PCOS ($n=19$) had higher IR and hepatic fat than non-hyperandrogenic ones ($n=10$).²⁷ Likewise, in our study, women with PCOS with MetS had higher IR, higher testosterone concentrations and FAI and higher rates of indices for hepatic steatosis compared with PCOS women without MetS.

Contrary to our findings and existing literature, there is one study of 17 lean women with PCOS (with normal aminotransferases) and 17 controls in whom hepatic steatosis was not detected (by ultrasound and computed tomography) in any woman.²⁸ The reasons for this inconsistency are not well understood, but the selection bias (lean women with normal liver function tests) may be a plausible cause. However, although obesity certainly predisposes to NAFLD,⁸ high rates of NAFLD (approximately 40%) have been reported even in lean and young PCOS women.^{26,29}

Data regarding hepatic fibrosis in women with PCOS are rather scarce because this requires liver biopsy which has to date been performed only on a very limited number of patients in relevant studies. In one study, six (of a total of 200 retrospectively evaluated) women with PCOS and persistent aminotransferase elevation underwent liver biopsy: all had NASH with fibrosis (3%).³⁰ This low fibrosis rate is in accordance with our findings, based on non-invasive fibrosis indices. By contrast, in another study partly based on liver biopsy, women with PCOS ($n=34$; 25 subjected to liver biopsy; 11 with NASH) had a non-statistical trend towards higher rates for NASH than non-PCOS controls ($n=32$; 25 subjected to liver biopsy; 5 with NASH).³¹ Different rates of NASH between these studies may possibly reflect population differences and/or selection bias; further, large-scale studies are needed to clarify the prevalence of NASH in women with PCOS. However, irrespective of the rate of NASH, some women with PCOS have advanced fibrosis, even early in their lives, and they may benefit if NASH is diagnosed. Persistent aminotransferase elevation alone may provide an indication, but combined non-invasive indices (some of which contain AST and/or ALT) are currently regarded as more sensitive tools to select these patients for liver biopsy.¹¹

Data evaluating the reverse issue, being the rates of PCOS in NAFLD populations, are currently rather limited. In one study, 10 of 14 (71%) premenopausal women with NAFLD (biopsy-proven in 7, ultrasound-proven in 7) were reported to have PCOS.³² In another study, patients with biopsy-proven simple steatosis and PCOS ($n=12$) had higher serum cytokeratin-18 (M30) compared with those with simple steatosis but without PCOS ($n=12$), despite similar serum liver function tests, serum lipids and IR.³¹ However, the small sample size of both studies possibly resulted in low statistical power.

Indices of hepatic steatosis correlated with each other (Table 5). FIB-4 also correlated with APRI, NAFLD liver fat score and HIS, but not LAP. APRI did not correlate with indices of hepatic steatosis. All indices of hepatic steatosis correlated with insulin and IR in this study; FIB-4 also correlated with insulin and IR, although less strongly. This was expected given that IR contributes to the pathogenesis of hepatic

steatosis, but also, possibly to a lower degree, to the progression from the hepatic steatosis to NASH, which usually features fibrosis.⁸ Furthermore, all indices of hepatic steatosis correlated positively with testosterone and FAI but inversely with SHBG. Interestingly, testosterone, DHEAS, Δ_4 -androstenedione and FAI inversely correlated with FIB-4. Likewise, higher concentrations of calculated free testosterone, bioavailable testosterone and FAI, whereas lower concentrations of SHBG, were shown in postmenopausal women with NAFLD than age- and BMI-matched controls.³³ Although correlations cannot prove a cause-effect association, it could be speculated that hyperandrogenemia, which is usually observed in women with PCOS, may play a permissive role in the pathogenesis of hepatic steatosis but a potential protective role in the progression to NASH. This hypothesis is in agreement with low fibrosis rates in PCOS women observed in this study, but requires validation from studies of different design.

This study has certain strengths and limitations. It is a relatively large-scale study and the first based on seven different non-invasive indices of hepatic steatosis and fibrosis. On the other hand, the limitations of the study are the following. 1) Data were retrospectively reviewed for the need of this study; however, they had been previously prospectively and systematically recorded for the need of other studies. 2) The participants were not subjected to liver biopsy, regarded as the diagnostic gold standard;³⁴ however, the performance of liver biopsy in young women with low or no burden of metabolic co-morbidity (i.e., T2DM, dyslipidemia) and low hepatic fibrosis risk raises obvious ethical considerations. 3) Other liver diseases were excluded on the basis of history and self-reporting and no specific tests were used for this aim; however, the rates of other liver diseases in this cohort of young women are expected to be very low. 4) The control group were of higher age than the PCOS group; however, although the prevalence of NAFLD increases with increasing age,⁵ women with PCOS had higher non-invasive indices, especially those related to hepatic steatosis, but also to FIB-4 and BAAT (which also increase with increasing age), even if they were of lower age than controls. In any case, adjustment for age did not change the results. 5) The control group had lower BMI and WC than

the PCOS group; however, most of the presenting non-invasive indices (with the exemption of FIB-4 and APRI) include a variable of adiposity (i.e., BMI, WC or metabolic syndrome), which implies a type of internal adjustment. 6) Data for GGT should be cautiously interpreted because they were available only for a subgroup of participants (66.7% of the control and 45.9% of the PCOS group, respectively). 7) Other non-invasive indices of hepatic steatosis or fibrosis [i.e., fatty liver index (FLI), NAFLD fibrosis score, etc] were not calculated because at least one of the required parameters for their estimation was not available or was available only for a subset of the participants. 8) Liver ultrasound was not performed in this study, mainly due to its retrospective nature; however, the sensitivity (60-94%) and specificity (66-100%) of liver ultrasound varies, being lower in obesity,³⁵ which usually co-exists with PCOS and NAFLD. In addition, it has low inter- and intra-observer variability and, most importantly, the ultrasound cannot provide information about hepatic fibrosis.³⁵

In conclusion, indices of hepatic steatosis (NAFLD liver fat score, LAP and HIS) were all significantly higher in the PCOS than the control group, as well as in PCOS women with rather than without MetS in this study, indicating a common link, especially in the presence of MetS. Regarding indices of hepatic fibrosis, controversial results were retrieved, possibly because of the expected low rates of hepatic fibrosis in this series of young women with low relevant co-morbidity. However, despite the low rate of hepatic fibrosis, the selection of these PCOS women with high NASH probability would be of paramount importance, since these women are expected to benefit the most from histological validation and subsequent lifestyle and pharmacological interventions. Further studies are warranted to validate hepatic steatosis and fibrosis indices in PCOS populations and to evaluate the long-term outcomes of these indices in PCOS women.

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There is no conflict of interest related to this manuscript.

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Research paper

Short-chain fatty acids increase expression and secretion of stromal cell-derived factor-1 in mouse and human pre-adipocytes

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ABSTRACT

OBJECTIVE: Stromal cell-derived factor-1 (SDF-1) is expressed in pre-adipocytes but its role is unknown. We investigated butyrate (a histone deacetylase inhibitor - HDACi) and other short-chain fatty acids (SCFA) in the regulation of SDF-1. We further investigated whether effects of SCFA were signalled through G protein-coupled receptors FFA2 and FFA3. **DESIGN AND RESULTS:** SDF-1 mRNA expression and protein secretion were studied in 3T3-L1 cells and human pre-adipocytes. SDF-1 was abundant, with mRNA and protein levels increased by butyrate. This was replicated with acetate and propionate, but not with trichostatin or valproate. Trichostatin inhibited SDF-1 secretion. Pertussis toxin blocked stimulation by butyrate. The order of potency of SCFA in stimulating SDF-1 (C3 > C4 > C2) is consistent with action through FFA3. Silencing the FFA3 gene abolished butyrate-stimulated SDF-1 expression and secretion. FFA3 was expressed in both pre-adipocytes and adipocytes, while FFA2 was expressed in adipocytes only. SDF-1 expression was low in murine macrophage J774.2 cells, while the SDF-1 receptor CXCR4 was absent from 3T3-L1 cells but abundant in J774.2 macrophages. In human pre-adipocytes, FFA3 was also expressed and SCFA increased SDF-1 secretion. **CONCLUSIONS:** SDF-1 and CXCR4 may mediate the interaction between adipose stromal cells and macrophages. Effects of SCFA are mediated through FFA3, but not histone deacetylase inhibition.

Key words: Butyrate, Fatty acids, FFA2, FFA3, Pre-adipocytes, Propionate

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INTRODUCTION

Interest in the application of fatty acids as signalling molecules has increasingly grown since the recognition of a series of G protein-coupled receptors with fatty acids as their ligands.^{1,2} Different receptors are known

to have specificities for fatty acids of differing chain length.¹ Department of 7TMR Assay Development and Compound Profiling (ADCP FFA2 (GPR43) and FFA3 (GPR41) are receptors for short-chain fatty acids (SCFA) and represent a crucial part of the body's nutrient-sensing apparatus.² Activation of FFA2 has been reported to increase incretin secretion by enteroendocrine cells, to enhance adipogenesis, to increase leptin secretion by adipocytes, and to inhibit lipolysis.³⁻⁶ It is well-established that FFA2 is highly expressed in adipose tissue⁴ but may not be involved in the pathogenesis of human obesity.⁷ The role and expression of FFA3 is less clearly defined. Debate continues over FFA3 expression in adipose tissue, with some groups reporting no expression,^{3,5} while others report robust FFA3 expression in both adipose tissue and in differentiated Ob-luc adipocytes.⁸ Xiong et al⁸ also show that SCFA, acting through FFA3, increases leptin secretion and inhibits lipolysis. Taken together, these studies indicate considerable uncertainty as to the relative roles of FFA2 and FFA3 in the pathogenesis of obesity and its complications.

Interaction between cells of adipocyte lineage and those derived from bone marrow is critical in the angiogenesis accompanying adipose tissue remodelling.⁹ It is also important in the low-grade inflammation associated with obesity,^{10,11} which predisposes to insulin resistance and atherogenesis.^{12,13} These processes are known to be influenced by SCFA, produced in the gut by fermentation of complex carbohydrates.¹⁴⁻¹⁶ Stromal cell-derived factor-1 (SDF-1, CXCL12) is a chemokine which, through interaction with its obligate receptor CXCR4, is involved in angiogenesis, stem cell trafficking, and tumour metastasis.¹⁷⁻¹⁹ The role of the SDF-1/CXCR4 axis in adipose tissue biology has not been extensively studied to date. Interestingly, SDF-1 expression in 3T3-L1 adipocytes has been reported in two genomic studies^{20,21} and one proteomic study.²² Furthermore, expression of SDF-1 has been noted in adipocyte precursors with expression diminishing during adipogenesis,²³ and more recently has been shown to interact with complement-derived factors in regulating adipocyte development.²⁴ Modulation of the SDF-1/CXCR4 axis by butyrate has long been known to modulate growth of tumour tissues.^{25,26}

The aim of this work was to examine if SCFA could affect SDF-1 gene expression and protein secretion

in pre-adipocytes and investigate the mechanisms underlying this effect. More specifically, we sought to determine the relative roles of the two SFCA receptors, FFA2 and FFA3, and the role of histone deacetylase inhibition in regulating SDF-1 expression using the murine 3T3-L1 line and primary cultures of human pre-adipocytes.

MATERIALS AND METHODS

Culture and differentiation of murine 3T3-L1 cells

3T3-L1 cells (Sigma Aldrich Pty Ltd, NSW, Australia) were seeded (approximately 20,000/well) in 24-well plates and grown to confluence in Dulbecco's Modified Eagle Medium containing 25mM glucose, bovine serum, 4mM L-glutamine, 100U/mL penicillin, and 100µg/mL streptomycin (DMEM) supplemented with 10% foetal bovine serum (FBS) at 37°C in a 5% CO₂ atmosphere. For experiments on undifferentiated cells, supplement was continued for three days after reaching cell culture confluence and then replaced with DMEM containing 10% FBS without antibiotics for 24 hours before treatment with valproate or trichostatin. For experiments on differentiated cells, once pre-adipocytes were confluent, the medium was replaced with DMEM containing 10% FBS plus penicillin and streptomycin for three days. Differentiation was then initiated using 0.5mM IBMX, 1µM dexamethasone, and 10µg/ml insulin in high glucose DMEM supplemented with 10% FBS containing antibiotics (IBMX medium) for 48 hours. The cells were then maintained in DMEM supplemented with 10% FBS, insulin, and antibiotics until fully differentiated (typically 8-10 days). Prior to treatment with trichostatin or valproate, the cells were supplemented with DMEM medium with 10% FBS without antibiotics for 24 hours.

Culture of human pre-adipocytes

Approvals for the use of human tissues in this study were obtained from Queensland Health and James Cook University Human Research Ethics Committees. Adipose tissue was obtained from consenting adult patients following elective liposuction. 6 ml of adipose tissue was digested with 0.1% collagenase in PBS/BSA and placed in an orbital shaker for 60 minutes at 37°C. The solution was then filtered through a mesh (63µm) into a 50mL Falcon tube and centrifuged at

200g for 10 minutes. With the supernatant discarded, the re-suspended pellet was treated with erythrocyte lysing buffer, incubated for 5 minutes at 37°C and centrifuged at 200g for 5 minutes. Cells were counted in inoculation medium (basal medium: DMEM (50%), Ham's F-12 (50%), HEPES, NaHCO₃, biotin, and pantothenate supplemented with 10% FBS and 0.5% gentamicin) and seeded at a density of 150,000 cells per ml. After 16 hours culture, the cells were washed with Dulbecco's Phosphate Buffered Saline (DPBS) and replaced with stimulation medium (basal medium with human transferrin, insulin, cortisol and fibroblast growth factor) until confluence was reached. To obtain adipocytes, the cells were incubated with adipogenic medium (basal medium with transferrin, gentamicin, triiodothyronine, insulin, and hydrocortisone). The medium was changed regularly until the cells differentiated. The cells were then stained for oil droplets.

Experiments on confluent 3T3-L1 pre-adipocytes and differentiated adipocytes

Treatments were prepared in DMEM supplemented with 10% FBS. Cells were washed with DPBS prior to addition of each treatment. 1ml of treatment medium was added to each well and cells incubated at 37°C, 5% CO₂ for the appropriate time period. Cell medium was collected and stored at -80°C for protein expression studies. Cells were washed with DPBS (2 x 1ml) and 200µl of trypsin solution added to each well. After 5 minutes incubation, the trypsin solution was neutralized with 1ml of DMEM containing 10% FBS. The solution containing the cells was centrifuged at 460g for 5 minutes at 21°C. Medium was removed and cells were stored at -80°C pending RNA extraction.

Oil red O staining and FFA3 immunocytochemistry

Staining of accumulated lipid was performed according to kit instructions. Briefly, medium was removed from cells that were then washed with DPBS. 0.5ml Oil red O staining solution (Chemicon Australia Pty Ltd, Vic, Australia) was added to cells cultured in a 24-well plate and incubated for 15 minutes at room temperature. Staining solution was removed and cells washed with wash solution. Immunocytochemistry was used to evaluate FFA3 and FFA2 expression on THP-1 monocytes and human cultured pre-adipocytes.

This was performed using rabbit polyclonal antibodies to FFA3 and FFA2 (Sapphire Biosciences, Redfern, NSW, Australia). THP-1 cells: 1×10⁵ monocytes in 200µL medium were cytospun onto sialinised coverslips (Cytospin 4 centrifuge, Thermo Fischer Scientific, Scoresby, Vic, Australia) for 5 minutes at 500rpm. Coverslips were then air-dried and fixed in 75% ethanol and DPBS for 10 minutes.

Human pre-adipocytes cultured on slides were rehydrated with DPBS and incubated with 0.5% H₂O₂ to quench endogenous peroxidase activity for 10 minutes, then washed with Tris-buffered Saline with 0.05% Tween-20 (TBST buffer). This was followed by Tris Neutral Buffer (TNB) for 30 minutes and subsequently by rabbit polyclonal FFA3 antibody or isotype control (45 minutes), biotinylated rabbit IgG-G (30 minutes), HRP-streptavidin (30 minutes) with TBST wash between each step. We then added 3,3'-diaminobenzidine (DAB) chromogen prior to dehydration using an alcohol and xylene series, after staining the nucleus with Mayer's haematoxylin. Digital images were obtained using an Olympus CKX41 microscope.

Small interfering RNA (siRNA) knockdown of FFA3

3T3-L1 pre-adipocytes were plated (20,000 cells/well) on a 6-well plate in high glucose DMEM, containing L-glutamine, penicillin, streptomycin, and supplemented with 10% FBS. The cells were incubated at 37°C in a 5% CO₂ atmosphere and grown to 80% confluence. The medium was replaced with DMEM containing glutamine but without serum and antibiotics (2ml/well). The siRNA complex was formed with four separate double-stranded siRNAs designed to silence FFA3 (Qiagen Pty Ltd, Vic, Australia):

CAGAGTGCCAGTTGTCCAATA,
CAGCCTGGAACTGAAGGTAATA,
CAGGCTGGTCTGGTCAGTGTA and
AAGCTTCTTTCTTGGCAATTA

HiPerfect transfection reagent (Qiagen Pty Ltd, Vic, Australia) and DMEM (with no serum or antibiotics) was added and allowed to incubate for 10 minutes at 26°C. The siRNA complex was then added drop-wise onto cells (115µl/well) and mixed by gentle swirling, giving a final concentration of 5nM for each

siRNA. The cells were incubated for 24 hours with the siRNA complex at 37°C, 5% CO₂. The medium was then changed to include 10% FBS serum supplement and the cells were further incubated for 24 hours prior to treatment. Gene knock-down was evaluated by RT-PCR. We achieved a consistent knock-down of 75-80% (data not shown). FFA3 knock-down cells and control cells were treated with and without 2mM sodium butyrate in high glucose DMEM containing 10% FBS and L-glutamine for 24 hours. After this time, medium and cells were removed and stored at -80°C for further protein analysis.

Real-time RT-PCR analysis of mRNA expression

Total RNA was extracted from frozen (-80°C) undifferentiated and differentiated cell samples using RNeasy extraction kits (Qiagen Pty Ltd, Vic, Australia). Samples were treated with DNase as per instructions. mRNA was quantified using a Nanodrop spectrophotometer (Nanodrop Technologies, USA). Real-time RT-PCR was performed on a Corbett Rotor Gene 6 using SYBR Green and ROX. The reactions were performed in a 15µl volume containing 38ng of the extracted RNAs, 7.5µl of RT-PCR Master Mix, 0.15µl of RT Mix, and 0.6µl of the appropriate primers (SDF-1, FFA3, FFA2, PPIA, MAPK, or Pref-1). Primers were from Jomar Diagnostics Pty Ltd, SA, Australia. The reactions were normalised against peptidylpropyl isomerase A (PPI). CT values were plotted for each treatment group to determine the differences in expression level between groups.

ELISA for SDF-1

SDF-1 protein secretion was analysed using Quantikine ELISA immunoassay (Bioscientific Pty Ltd, NSW, Australia) according to manufacturer's instructions. Cell culture supernatant (conditioned medium) was centrifuged at 12,000g for 15 minutes at 4°C. Addition of triplicate samples to the pre-coated SDF-1 plate was followed by 2 hours incubation at room temperature on an orbital shaker. The samples were then washed, followed by addition of SDF-1α HRP-conjugate (2 hours) and a subsequent wash. Substrate solution was added and the plate incubated for 30 minutes at room temperature. Stop solution was then added and optical density measured within 30 minutes at 540 nm using Tecan Sunrise™ (Tecan

Trading AG, Switzerland) plate reader at 450nm without correction.

Statistical Analysis

RT-PCR and ELISA data were analysed using Graphpad Prism™ software. Data were checked for normality using the Anderson-Darling Normality test. Based on the outcome of the test, parametric or non-parametric tests were carried out. Statistical comparisons between treatments were calculated using one-way analysis of variance (ANOVA) followed by Tukey's post hoc test corrected for multiple comparisons. Unpaired student t-test was used for direct comparison of two sets of data. Results are expressed as mean ± standard error of the mean (SEM) normalised as percentages of control unless otherwise stated. $p < 0.05$ was deemed to be statistically significant.

RESULTS

Butyrate increases SDF-1 expression and protein secretion by 3T3-L1 cells

As expected, exposure of 3T3-L1 pre-adipocytes to IBMX medium led to cell differentiation, as evidenced by decreased expression of the pre-adipocyte marker Pref-1 (Figure 1A, $p=0.002$) and considerable accumulation of lipid droplets (Oil red O staining, Figure 1B). SDF-1 mRNA was abundantly expressed in pre-adipocytes and its expression was significantly increased in the presence of butyrate in a time-dependent manner (Figure 1C, $p<0.001$). In replicate experiments, SDF-1 mRNA was increased up to 6-fold over 24 hours. Maximal stimulation was obtained with 2mM butyrate. There was no detectable stimulation at 0.2mM nor once concentrations reached 10mM (data not shown). Butyrate also increased SDF-1 protein secretion from 3T3-L1 cells by 2.4-fold over 24 hours (Figure 1D, $p < 0.001$). SDF-1 secretion was also apparent from differentiated 3T3-L1 cells, but there was no detected increase in secretion following exposure to butyrate.

The effect of butyrate is not mediated through HDAC inhibition

Both sodium valproate (2mM) and the specific HDACi, trichostatin, modestly decreased SDF-1 secretion from differentiated 3T3-L1 cells (Figure

1D, $p=0.001$ and $p=0.047$, respectively). Compared with butyrate, there was enhanced SDF-1 secretion from 3T3-L1 pre-adipocytes in the presence of acetate (2mM, $p=0.002$). Propionate (2mM, $p<0.001$) was even more potent than butyrate (Figure 1E). The

order of potency of the three SCFAs was propionate (C3) > butyrate (C4) > acetate (C2), consistent with an action through FFA3. Trichostatin decreased the expression of SDF-1 at concentrations as low as 1 μ M (Figure 1F, $p=0.003$).

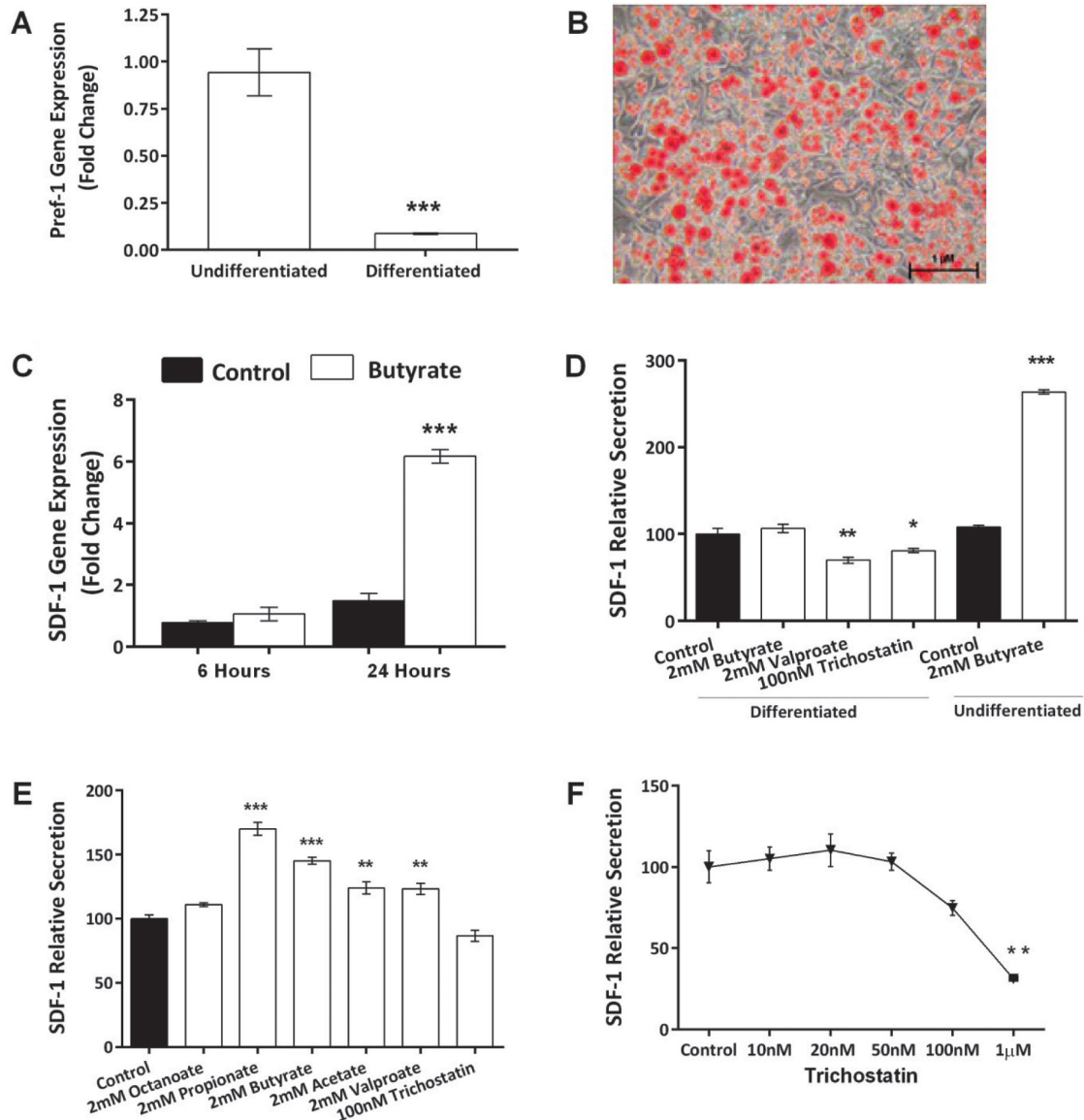


Figure 1. Butyrate increases SDF-1 expression and protein secretion by 3T3-L1 cells. **A:** Pref-1 expression in 3T3-L1 adipocytes. 3T3-L1 pre-adipocytes expressed high levels of Pref-1. Expression was markedly decreased when the cells were differentiated (t-test, $p < 0.001$). **B:** Differentiated 3T3-L1 adipocytes, showing numerous lipid droplets stained with Oil red O. Photograph was taken 10 days after exposure of 3T3 cells to IDX medium. **C:** Butyrate induced a marked increase in SDF-1 mRNA determined when cells were incubated with 2 mM butyrate (ANOVA, $p < 0.001$; control vs Butyrate 24 Hrs, $p < 0.001$). **D:** Effect of HDACi (ANOVA: $p < 0.0001$): In adipocytes, trichostatin and valproate decreased secretion of SDF-1, while butyrate was without effect. This contrasts with the stimulatory effect of butyrate in pre-adipocytes. **E:** Comparison of SCFA and HDACi on pre-adipocytes (ANOVA, $p < 0.001$): Order of potency of SCFA was C3 > C4 > C2. HDACi were without effect. **F:** Dose-response curve for the inhibitory effect of trichostatin on SDF-1 secretion from adipocytes (ANOVA, $p < 0.001$). *: $p < 0.05$; **: $p < 0.01$; ***: $p < 0.001$. Data shown are the mean \pm SEM normalised as percentage of control. Differentiated cells = mature adipocytes; Undifferentiated cells = pre-adipocytes.

The effect of butyrate is mediated through the FFA3 receptor

Pertussis toxin (PTX), which blocks GPR signaling, was used to investigate the potential role of GPR in mediating the above stimulatory effect of butyrate. When used alone at concentrations of 5nM, PTX had no effect on SDF-1 secretion by 3T3-L1 pre-adipocytes (Figure 2A, $p=0.009$). When added along with butyrate, PTX markedly decreased the

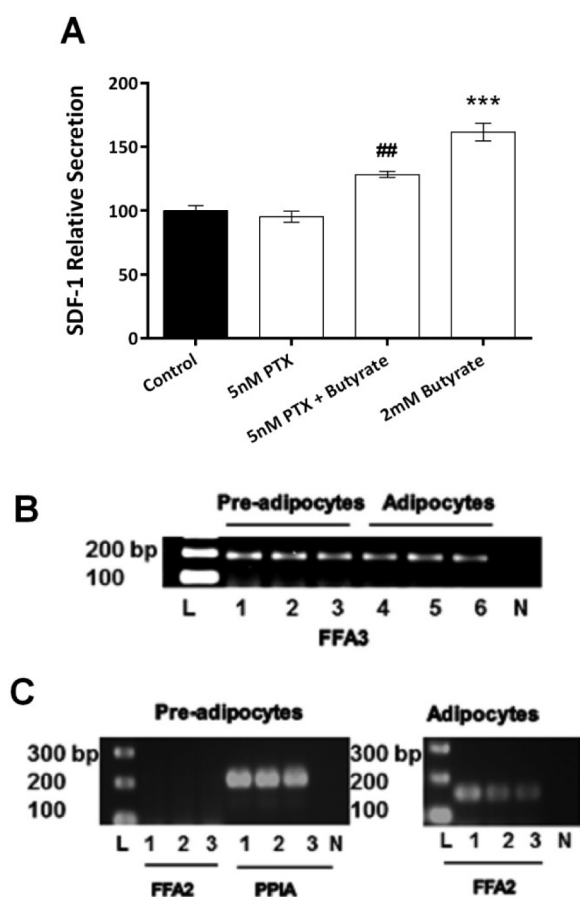


Figure 2. Pertussis toxin (PTX) abolishes the stimulatory effects of butyrate, and FFA2/FFA3 expression. **A:** PTX alone was without effect on SDF-1 secretion from pre-adipocytes (ANOVA, $p < 0.001$). The stimulatory effect of butyrate was decreased in the presence of 5nM (## = $p < 0.01$, compared against 2M Butyrate) PTX. Data shown are the mean \pm SEM normalised as percentage of control. **B + C:** Shows 2% agarose gel electrophoresis and ethidium bromide staining. **B:** Expression of FFA3 (188 bp). L = ladder. Lanes 1-3 = 3T3-L1 are pre-adipocytes. Lanes 4-6 are 3T3-L1 adipocytes. N = no reverse-transcriptase negative control. **C:** Expression of FFA2 (156bp) – no expression was found in pre-adipocytes (lanes 1-3), while the housekeeping gene PPIA (200bp) was strongly expressed. FFA2 was expressed in differentiated adipocytes.

stimulatory effect of the former on SDF-1 secretion. As there are two receptors for SCFA (FFA2 and FFA3), we investigated the relative expression of these receptors in pre-adipocytes and adipocytes using RT-PCR. FFA3 was expressed in both pre-adipocytes and in adipocytes (Figure 2B), while FFA2 was only expressed in differentiated adipocytes (Figure 2C).

Using siRNA for FFA3, we successfully decreased gene expression to less than 30% of its baseline value in 3T3-L1 pre-adipocytes (Figure 3A, $p=0.012$) While the stimulatory effect of butyrate on SDF-1 mRNA was apparent in control cells, this effect was entirely lost in cells treated with siRNA for FFA3 (Figure 3B). Similarly, although butyrate increased SDF-1 secretion from pre-adipocytes, there was no such stimulation in cells in which the FFA3 gene had been silenced (Figure 3C).

SDF-1 is a potential mediator of the interaction between pre-adipocytes and macrophages

We determined the level of SDF-1 expression and its obligate receptor (CXCR4) on cells of the mouse monocyte/macrophage line J774.2 compared with 3T3-L1 pre-adipocytes when treated with SCFA propionate (C3). Propionate was chosen as it elicited the highest SDF-1 secretion amongst the SCFAs (Figure 1E). SDF-1 protein secretion was higher in pre-adipocytes when treated with propionate compared with controls (Figure 4A, $p=0.017$). In contrast, SDF-1 secretion from J774.2 cells was very low and there was no significant stimulation with propionate (Figure 4A). 3T3-L1 pre-adipocytes expressed abundant SDF-1 mRNA, while there were much lower levels of expression in J774.2 cells (Figure 4B). On the other hand, CXCR4 expression was not detected in pre-adipocytes, but mRNA for CXCR4 was abundant in J774.2 cells (Figure 4C).

Human pre-adipocytes also express SDF-1 and the FFA3 receptor

It is beyond the scope of this study to replicate all of the above work with human cells. However, SDF-1 mRNA expression was noted in human pre-adipocytes, and there was a significant increase in expression when cells were incubated in the presence of 2mM butyrate (Figure 5A, $p=0.034$). Using immunocytochemistry, we detected expression of FFA3, but not FFA2, in cultured human pre-adipocytes (Figure 5B-C). Both

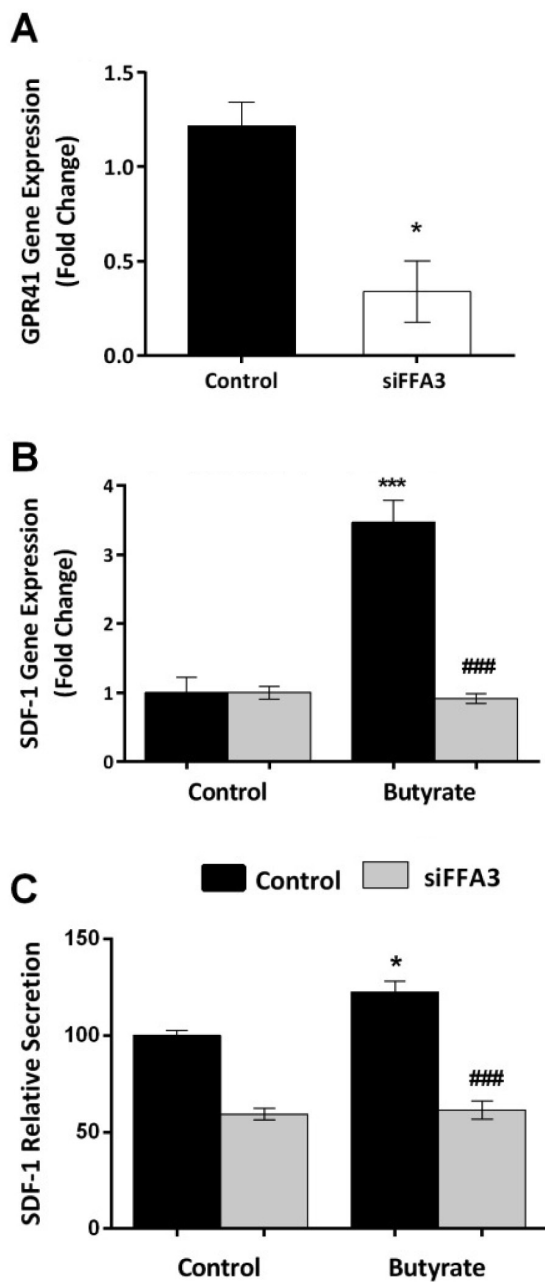


Figure 3. siRNA for FFA3 abolishes the stimulatory effect of butyrate. **A:** siFFA3 gene knockdown, evaluated by RT-PCR reached 75-80% (t-test, $p < 0.05$). Data shown are the mean \pm SEM. **B:** SDF-1 m-RNA expression increased when cells were treated with butyrate (ANOVA, $p < 0.001$, ***: $p < 0.001$ compared with control). This stimulatory effect was markedly decreased when the FFA3 gene was silenced (###: $p < 0.001$ compared with butyrate treatment of control cells). **C:** SDF-1 protein secretion was increased when control cells were treated with butyrate (ANOVA, $p < 0.001$, *: $p < 0.05$). This stimulation was markedly decreased following FFA3 gene silencing (###: $p < 0.001$). Data shown are the mean \pm SEM normalised as percentage of control (for B & C).

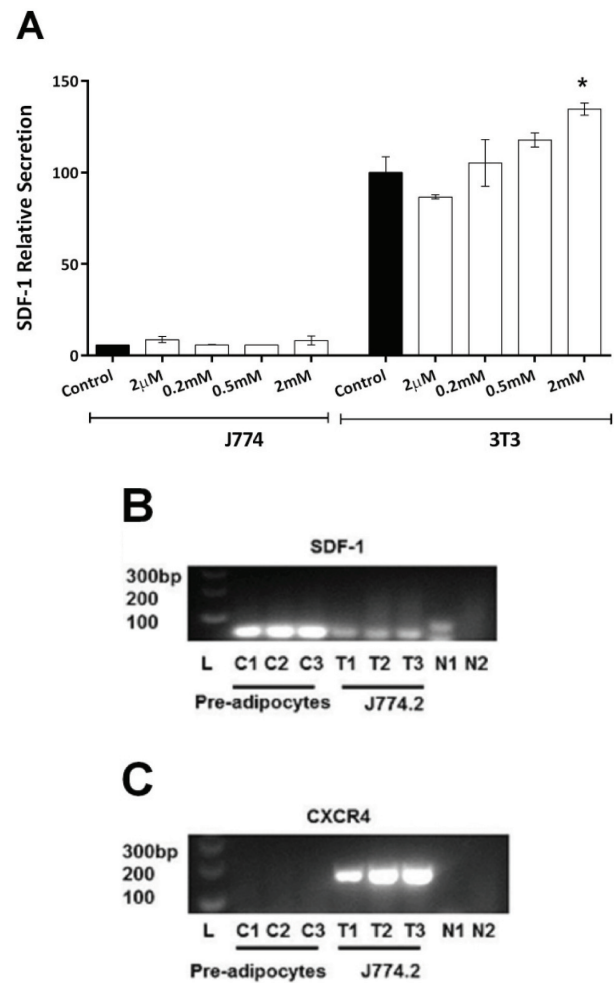


Figure 4. Effect of Propionate on SDF-1 secretion. **A:** Propionate enhanced SDF-1 protein secretion from pre-adipocytes, but there was only minimal SDF-1 secretion and no effect of propionate in cultures of J774.2 cells (ANOVA, $p < 0.001$). Data shown are the mean \pm SEM normalised as percentage of control. *: $p < 0.05$. **B + C:** 2% Agarose gel electrophoresis with ethidium bromide staining. L: ladder; N1: no template negative control; N2: no reverse-transcriptase negative control. SDF-1 (57bp) was detected in pre-adipocytes but not highly expressed in J774.2 cells. CXCR4 (156bp) expression was not detected in pre-adipocytes, but mRNA for CXCR4 was abundant in J774.2 cells.

FFA2 and FFA3 were expressed on cells of the human monocyte/macrophage line THP-1 (Figure 5D-E).

DISCUSSION

In this study, we have shown that SDF-1 (CXCL12) is expressed in, and secreted by, 3T3-L1 cells. This expression is particularly evident in the pre-adipocyte

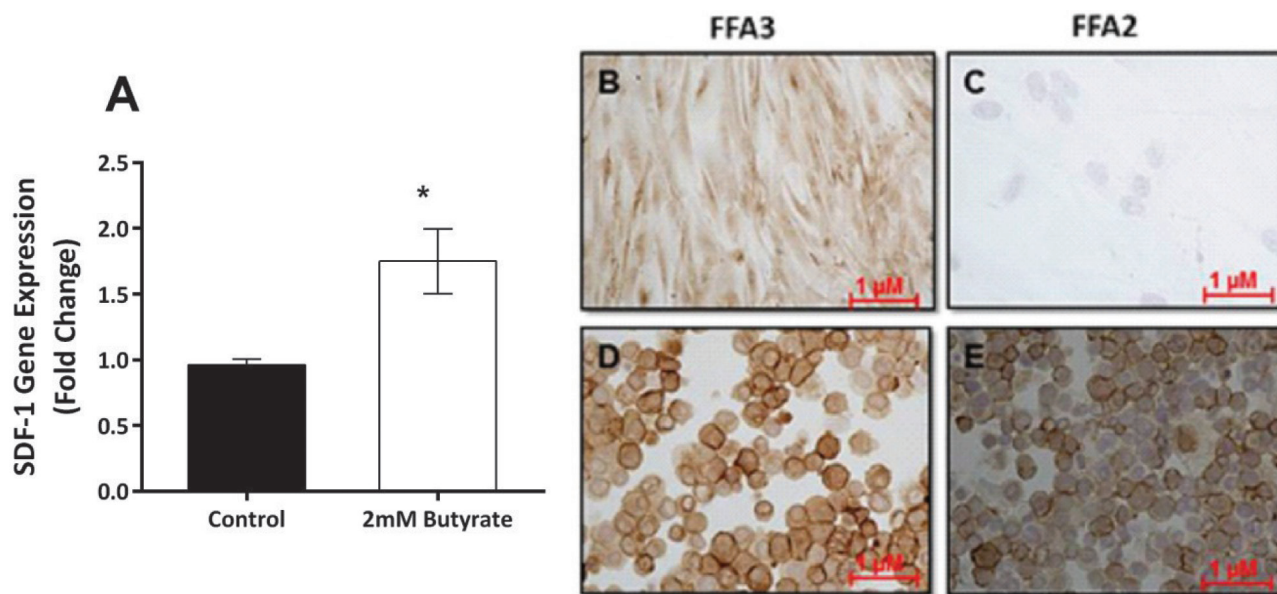


Figure 5. Human pre-adipocytes express SDF-1 and FFA3. A: SDF-1 mRNA expression was abundant in human pre-adipocytes, and this expression increased when cells were incubated with 2 mM butyrate (t-test, *: $p < 0.05$). B: Expression of FFA3 in human pre-adipocytes. C: No expression of FFA2 in human pre-adipocytes. D: Expression of FFA3 in cells of the human monocyte/macrophage line THP-1. E: Expression of FFA2 in THP-1 cells.

state. We have also shown that SDF-1 mRNA and protein are increased by treatment with SCFA. The effect of SCFA was not replicated with the HDACs valproate and trichostatin and the inhibitory effect of pertussis toxin suggests that SCFA effects are mediated through a G protein-coupled receptor. The order of potency of SCFA (C3 > C4 > C2) suggests an effect through FFA3 rather than FFA2. Indeed FFA3, but not FFA2, was expressed in pre-adipocytes. Silencing of the FFA3 gene abolished the stimulatory effect of SCFA.

Recent studies show that SDF-1 plays a central role in the pathogenesis of diabetic retinopathy.^{27,28} SDF-1, through interaction with the CXCR4 receptor on bone marrow-derived vascular progenitor cells, is also crucial in vascular modelling after ischaemia.^{17,29,30} Decreased SDF-1 secretion in patients with diabetes is also understood to contribute to defective wound healing, including in diabetic foot ulcers.³¹ It is likely that cells of the stromal vascular compartment of adipose tissue are a major source of SDF-1.^{9,32} In obesity, these cells may be depleted due to differentiation of pre-adipocytes into mature fat-laden cells. The predominance of differentiated and hypertrophied adipocytes leads to secretion of me-

diators that contribute to systemic inflammation and insulin resistance.³³ Our finding that pre-adipocytes are a source of SDF-1 confirms earlier observations that the chemokine is expressed in these cells.²⁰⁻²²

The HDACs are a group of around 18 enzymes involved in epigenetic regulation of gene expression. HDAC inhibitors are considered potential treatments for neoplasia and neurodegenerative disorders, and as angiogenesis inhibitors. Butyrate is a naturally occurring HDAC inhibitor. While HDACs are involved in adipogenesis,^{34,35} the effects of HDAC inhibitors in this process remain uncertain. Valproate has been reported to inhibit adipogenesis,³⁶ while diallyl disulphide, contained in garlic, may stimulate adipogenesis.³⁷ SCFA have been reported to promote adipocyte differentiation and expression of adipogenic markers.^{5,38,39} In this study, we found that the potent HDAC inhibitor, trichostatin, inhibited SDF-1 expression in pre-adipocytes. Conversely, butyrate increased SDF-1 expression and secretion. The stimulatory effect of butyrate on SDF-1 expression and secretion was observed with other SCFAs that have only weak HDAC inhibitory activity.

In mice, plasma leptin concentration was increased by oral administration of propionate.⁸ The circulating

propionate concentration achieved was comparable to what we used *in vitro*. Most of the butyrate generated within the colon is removed in the enterohepatic circulation, while high levels of acetate and propionate can reach the systemic circulation. Propionate levels are particularly high after consumption of certain functional foods, including barley kernels,⁴⁰ and acetate levels also increase after alcohol consumption.⁴¹ We do not, therefore, feel that the concentrations of SCFA used in our experiments were unduly high. Additionally, we do not know what the most potent naturally occurring ligands for FFA3 are.

Given their role as part of the body's nutrient sensing apparatus, it is not surprising to find receptors for SCFA expressed in cells of adipocyte lineage. Our results disagree with those of Hong et al³ who did not find FFA3 expression in mouse adipose depots. They did report increased adipogenesis with acetate and propionate acting through FFA2. We, in contrast, found FFA3 to be expressed in both pre-adipocytes and adipocytes, while FFA2 was only expressed in adipocytes. Xiong et al⁸ described the role of FFA3 in adipocyte leptin secretion induced by SCFAs. We demonstrate here that the effect of SCFA on SDF-1 was abolished with pertussis toxin, suggesting that it is GPR-mediated. We then examined the role of FFA3 in regulating SDF-1 expression. FFA3 gene silencing abolished the stimulatory effect of butyrate on SDF-1 expression and protein secretion. We also confirmed that SCFA increase SDF-1 expression in human pre-adipocytes and that FFA3, but not FFA2, is expressed in these cells.

The biological actions of SDF-1 are through its obligate receptor CXCR4 (also a co-receptor for lymphotropic strains of the HIV virus). We did not find CXCR4 to be expressed in either pre-adipocytes or adipocytes, making it unlikely that SDF-1 and CXCR4 interaction is involved in cross-talk between mature adipocytes and stromal cells. Others have reported CXCR4 expression in adipocytes, allowing them to be infected with HIV,⁴² a finding not confirmed by a subsequent study.⁴³ As expected, we detected abundant expression of CXCR4 in mouse J774.2 macrophages, but there was very little SDF-1 expression in these cells, and no effect of added propionate on SDF-1 expression. It seems highly likely therefore that SDF-1 and CXCR4 are involved in the interaction between

adipocytes and macrophages, although somewhat surprisingly this has not been studied to date.

We propose that the SDF-1 secreted by adipocytes and its interaction with CXCR4 receptor on tissue macrophages mediates interaction between the two cell types (Figure 6). SDF-1 secretion by pre-adipocytes may increase monocyte infiltration into adipose and factors secreted by monocytes may inhibit adipocyte differentiation.^{10,44} In states of fat excess, such impaired differentiation may lead to ectopic deposition of fat, including in the vascular wall. On the other hand, hypertrophied³³ or dead⁴⁵ adipocytes attract macrophages and such infiltration in visceral fat partly accounts for the association between visceral obesity and systemic inflammation and insulin resistance.^{46,47}

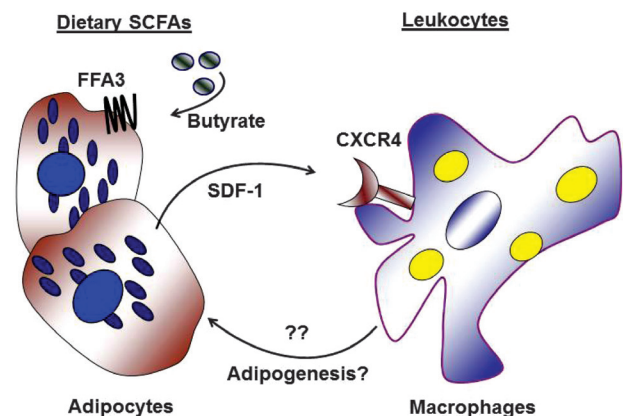


Figure 6. Hypothetical adipocyte-macrophage interactions. Dietary SCFAs including butyrate induce adipocytes to secrete SDF-1, acting through the FFA3 receptor. Secreted SDF-1 attracts other cells including macrophages, interacting through its receptor CXCR-4. This adipocyte-macrophage interaction could initiate secretion of mediators from macrophages, possibly regulating processes associated with adipogenesis and insulin resistance.

CONCLUSIONS

In conclusion, we have demonstrated that SDF-1 (CXCL12) is secreted by 3T3-L1 pre-adipocytes and adipocytes and that this is regulated by SCFA in a mechanism that does not depend on histone deacetylase inhibition. Our data strongly suggest that the effect of SCFA is mediated through FFA3.

SDF-1 may be an important mediator of the interaction between adipocytes and bone marrow-derived cells including monocyte/macrophage cells. These observations shed light on a novel pathway mediating interaction between adipocyte precursors and inflammatory cells. Further studies may increase our understanding of the processes involved in adipose depot remodelling and the systemic inflammation that accompanies obesity.

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Research paper

A systematic review and meta-analysis of weight status among adolescents in Cyprus: scrutinizing the data for the years 2000–2010

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ABSTRACT

OBJECTIVE: The aim of the present study was to evaluate by pooled and sensitivity analyses all available data on adolescent overweight/obesity in Cyprus. **DESIGN:** A thorough literature search determined the studies to be examined using Cypriot adolescent samples aged 10-18 years old, with weight status in each sex classified according to the IOTF criteria, published between the years 2001–2011. Eight studies were retrieved, but three fulfilled the criteria for the sensitivity analyses. **RESULTS:** The pooled prevalence of obesity was 9.8% in boys (n=6081). The pooled analysis classified 6.1% (n=3886) of girls as obese, whereas a higher prevalence was observed by the sensitivity analysis 6.4% (n=1956, p ≤ 0.001). The boys' prevalence of overweight was 19.3% and the girls' 17.1%. Between sexes, boys demonstrated a higher prevalence of obesity and overweight (p ≤ 0.001 for both). The cumulative analyses demonstrated an increase in the prevalence of overweight/obesity until the year 2005 and thereafter a plateauing in boys and a slight decrease in girls in a non-linear manner. **CONCLUSION:** Approximately 1/3 of adolescent boys and 1/4 of adolescent girls in Cyprus were overweight/obese during the previous decade.

Key words: Abdominal obesity, Adolescence, BMI, IOTF, Obese, Obesity, Overweight, Teenagers

INTRODUCTION

The increased prevalence of childhood obesity in Europe during the last few decades has evolved into an

important public health issue with epigenetic effects on the health of future adult generations.¹ However, adolescent obesity is equally important as it represents an age-range closer to adulthood, thus depicting the imminent early adulthood prevalence. In terms of psychology, overweight during adolescence is associated with unhealthy weight-control and disordered eating, actions subverted by the health-risk behavior

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encountered during adolescence.^{2,3} In terms of physiological growth and hormonal response, research has shown that adolescent obesity may disrupt aspects of pubertal development.⁴

Though geographically different from Europe, in Cyprus trends in adolescent obesity appear similar to the rest of the continent.⁵ Several studies have addressed the problem of obesity in Cypriot adolescents and it is acknowledged that a multifaceted public health policy approach is needed in order to combat the disease.⁶ However, the majority of published research includes convenience samples with wide age-ranges, often without differentiating between children and adolescents, while the file-drawer problem⁷ (i.e. the non-publication of studies with different results from those expected) impedes understanding of the actual progression of the disease.

The objective of this review of existing data was to synthesize all published information on the weight status of Cypriot adolescents in order to help understand the progression of adolescent obesity and provide data for the development of policies for combating the disease during adolescence.

EXPERIMENTAL METHODS

Literature search

We searched Ovid MEDLINE, EMBASE, Google Scholar, Scopus and openarchives.gr for studies published prior to December 2010, using the meSH terms “adolescent OR children”, “adolescence OR childhood”, “AND obesity OR overweight”, “AND Cyprus”. Where applicable, the search keywords were also translated into the Greek language. In addition, the references cited in each paper were also searched for relevant studies.

Inclusion and exclusion criteria

Criteria for inclusion were 1) research on a Cypriot population, 2) age of participants between 10 and 19 years old as suggested by the World Health Organization (WHO),⁸ 3) classification of underweight (UW), overweight (OW) and obesity (OB) separately, according to the International Obesity Task Force (IOTF) criteria,^{9,10} 4) weight-status classification according to sex, 5) publication of the results after 2001, when the IOTF criteria were announced, and prior

to December 2010 and 6) collection of the sample between 2000 and 2010.

Studies were excluded from the analyses when they 1) reported mean/median Body Mass Index (BMI) values instead of weight-status categories, 2) diagnosed overweight/obesity with different criteria from those of the IOTF, 3) reported a combined weight status for both sexes, 4) used children aged below 10 years old in the reported prevalence, 5) consisted of overlapping studies, 6) used samples recruited from hospitals (i.e. with a possible or diagnosed pathological condition), 7) used non-healthy participants and 8) collected data prior to the year 2000.

Quality of the retrieved studies and sensitivity analysis

Specific protocol/sample characteristics for adolescent studies¹¹ and the criteria based on the Newcastle-Ottawa Scale¹² for nonrandomized research were adopted and applied in order to single out the studies of good quality.¹³ Studies of good quality were identified as having a sample larger than 500 adolescents, reporting a response rate greater than 70% and having weight and height measured by researchers instead of being reported by the participants. Studies that did not fulfill these criteria were considered of lower quality.

Sensitivity analyses were performed by removing the studies of lower quality in order to evaluate if the results were statistically significant compared to the total of the retrieved studies. Publication bias was evaluated by using funnel plots. Heterogeneity was determined by the I^2 index and when I^2 was smaller than 75%, heterogeneity was considered low and subsequently a fixed model meta-analysis was performed, as suggested by Higgins and his associates.¹⁴

Statistical analyses

Several studies have used meta-analyses in order to accurately calculate the prevalence of a disease as recorded in the literature,^{13,15,16} and recently one study used the same methodology to accurately determine the prevalence of obesity among Greek children.¹³ Analyses were performed with Comprehensive Meta-Analysis software V2.0 (Biostat Inc, Englewood, NJ, USA). Significance was set at $p \leq 0.05$. Differences in the prevalence of overweight and obesity between the

two sexes were assessed with tests for two proportions (MiniTab® version 14.1 Minitab Inc., State College, PA, USA).

RESULTS

Extraction process

The performed searches retrieved a total of 31 studies but only 8 fulfilled the inclusion criteria. The flow chart of the selection process is depicted in Figure 1, carried out according to the PRISMA statement guidelines for systematic reviews and meta-analyses.¹⁷ A total of nine full-text articles were excluded for grouping both sexes together ($n=2$), reporting mean BMI only ($n=2$), being reviews ($n=2$), failing to report prevalence of each weight status category ($n=2$) and for using a children-only sample ($n=1$).

The results concerning the weight status of Cypriot adolescents according to the selected eight cross-sectional studies are presented in Table 1. Five studies

involved original research published in peer review journals and three consisted of university theses.²¹⁻²³ The majority of studies involved national representative samples, except for one that used a sample recruited from the city of Limassol only.²⁴ Further details were provided by the authors of three studies in order to exclude participants aged under 10 years old²⁰ and in order to separate between overweight and obese adolescents.^{20,24,25} Only two studies determined the prevalence of underweight,^{21,25} a number considered inadequate for performing meta-analyses. The prevalence of underweight ranged between 3.1-5.2% in the boys and 5.7-12.9% in the girls. The proportion of obese adolescents exhibited great variability, ranging between 5.3-16.9% in the boys and 4.5-11.5% in the girls, whereas overweight was reported to range between 17.1-31.6% and 12.1-27.7% in boys and girls, respectively. One study used a boys-only sample.²³

Only three studies fulfilled the quality criteria set for the sensitivity analyses.¹⁸⁻²⁰

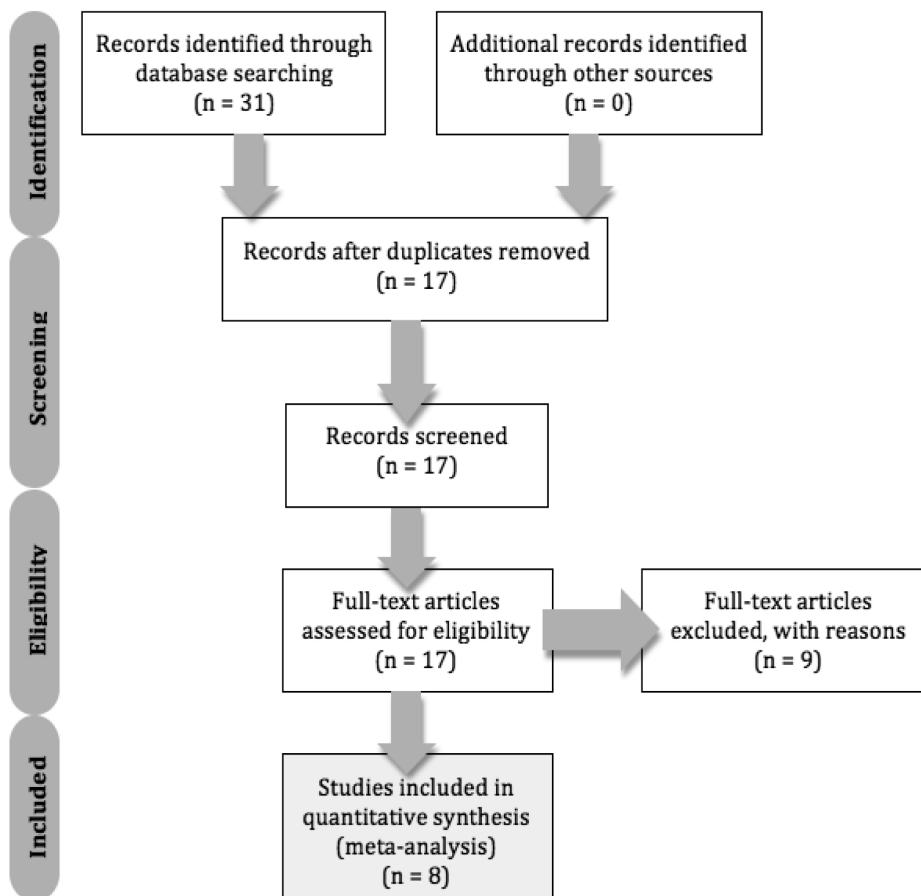


Figure 1. Flow chart of the selection of studies used in the analyses according to the PRISMA statement.¹⁷

Table 1. Studies assessing the weight status of Cypriot adolescents (2000-2010)

Data Collection (year)	Study Sample	References	Total Sample Size (n)	RR (%)	Age (years)	Boys			Girls				
						n	%UW	%OW	%OB	n	%UW	%OW	%OB
2000	National ¹	Savva et al ¹⁸	2,600 ³	94.9%	10-18	835	-	21.3	7.1	859	-	16.5	4.5
2003	National ¹	Savva et al ¹⁹	18,792 ^{3,4}	75.0%	11	774	-	21.4	8.8	751	-	21.8	7.0
2005	National ¹	Lazarou et al ²⁰	682 ³	91.2%	10-14	336	-	19.0	11.6	346	-	18.5	8.4
2005	National	Christaki ²¹	1,365	69.0%	11	466	3.1	19.1	16.9	476	5.7	15.9	11.5
2006	National	Lavithi ²²	1,500	56.9%	12-17	373	-	24.7	5.3	481	-	12.1	2.8
2007	National	Photiou ²³	4,271 ³	NR	10-18	2,954	-	17.1	13.2	-	-	-	-
2007	Limassol	Loucaides & Jago ²⁴	247	95.5%	10-12	117	-	31.6	8.5	119	-	27.7	5.0
2008	National ²	Loucaides et al ²⁵	1,966	91.6%	12-16	946	5.2	21.8	7.7	854	12.9	13.8	4.8

¹Studies used in the sensitivity analyses (quality studies), ²For the majority of the participants, weight and height were reported, not measured, ³The total sample also included participants aged below 10 years old, ⁴The study was cross-sectional at two time points and only the second sample, recruited after the year 2000, was used in the review. RR: Response Rate; NR: not reported; UW: underweight; OW: overweight; OB: obese.

Prevalence of overweight, obesity and combined prevalence in the total sample

In the total sample (boys and girls grouped together), 18.4% were considered overweight [95%CI:17.6-19.2%, Q=21.3, p<0.001, I²=67.2%] according to the pooled analysis and 19.8% according to the sensitivity analysis [95%CI:18.4-21.2%, Q=3.4, p<0.001, I²=40.3%]. As far as obesity is concerned, random effects models (REMs) revealed that 8.5% of adolescents in Cyprus were obese during the years 2000-2010 as calculated by the pooled analysis [95%CI:6-11%, Q=7.1, p<0.001, I²=1.8%], compared to the 7.7% produced by the sensitivity analysis [95%CI:5.5-9.9%, Q=2.2, p<0.001, I²=9.5%]. The pooled combined prevalence of overweight and obesity was 27.8% in the total sample calculated with the use of a REM [95%CI:25.1-30.5%, Q=8.6, p<0.001, I²=18.7%] and the respective one calculated by the sensitivity analysis reached 27.1% [95%CI:25.4-28.7%, Q=7.7, p<0.001, I²=73.9%].

Prevalence of overweight in each sex

Table 2 shows the prevalence of overweight, obesity and the combined prevalence for each sex. Both the pooled and the sensitivity analyses retrieved a similar prevalence of overweight in boys. More precisely, the pooled analysis revealed that 19.3% of adolescent boys were overweight [95%CI:18.3-20.3%, Q=24.3, p<0.001, I²=71.2%] and the sensitivity analysis produced a prevalence of 20.9% [95%CI:18.9-20.3%,

Q=0.7, p<0.001, I²=0%]. In girls, the pooled prevalence of overweight was calculated with a REM due to heterogeneity as indicated by I². Thus, 17.1% of girls were considered overweight [95%CI:14.2-20%, Q=7.8, p<0.001, I²=23.7%], a prevalence akin to the one produced by the sensitivity analysis of 18.6% [95%CI:16.7-20.5%, Q=5.8, p<0.001, I²=65.7%]. No statistically significant difference was observed between the prevalence of overweight calculated by the pooled and sensitivity analyses in either sex.

Prevalence of obesity in each sex

When the pooled obesity data were analyzed, the studies showed great heterogeneity (I² > 75%) and, subsequently, REMs were performed. The pooled calculated rate of obesity among boys was 9.8% [95%CI:7.3-12.3%, Q=7.4, p<0.001, I²=4.8%] and 6.1% among adolescent girls [95%CI:4.2-8%, Q=7.7, p<0.001, I²=22.4%]. The sensitivity analyses revealed that 8.3% of boys [95%CI:7-9.5%, Q=5.2, p<0.001, I²=61.3%] and 5.8% of girls [95%CI:4.7-6.9%, Q=7.4, p<0.001, I²=73.1%], respectively, were obese during the examined time frame.

Combined prevalence of overweight and obesity in each sex

As far as the combined prevalence of overweight and obesity is concerned, the pooled produced prevalence for boys was 30.4% [95%CI:29.1-31.7%, Q=8.4, p<0.001, I²=16.8%] and the ratio calculated by the

Table 2. Aggregated results of the pooled and sensitivity analyses of studies assessing the prevalence of overweight and obesity in Cypriot adolescents (2000-2010)

Analyses	Weight Status	Boys						Girls						P between sexes	95% CI		
		%	Total n	95% CI		Q	I ²	%	Total n	95% CI		Q	I ²		OR	Lower	Upper
Pooled	Obesity	9.8	6,081	7.3	12.3	7.4	4.8	6.1*	3,886	4.2	8.0	7.7	22.4	0.001	1.7	1.4	2.0
	Overweight	19.3	6,081	18.3	20.3	24.3	71.2	17.1	3,886	14.2	20.0	7.8	23.7	0.001	1.3	1.2	1.4
	Overweight including obesity	30.4	6,081	29.1	31.7	8.4	16.8	23.6	3,886	19.3	27.9	6.7	9.8	0.001	1.5	1.3	1.6
Sensitivity	Obesity	8.3	1,945	7.0	9.5	5.2	61.3	5.8	1,956	4.7	6.9	7.4	73.1	0.032	1.2	1.1	1.4
	Overweight	20.9	1,945	18.9	20.3	0.7	0.0	18.6	1,956	16.7	20.5	5.8	65.7	0.116	1.1	1.0	1.3
	Overweight including obesity	29.5	1,945	27.1	31.9	0.7	0.0	25.4	1,956	20.1	20.6	1.6	0.0	0.001	1.2	1.1	1.4

*Significantly different compared to the sensitivity analysis ($p \leq 0.001$). CI: Confidence Intervals; OR: Odds Ratios for boys; Q: Cochran's Q statistic.

sensitivity analysis reached 29.5% [95%CI:27.1-31.9%, $Q=0.7$, $p \leq 0.001$, $I^2=0\%$]. In girls, REMs were used in the analyses and the combined prevalence of overweight and obesity was calculated as a pooled 23.6% [95%CI:19.3-27.9%, $Q=6.7$, $p \leq 0.001$, $I^2=9.8\%$], whereas the sensitivity analysis revealed 25.4% of combined prevalence [95%CI:20.1-20.6%, $Q=1.6$, $p \leq 0.001$, $I^2=0\%$].

Comparisons between sexes

Between sexes, boys demonstrated a higher prevalence of obesity and overweight ($p \leq 0.001$ for both) compared to girls. According to the pooled analysis, the odds ratio (OR) for overweight in adolescent boys compared to girls was 1.3 (CI:1.2-1.4), whereas for obesity OR was 1.7 (CI:1.4-2) ($p \leq 0.001$ for both). The boys exhibited 1.5 greater odds of being either overweight or obese during adolescence compared to girls (OR:1.5, CI:1.3-1.6). The sensitivity analyses revealed lower odds for all examined parameters (OR obesity:1.4, CI:1.1-1.8; OR overweight:1.1, CI:1-1.3; OR overweight/obesity:1.2, CI:1.1-1.4).

Trend of the combined prevalence of overweight including obesity during the years 2000–2010

Pooled cumulative analyses on the prevalence of overweight including obesity in each sex are presented in Table 3, using the eight and seven retrieved stud-

ies with boys' and girls' samples, respectively. Both sexes demonstrated a slight increase apparent until the year 2005. In boys, stabilization in the prevalence of overweight/obesity was observed from the year 2005 and onwards, whereas girls experienced a slight non-linear decrease.

DISCUSSION

According to the present analyses, a total of 8.5% of Cypriot adolescents were obese during the years 2000-2010, 18.4% were overweight and 27.8% were either overweight or obese. Between sexes, 6.4% of girls and 9.8% of boys were considered obese during adolescence, whereas 17.1% and 19.3%, respectively, from each sex were considered overweight. Overall, approximately 1/4 of girls and 1/3 of boys were either overweight or obese. Data on the prevalence of underweight are limited and research reporting the prevalence of central obesity is scarce. It is difficult to define the time point when the prevalence of adolescent overweight started rising in the island, since there are no available epidemiological studies prior to the year 2000. However, for both sexes the combined prevalence of overweight/obesity culminated during the year 2005. From then and onwards the boys stabilized their prevalence and the girls demonstrated an irregular decrease.

Table 3. Cumulative prevalence of overweight/obesity in Cypriot adolescent boys (a) and girls (b) (2000-2010)

Study name	References	Time point	Cumulative statistics				Cumulative Total	Cumulative event rate (95% CI)					Weight Relative
			Lower limit	Upper limit	Z-Value	p-Value		-0.35	-0.17	0.00	0.17	0.35	
Savva et al, 2002	18	2000	0.254	0.315	-12.058	0.000	237 / 835						11.80
Savva et al, 2008	19	2003	0.271	0.316	-16.090	0.000	471 / 1609						23.15
Lazarou et al, 2008	20	2005	0.275	0.316	-17.500	0.000	574 / 1945						28.11
Christaki, 2006	21	2005	0.290	0.327	-18.277	0.000	742 / 2411						35.58
Lavithi, 2007	22	2006	0.290	0.325	-19.749	0.000	854 / 2784						41.03
Photiou, 2008	23	2007	0.293	0.317	-28.711	0.000	1748 / 5738						84.37
Loucaides & Jago, 2008	24	2007	0.295	0.319	-28.702	0.000	1795 / 5855						86.32
Loucaides et al, 2010	25	2008	0.294	0.316	-31.188	0.000	2074 / 6801						100.00
			0.294	0.316	-31.188	0.000							

Study name	References	Time point	Cumulative statistics				Cumulative Total	Cumulative event rate (95% CI)					Weight Relative	
			Point	Lower limit	Upper limit	Z-Value		p-Value	-0.35	-0.17	0.00	0.17		0.35
Savva et al, 2002	18	2000	0.211	0.185	0.239	-15.785	0.000	181 / 859						15.25
Savva et al, 2008	19	2003	0.247	0.180	0.330	-5.382	0.000	397 / 1610						30.59
Lazarou et al, 2008	20	2005	0.254	0.206	0.309	-7.758	0.000	490 / 1956						44.71
Christaki, 2006	21	2005	0.258	0.221	0.299	-10.189	0.000	620 / 2432						59.42
Lavithi, 2007	22	2006	0.235	0.189	0.287	-8.499	0.000	692 / 2913						73.33
Loucaides & Jago, 2008	24	2007	0.246	0.202	0.297	-8.566	0.000	731 / 3032						84.86
Loucaides et al, 2010	25	2008	0.236	0.196	0.282	-9.674	0.000	890 / 3886						100.00
			0.236	0.196	0.282	-9.674	0.000							

In Europe, the prevalence of childhood and adolescent overweight appears to form a gradient, with the countries situated on the lower latitudes presenting the highest rates observed.²⁶ Cyprus is geographically situated at the lowest latitude of the continent, thus the increased rates of overweight and obesity observed in the present analysis provide further verification of the gradient theory. It has been suggested that the high prevalence of overweight observed in Southern Europe might be the result of the hot climate, as warm environments are associated with reduced thermogenesis and increased sedentary behavior due to the discomfort experienced when exercising in high temperatures.²⁶ In confirmation of this obesogenic climate effect theory, research in Cyprus has linked childhood obesity with increased screen time and other sedentary behaviors.²⁷

Several factors may contribute to the high prevalence of overweight, including a genetic predisposition, which is confirmed by studies on Cypriot adults, half of whom appear to be overweight/obese.²⁰ Unhealthy dietary choices constitute another potential explanation for the high prevalence of overweight/obesity, as the majority of Cypriot children and adolescents follow a diet of low quality.²⁸ Additionally, bilateral parental obesity has been shown to increase the

chances of offspring obesity by 18.1 times in adolescent Cypriot boys.¹⁸

Another factor contributing to the obesogenicity of an environment is urbanization.²⁶ Living in urban Cyprus has been found to affect dietary choices during adolescence,²⁹ but data concerning the prevalence of overweight appear conflicting. Loucaides²² reported that rural Cypriot girls exercise less and Christaki²¹ added another finding by demonstrating higher mean BMI in rural Cypriot adolescents compared to the urban inhabitants of Larnaka and Limassol cities. Savva and his associates¹⁹ compared the relative increase in the prevalence of obesity during a 5-year period and found a substantially higher increase in rural adolescents (35.9%) compared with those living in urban areas of Cyprus (8.7%). However, the CYKIDS study failed to associate obesity with urbanization in school children.²⁰ Therefore, the exact effect of urbanization on adolescent obesity does not appear to be clear-cut, as suggested by other researchers.³⁰ Differing definitions as to what constitutes urban/rural in each country and differences in the physical and social environment of each study's location further cloud the obesity-urbanization issue.^{30,31}

Today, the number of studies assessing excess in body weight according to the IOTF criteria is

constantly increasing,³² but these do not necessarily provide quality data. Although the WHO has aptly put adolescent health in the spotlight,⁸ data on the prevalence of overweight/obesity during adolescence in Europe appear scattered and heterogeneous.³² This creates the need for available high-quality data objectively measuring overweight/obesity in national representative samples of European adolescents.³² In addition, the need for quality assessment of all studies assessing overweight and obesity is universal,^{26,30,32} as low quality studies either over- or under-estimate the actual prevalence. An example of underestimation of the prevalence of obesity is manifest in the difference observed between pooled and sensitivity analysis performed in the girls' data from the results herein. This problem can be addressed by performing systematic reviews and meta-analyses on the prevalence of overweight in each country as well as in the whole continent.

Both sexes demonstrated a slight increase in the prevalence of overweight/obesity that peaked during the year 2005. As data prior to the year 2000 do not exist, it is possible that the first studies conducted between the years 2000-2005 alarmed both the public health sector and the parents and this augmentative trend was halted. In addition, the characterization of obesity as an epidemic also took place early during the 2000's.³³ These warnings produced immediate policy options for responding to the growing obesity challenge from the Cyprus Institute of Child Health⁶ and triggered the initiation of several community-oriented interventions aiming to promote the adoption of a healthy obesity-preventing lifestyle, like the multi-centered European IDEFICS consortium,⁵ or the Cypriot initiative of adopting a 15min work-out every morning for school staff and pupils.³⁴ According to the cumulative analyses, the combined prevalence of overweight and obesity ceased spiraling during the year 2005. Since then, a stabilization in prevalence has been observed among boys and a slight decrease in girls; however, the overall prevalence is still elevated and further interventions are needed in order to combat the disease. During the year 2005, the Cypriot Ministry of Health joined the European Network for Health Education in Schools and since the year 2006 structured protocols of health education, including nutrition, have been adopted

in schools throughout the country. Although we do not have evidence of a direct impact of these health education protocols, it is highly likely that the efforts to ameliorate nutritional knowledge and correct the lack of physical inactivity in adolescents have had an effect on halting the increasing prevalence of overweight.

The present review also identified heterogeneity in several aspects of the available data and lack of data concerning the prevalence of abdominal obesity and underweight, as reported by others.^{30,32} In fact, the majority of studies report mean waist circumference measurements instead of the prevalence of abdominal obesity and, as far as underweight is concerned, researchers appear to underestimate the increased prevalence observed especially in girls, and in their majority do not distinguish between underweight and normal body weight adolescents. Between pooled and sensitivity analyses, a higher proportion of adolescents were considered obese in the latter, showing a compromised accuracy in the results published by lower quality studies and adding more emphasis to the need for high quality studies to be performed. Heterogeneity was also observed in the age-range defining adolescence and this produces problems in comparing data. According to WHO,⁸ the age spectrum for adolescence ranges between 10 and 19 years; however, several researchers use convenient samples with either wider or smaller age-ranges that tend to either under- or over-estimate the actual prevalence of overweight in the adolescent population. In samples recruited from hospitals, adolescence is often defined according to the Marshall and Tanner stages,^{35,36} a classification often conflicting with the proposed WHO age-range.

Compendiously, the present study demonstrated high rates of overweight and obesity among Cypriot adolescents during the decade 2000-2010. Overall, this study shows that we are in need of quality studies also calculating the prevalence of underweight and abdominal obesity as such data are either missing or limited. Additionally, school-based structured nutrition and physical activity education programs including parental involvement should be continued in order to ensure a healthier adolescent and future adult population. Due to the lack of similar studies across Europe, comparisons of the present findings are not feasible. In addition, it is suggested that systematic

reviews and meta-analyses should be performed in more European countries in order to obtain objective data on the prevalence of overweight/obesity among different age groups.

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Research paper

A *SOX3* (Xq26.3-27.3) duplication in a boy with growth hormone deficiency, ocular dyspraxia, and intellectual disability: A long-term follow-up and literature review

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ABSTRACT

OBJECTIVE: *SOX3* is located on the long arm of the X chromosome (Xq27.1) and both the under- and over-expression of this gene have been reported in cases of hypopituitarism with or without intellectual disabilities. Nevertheless, only a few cases have as yet been extensively described. **DESIGN:** A 3-year 11 month-old male was brought in for growth failure (height -2.4 SDS). The patient was born at term of a second uneventful pregnancy by caesarean section for podalic presentation: the birth weight (0.1 SDS), length (0.4 SDS), and head circumference (-0.3 SDS) were normal. Neurodevelopmental delays and ocular motor dyspraxia had been noted since 6 months of age. The endocrinological evaluation showed a very low IGF-I concentration (44 µg/L). The thyroid hormone level was normal and coeliac disease markers were negative. Bone age was considerably delayed. Target height was normal (0.5 SDS). **RESULTS:** Growth hormone stimulation tests were compatible with a classic GHD, while a brain MRI disclosed a pituitary hypoplasia with ectopic neurohypophysis. rhGH treatment was then begun and the auxological follow-up showed a good response. At the age of 9 yrs, the height was 0.3 SDS, the weight was 0.1 SDS, and the pubertal evaluation was PH1 AH1 T2 ml bilaterally. Due to the presence of neuromotor delays and MRI abnormalities, a genetic evaluation was conducted and an array-CGH of the patient's DNA discovered an Xq26.3-27.3 duplication comprising the *SOX3* gene. **CONCLUSIONS:** *SOX3* involvement should be considered in a male with short stature due to GH deficiency associated with intellectual disability.

Key words: Dyspraxia, Growth Hormone, Growth Hormone Deficiency, Intellectual Disability Short stature, *SOX3*

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INTRODUCTION

Pituitary gland development and function depend on the sequential temporal and spatial expression of multiple transcription factor genes, such as *POU1F1* (*POU class 1 homeobox 1*; OMIM 173110), *PROPI*

(prophet of *PIT1*; OMIM 601538), *HESX1* (homeobox gene expressed in ES cells; OMIM 601802), *LHX3* (*LIM homeobox gene 3*; OMIM 600577), *LHX4* (*LIM homeobox gene 4*; OMIM 602146), *SOX3* (*SRY-related HMG-box gene 3*; OMIM 313430), and *OTX2* (*orthodenticle homolog 2*; OMIM 600037).¹⁻³

Congenital hypopituitarism (CH), a defect that is characterised by a deficiency in one or more pituitary hormones and is not rare, may be caused by mutations in any of these genes.⁴

CH manifests either as an isolated hormone deficiency, the most common being isolated growth hormone deficiency (IGHD) or as multiple pituitary hormone involvement [combined pituitary hormone deficiencies (CPHD)].¹⁻³

The CH clinical features may be detected in the neonatal period or present later in life.^{4,5} Moreover, hormonal deficits may be associated with extra-pituitary defects affecting organs that are embryologically correlated.^{4,5}

SOX3 is a single exon gene located on the long arm of the X chromosome (Xq27.1). *SOX3* is a member of the SOX (SRY-related high mobility group box) family of transcription factors that is expressed in neuroepithelial progenitor and stem cells beginning in the earliest stages of embryogenesis.^{6,7} *Sox/SOX* genes have been recognised as key players in the regulation of embryogenesis and nervous system development; they encode transcription factors that act as key regulators in different developmental processes, such as gastrulation, neural induction, specification, and the differentiation of many cell types.^{8,9} *SOX3* has also been implicated in the aetiology of a septo-optic dysplasia variant.³

The dysfunction of the *SOX3* protein disturbs cellular processes that are required for cognitive and pituitary development.¹⁰ In fact, in human males, both the under- and over-expression of this gene lead to CPHD or IGHD and infundibular hypoplasia, an ectopic/undescended posterior pituitary and abnormalities of the corpus callosum with or without intellectual disability (ID).¹⁰⁻¹²

However, micro-duplications of *SOX3* have been identified in only a few patients with IGHD or CPHD,^{10,13-16} frequently accompanied by poor endocrinological^{13,15,16} or clinical^{10,13} data.

CASE REPORT

The proband was the second child of young, healthy, non-consanguineous parents of Italian origin. The target height was normal [0.5 standard deviation score (9SDS)]. After a miscarriage, the couple had a son with normal growth and neuropsychological development (the pedigree is illustrated in Figure 1).

The patient was born by caesarean section for podalic presentation at term of the 3rd uneventful pregnancy. The birth weight was 3.030 kg (0.1 SDS), the length was 52 cm (0.4 SDS) and the head circumference was 34.8 cm (-0.3 SDS). The Apgar score was 9^I-10^V. Genital abnormalities were not observed, nor were hypoglycaemias.

During the first year of life, a mild developmental delay became evident: he sat at 8 months and walked independently at 22 months, while language started at 24 months. Intellectual disability was ascertained at the age of 2 yr and 6 mo: the developmental quotient (DQ) was 65. In the same period, an ophthalmologic examination was carried out: the fundus and visual acuity were normal, but a gaze-evoked horizontal nystagmus and ocular saccadic overshoot were observed, leading to a suspicion of a diagnosis of ocular dyspraxia.

At 3 yr and 11 mo old, due to pronounced growth failure (height -2.4 SDS), an endocrinological evaluation of the child was conducted which revealed a very low IGF-I concentration (44 µg/L). An extensive endocrine work-up was performed: free-thyroxine [(FT₄) 1.47 ng/dL, n.v. 0.86-2.12 ng/dL], thyroid-stimulating hormone [(TSH) 3.38 µUI/dL, n.v. 0.4-4.0 µUI/dL], cortisol (8.23 µg/dL, n.v. 5-25 µg/dL), adrenocorticotropic hormone [(ACTH) 50 ng/L, n.v. 0.9-52 ng/L], glucose (72 mg/dL, n.v. 55-110 mg/dL), and prolactin [(PRL) 86 mUI/ml] were in the normal range. The electrolyte, venous blood gas, haemoglobin, total protein, serum albumin, coagulation profile, calcium, phosphorous, vitamin D (25OHD), and parathyroid hormone (PTH) levels were also normal. The anti-tissue transglutaminase (tTG) was negative. Neuro-metabolic tests (plasma aminoacidogram, urine aminoacidogram, acylcarnitine profile analysis, and redox state) yielded normal results. The karyotype was 46,XY. A multiplex ligation-dependent probe amplification (MLPA) analysis and fragile X syndrome (FRAXA) testing also returned normal results.

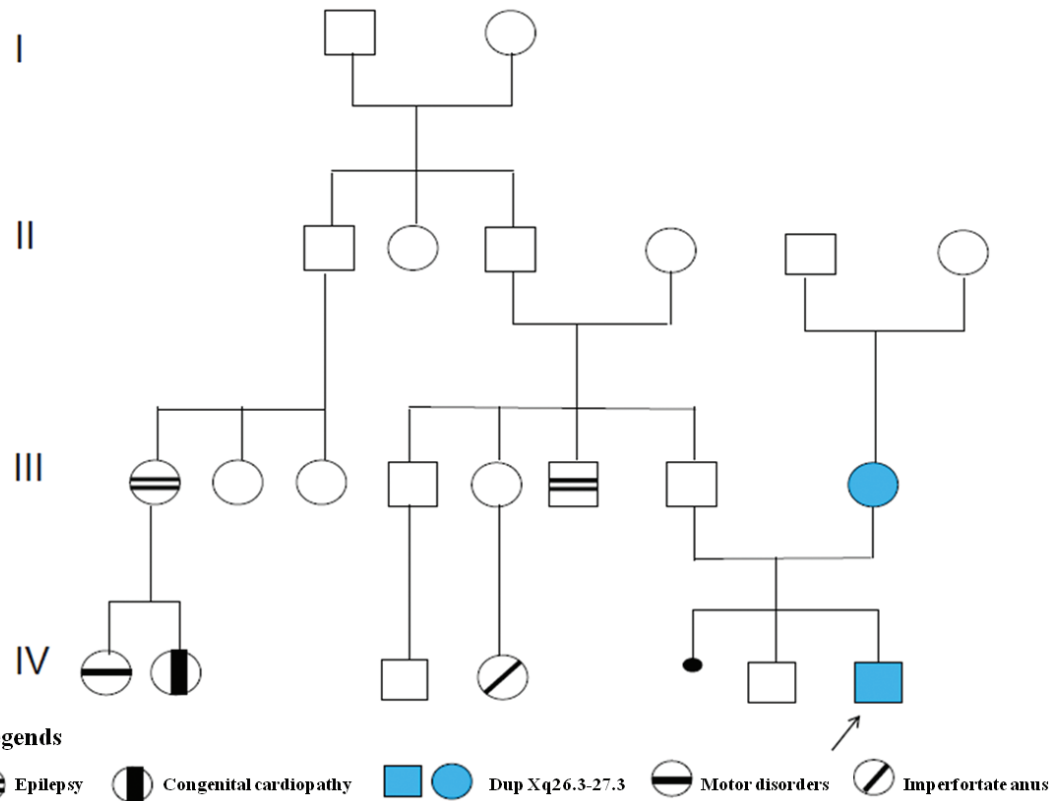


Figure 1. Pedigree of the family that was analysed in this study. Duplications were found in the proband and mother.

Bone age was considerably delayed (2 yr and 1 mo at 3 yr and 11 mo of chronological age). A growth hormone stimulation test disclosed a classic GH deficiency (GH peak after clonidine 2.4 ng/mL; GH peak after arginine 2.1 ng/mL). An MRI revealed an anterior pituitary hypoplasia with ectopic neurohypophysis, corpus callosum hypogenesis, and incomplete myelination (Figure 2). The posterior fossa was significantly reduced.

Based on these findings, rhGH treatment was conducted (0.23 mg/kg per week subcutaneously). The auxological follow-up showed a strong positive response to the treatment with the standard deviation-growth velocity (SDS-GV) increasing remarkably during therapy (Figure 3).

At 9 yr and 9 mo, the height was 0.3 SDS, the weight was 0.1 SDS, and the pubertal evaluation was PH1 AH1 T2 ml bilaterally. Bone age remained considerably delayed (6 yr and 1 mo at 9 yr and 9 mo of chronological age). During follow-up, a periodic evaluation of the other adenohipophysial hormones



Figure 2. Sagittal MRI scan of the patient with *SOX3* duplication, showing pituitary hypoplasia, hypoplasia of the infundibulum, and an undescended/ectopic posterior pituitary. Note the hypogenesis of the corpus callosum.

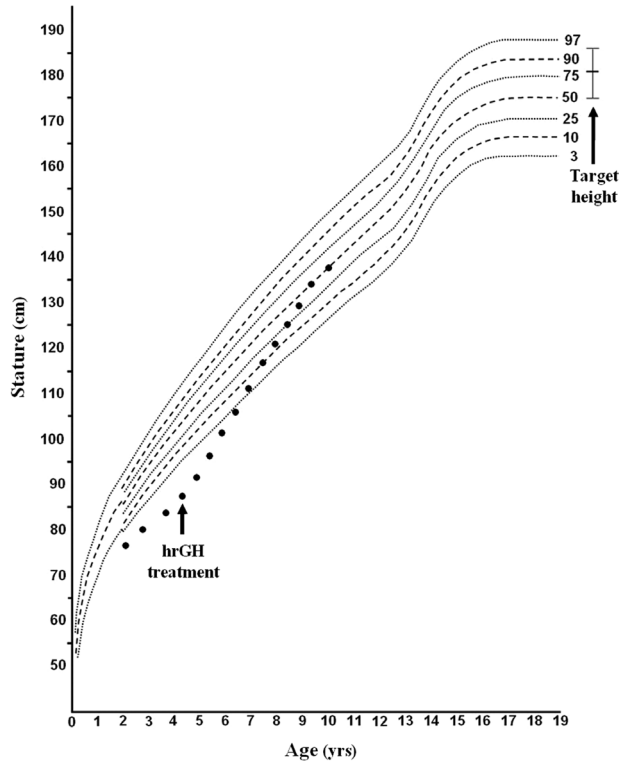


Figure 3. Growth chart of the patient. The arrows indicate the age of onset for growth hormone treatment (black arrow).

disclosed deficiency. At 6 yr and 8 mo of age, the FT₄ was 1.56 ng/dL, the TSH was 3.01 μUI/dL, the cortisol was 11.45 μg/dL, the ACTH was 42 ng/L and the PRL was 69 mUI/ml.

Due to the presence of neuromotor delays and MRI abnormalities, a genetic evaluation was carried out. The patient’s DNA was analysed using array CGH (comparative genomic hybridisation). After obtaining informed consent, the genomic DNA was extracted from the leukocytes of the proband, i.e. both his parents and maternal grandparents according to standard procedures. Array CGH was performed using the Agilent 60k platform with a median resolution rate of nearly 100 kilobases (kb). Based on the physical mapping positions that were designated at the March 2006 assembly (NCBI36/hg18) of the UCSC Genome Browser, this analysis showed a duplication that involved the Xq26.3-27.3 region with an extension between 135,175,703 bp (first duplicated) and 142,971,531 bp (last duplicated) (Figure 4; Table 1): a 7.8 megabase (Mb) duplication was identified in Xq26.3 - 27.3 spanning more than 20 genes, among which the morbid genes were

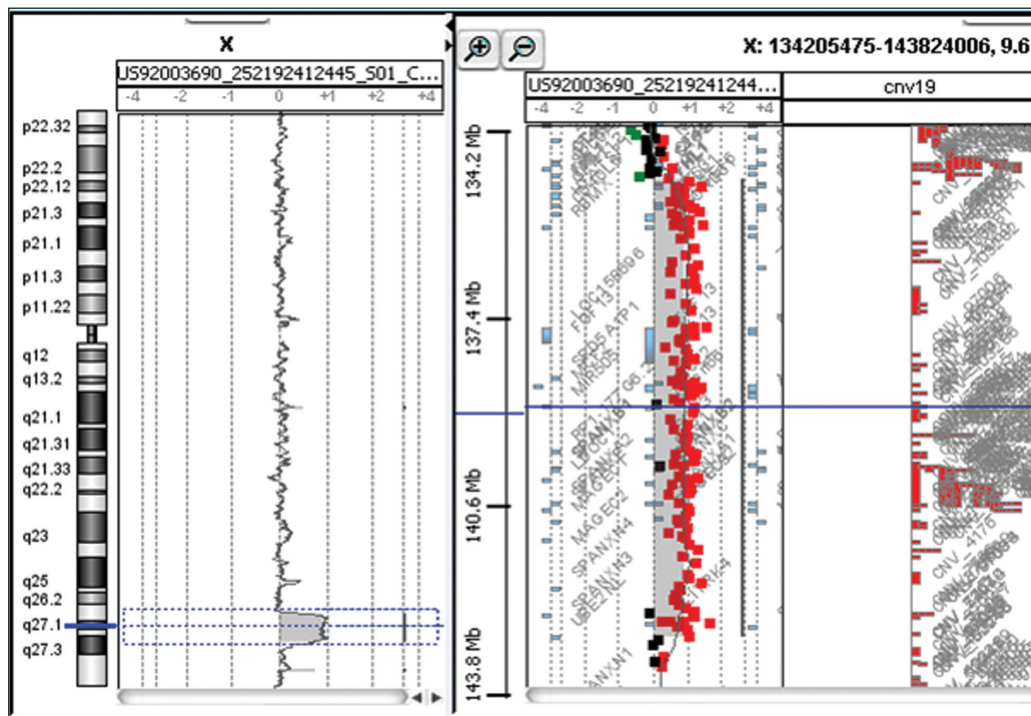


Figure 4. Array-CGH analysis showing a duplication that included Xq26.3-27.3 with the extension between 135,175,703 bp (first duplicated) and 142,971,531 bp (last duplicated).

Table 1. Main phenotypic characteristics of patients with *SOX3* duplications

Clinical findings	Stankiewicz 2005	Woods 2005	Woods 2005	Hol 2000	Hol 2000	Lagerström- Fermér 1997	Moalem 2012	Our case
<i>SOX3</i> abnormalities	dupXq26.2-q27.1	dupXq27.1	dupXq27.1	dupXq26-q27	dupXq26-q27	dupXq25-q26	dupXq27.1	dupq24.2-q25.2
Sex (M:F)	F	M ¹	M ¹	M	M	6(M)	M	M
Ancestry	Caucasian	Caucasian	Caucasian	Caucasian	Caucasian	NA	Asian	Caucasian
Familial history	+ ²	NA	NA	-	-	NA	-	+ ³
Miscarriage history	+	NA	NA	+	+	NA	-	+
Pregnancy	uncomplicated	NA	NA	complicated ⁴	complicated ⁵	NA	diabetes	uncomplicated
Delivery	spontaneous	NA	NA	spontaneous	caesarean ⁶	NA	spontaneous	caesarean ⁷
Age (yrs. mo)	12.6	7.0	0.2	0.2	0.2	NA	0.5	3.11
Midparental height (SDS)	-1.72	0.20	0.20	NA	NA	NA	NA	0.50
Maternal height (SDS)	-1.73	0.70	0.70	NA	NA	NA	NA	-0.10
Birth weight (SDS)	0.17	NA	NA	0.27	-0.53	NA	0.19	0.10
Birth length (SDS)	-2.50	NA	NA	-1.20	NA	NA	NA	0.40
Neonatal symptoms	-	+ ⁸	+ ⁹	+	-	NA	-	-
Postnatal growth failure (SDS)	-3.29	-2.80	-3.80	+ ¹⁰	NA ¹¹	+(NA)	-	-2.40
Puberty	delayed	normal		NA		NA		
Dysmorphisms	+	NA	NA	+	+	NA	-	-
Ocular abnormalities	ND	ND	ND	ND	ND	NA	ND	+ ¹²
Cryptorchidism		-	+	NA	-	NA	-	-
Genital malformations		NA	+	NA	-	NA	+ ¹³	-
Hypotonia	+	-	-	+	NA	NA	-	+
Seizures	-	-	-	+	-	NA	-	+
MRI/TC abnormalities	ND	+	+	-	ND	NA	ND	+
Hypoplastic anterior pituitary		+	+			NA		+
Infundibulum hypoplasia		+	+			NA		+
Undescended neuropituitary		+	+			NA		+
Corpus callosum malformed		+ ¹⁴	-			NA		+
Developmental delay	+	-	- ¹⁵	+	+	+	-	+
GH/IGF-I deficiency	-/+ ¹⁶	+	+	+	+	+	ND	+
TSH deficiency	ND	+	+	+	-	+(4/6)	ND	-
ACTH deficiency	ND	-	+	+	NA	+(1/6)	ND	-
Gonadotropin deficiency	ND	NA	+	NA	NA	+(2/6)	-	ND
Prolactin deficiency	ND	-	NA	NA	NA	+(2/4)	ND	-
Other	+ ¹⁷			+ ¹⁸	+ ¹⁹			

¹Brothers; ²mother and maternal aunt with the same Xq26.2-q27.1 duplication, showing short stature, dyslalia, hearing impairment, premature ageing, strabismus, nystagmus, optic disc abnormality, and reduced visual field; ³see pedigree in Figure 1; ⁴intrauterine growth retardation and macrocephaly; ^{5,6}fetal hydrocephalus; ⁷podalic presentation; ⁸hypoglycaemia and hyponatremia; ⁹neonatal hypoglycaemia; ¹⁰not present because treatments started by 6 weeks of life; ¹¹reported <5th percentile at 2 months of life; ¹²ocular dyspraxia and strabismus; ¹³bifid but well developed scrotum and penoscrotal hypospadias; ¹⁴cyst within the splenium of the corpus callosum; ¹⁵hyperactivity; ¹⁶IGF-I level borderline low; ¹⁷hearing impairment; ¹⁸lumbar spina bifida occulta and deep sacral dimples - the skin had multiple dark lentiginos; ¹⁹lumbosacral myelomeningocele, talipes equinovarus of the right foot; hydronephrosis of the right kidney.

SOX3, *FHL1* (four-and-a-half lim domains 1; OMIM 300163), *CD40LG* (*CD40* antigen ligand; OMIM 300386), *ARHGEF6* (*rho* guanine nucleotide exchange factor 6; OMIM 300267), *ZIC3* (zinc finger protein of cerebellum 3; OMIM 300267), and *F9* (coagulation factor IX; OMIM 300746). The same duplication was found in the DNA of the mother.

DISCUSSION

We describe a new case of isolated GHD in a patient with the duplication Xq26.3-27.3 comprising the *SOX3* gene. The growth failure was relatively severe, but a significant catch-up growth achieved after a long-term follow-up with rhGH treatment confirmed the diagnosis of GHD.

Additional pituitary deficiencies were not recorded, and an evaluation of a possible LH/FSH deficiency will be performed in the future.

GHD has been reported in most cases of *SOX3* involvement (Tables 1 and 2).^{10,13,14,17-19} Recently, Takagi described a male patient with Kabuki syndrome due to a mutation in *KMT2D* (*Lysine-Specific Methyltransferase 2D*). *KMT2D* is involved in the majority of cases of Kabuki syndrome, a condition that is sometimes associated with GHD. As the patient also had CPHD, the authors analysed all of the coding exons and flanking introns of currently known genes responsible for CPHD by PCR-based sequencing, discovering a mutation in *SOX3* consisting of a deletion in the polyalanine (PA) tracts of *SOX3*. This study provides additional evidence that *SOX3* mutations must be looked for in hypopituitarism.

As documented by Woods et al, the over- and under-expression of *SOX3* is associated with significant interfamilial phenotypic variability, which may be seen in many patients even with identically sized expansions.¹⁰ To the best of our knowledge, this case is the second described isolated case of GHD after the patient who was reported by Burkitt Wright.¹⁸ In fact, GHD is more frequently associated with TSH deficiencies, the exceptions being the cases published by Hol,¹⁴ Burkitt Wright,¹⁸ and ourselves; ACTH^{10,13,14,19} or gonadotropin^{10,13,17,18} deficiencies have been more rarely diagnosed, even though in many cases specific diagnostic tests were not carried out.¹⁶

Therefore, based on the evaluation of the various pituitary defects and molecular diagnoses (sequence variant and whole gene deletion or duplication), patients with duplication of *SOX3* could present an IGHD without the involvement of additional adeno-hypophyseal hormones,^{10,14,15} more frequently with respect to patients with *SOX3* sequence variants.^{10,17,19} Nevertheless, the cases that were reported by Hol et al¹⁴ and Woods et al¹⁰ with the duplication Xq26.3-27.3 also displayed in CPHD. Other subjects with *SOX3* duplication that were described by Salomon et al²⁰ have not been confirmed.²¹

Anterior pituitary hypoplasia, an absent stalk, and ectopic neurohypophysis are other useful findings that can support the diagnosis of CPHD or IGHD due to *SOX3* sequence variants or whole gene deletions/duplications.²² However, some patients lack descriptions of their hypothalamic-pituitary anatomy,^{10,12-15} whereas others disclosed only partially the MRI characteristics that have been described as typical of *SOX3* involvement. For example, in a case that was described by Woods et al,¹⁰ MRI abnormalities were absent, such as in the patient who was reported by Helle et al²³ and one of the patients who was reported by Hol et al.¹⁴ Nevertheless, the case of Helle et al²³ showed hyperphagia, most likely with a hypothalamic origin without other typical *SOX3* involvement characteristics; this patient did not have hypopituitarism.

Available evidence demonstrates that either the over- or under-expression of *SOX3* can result in the perturbation of pituitary and hypothalamic development.²⁴ Altered *SOX3* dosage may also be the causative mechanism for the X-linked hypopituitarism that is associated with infundibular hypoplasia, an ectopic/undescended posterior pituitary, and abnormalities of the corpus callosum (with or without ID).^{10,12,17,19} Therefore, the presence of IGHD or CPHD in males, in particular if presenting ID, may be a useful indicator of potential defects in *SOX3*.

ID is frequently reported in these patients. The degree of mental retardation and the characteristics vary among patients.^{12-15,23} Even though mental retardation is reported in the majority of patients with *SOX3* duplications,¹³⁻¹⁵ this trait was not present in the cases that were documented by Woods et al¹⁰, which were characterised by a smaller duplication

Table 2. Main phenotypic characteristics of patients with *SOX3* involvement (not duplication)

Clinical findings	Woods 2005	Woods 2005	Woods 2005	Alatzoglou 2011	Takagi 2013	Helle 2013	Burkitt Wright 2009	Laumonier 2002
<i>SOX3</i> abnormalities	mutation ¹	mutation ¹	mutation ¹	mutation ²	mutation ³	delXq27.1q27.2	mutation ⁴	invXp21.3q27.1
Sex (M:F)	M	M	M	F	M	M	M	F
Ancestry	Arab ⁵	Arab ⁵	Arab ⁵	NA	Asian	Caucasian	NA	NA
Familial history	+ ⁶	+ ⁶	+ ⁶	NA	-	-	NA	-
Miscarriage history	NA	NA	NA	NA	-	-	NA	.
Pregnancy	NA	NA	NA	NA	uncomplicated	uncomplicated	NA	uncomplicated
Delivery	NA	NA	NA	NA	spontaneous	NA	NA	spontaneous
Age (yrs.mo)	3.0	4.5	2.7	7.5	2.0	5.5	NA	10.9
Midparental height (SDS)	NA	NA	NA	NA	NA	NA	NA	NA
Maternal height (SDS)	NA ⁷	NA ⁷	NA ⁷	NA	NA	NA	NA	NA
Birth weight (SDS)	NA	NA	NA	NA	-0.70	2.50	NA	0.05
Birth length (SDS)	NA	NA	NA	NA	-1.90	2.00	NA	-0.66
Neonatal symptoms	-	-	-	NA	+ ⁸	-		-
Postnatal growth failure (SDS)	-2.50	-2.50	-1.30	-3.10	-5.10	-0.54	NA	NA
Puberty	delayed	delayed	NA	delayed			delayed	
Dysmorphisms	.	-	-	+ ⁹	+	+	-	-
Ocular abnormalities	ND	ND	ND	-	ND	ND	ND	+ ¹⁰
Cryptorchidism	-	+	+	-	-	NA	ND	
Genital malformations	- ¹¹	+ ¹²	+ ¹²	NA	-	NA	ND	
Hypotonia	-	-	-	-	+	-	-	+
Seizures	-	-	-	+	-	-	-	-
MRI/TC abnormalities	-	+	+	+ ¹³	+	-	+	ND
Hypoplastic anterior pituitary		+	+	.	+	-/+	-	
Infundibulum hypoplasia		+	+	-	+	-	.	
Undescended neuropituitary		+	+	-	+	-	+	
Corpus callosum malformed		-	-	-	+	-	-	
Developmental delay	-	-	-	-	+	+	-	+
GH/IGF-I deficiency	+	+	+	+	+	.	+	ND
TSH deficiency	+	+	+	+	+	.	-	ND
ACTH deficiency	+	+	+	-	+	.	-	ND
Gonadotropin deficiency	+	+	+	+	NA	.	+	ND
Prolactin deficiency	NA	-	NA	-	-	.	NA	ND
Other					+ ¹⁴	+ ¹⁵		

¹Seven alanine residues were inserted in the normal polyalanine tract from amino acids 720-721; ²loss of six alanine residues between codons 243 and 248 (p.A243_A248del6 or del6PA); ³loss of seven alanine residues between codons 239 and 245 (p.Ala239_245 del7A); ⁴seven alanine residues insertion in the normal polyalanine tract from amino acids 234-249; ⁵Qatari first-degree consanguineous parents; ⁶mother heterozygous; ⁷reported as normal; ⁸neonatal hypoglycaemia; ⁹Turner-like habitus; ¹⁰strabismus; ¹¹microrchidism; ¹²cryptorchidism; ¹³enlarged anterohypophysis; ¹⁴ventricular septal defect, atrial septal defect, mitral stenosis, and hearing loss; ¹⁵obesity and hyperphagia.

(685.6 kb in length). Although one of these patients exhibited hyperactivity, the absence of ID in some patients^{10,17,18} may result from different dosage effects.

Vertebrate embryonic stem cells express the Sox2 transcription factor, which, together with the closely related Sox1 and Sox3 proteins, forms the SoxB1 subgroup of the Sox protein family. First, Bergsland et al found that the genome-wide binding patterns of Sox2 and Sox3 in neural precursor cells (NPCs) overlap extensively, with 96% of the Sox2-bound sites also bound by Sox3.²⁵ Therefore, a substantial number of the identified binding sites are part of brain-specific regulatory regions. Both high and low levels of Sox3 can deleteriously affect normal brain function and physiology. In fact, constitutively active Sox3 leads to increased apoptosis.²⁶

In conclusion, IGHD and CPHD are frequently reported characteristics in patients with *SOX3* involvement. The association with mental retardation is also typically present, more frequently in *SOX3* duplication than in mutations. In the case of males with mental retardation and postnatal growth failure due to IGHD or associated with CHPD, the involvement of *SOX3* may be considered.

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Case report

Diabetes mellitus in a girl with thyroid hormone resistance syndrome: a little recognized interaction between the two diseases

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ABSTRACT

The syndrome of resistance to thyroid hormone (RTH) is characterized by elevated serum free thyroid hormones (FT₄ and FT₃) in the presence of unsuppressed TSH levels, reflecting resistance to the normal negative feedback mechanisms in the hypothalamus and pituitary. The degree of resistance within peripheral tissues determines whether thyrotoxic clinical features are associated with this condition. Classic features include attention deficit hyperactivity disorder, growth delay, tachycardia, and goiter. However, other features, such as frequent ear, nose and throat infections, hearing deficit, and decreased bone mass have recently been recognized. The phenotype of RTH is variable, with most patients presenting with mild to moderate symptoms. In this report we describe a girl with familiar RTH and diabetes mellitus. This is, to our knowledge, the first report regarding this association. Nearly one year after long-term triiodothyroacetic acid (Triac) therapy, we observed a reduction of thyroid hormone levels with an amelioration of insulin resistance. The possible interactions between these disorders are discussed.

Key words: Diabetes, Genetic syndromes, Goiter, Thyroid hormone resistance

INTRODUCTION

The syndrome of resistance to thyroid hormone (RTH; *MIM 188570*) is characterized by elevated

serum free thyroid hormones (TH) in the presence of unsuppressed TSH levels, reflecting resistance to the normal negative feedback mechanisms in the hypothalamus and pituitary.¹ The degree of resistance within peripheral tissues determines whether thyrotoxic clinical features are associated with this condition.¹

RTH is caused by a mutation in the *TH receptor (TR)-β* gene (*THRB*; *MIM 190160*). To date, more

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than 300 families with the RTH phenotype have been found to harbor mutations in this gene.² The mutant TR interferes with the activity of normal TR to induce the clinical syndrome.³ Identification of an autosomal dominant mode of inheritance, in conjunction with the recognition that receptor mutants are functionally impaired, has led to the proposal that these abnormal proteins are able to inhibit the function of their wild-type counterparts in a dominant negative manner.¹ However, sporadic *de novo* cases are also common, although recessive inheritance is rare.⁴

The phenotype of RTH is variable, with most patients presenting with mild to moderate symptoms.⁵ Since its first description by Refetoff et al in 1967,⁶ classic features have been progressively identified, including attention deficit and hyperactivity disorder, growth delay, tachycardia, and goiter. However, other features, such as frequent ear, nose and throat infections, hearing deficit, and decreased bone mass, have recently been recognized.⁵ As RTH patients present with elevated TH levels and goiter, frequently accompanied by some manifestations of thyrotoxicosis, the condition is often misdiagnosed as Graves' disease. However, exophthalmos has never been identified as a feature of RTH and thyroid auto-antibodies have been detected only in approximately 4% of patients.⁷

In these patients, an impairment of the glucose metabolism has not, to our knowledge, been reported. In this case report, we describe a girl with THR and diabetes mellitus, while we also discuss the potential mechanisms of this association.

CLINICAL REPORT

The patient, a female aged 10 years and 7 months, was referred to our Paediatric Endocrinology Unit for low body mass index (BMI) and suspected diagnosis of hyperthyroidism.

The proposita was the third-born child of a non-consanguineous marriage delivered normally at 40 weeks after an uncomplicated pregnancy. Family history was suggestive of thyroid pathologies and type 2 diabetes mellitus (T2DM); the mother showed a history positive for goiter, hyperthyroidism, and fasting hyperglycaemia, diagnosed until adolescence. Three aunts have a history of thyroid diseases with goiter and

unspecified dysthyroidism. One of these also showed impaired glucose tolerance (IGT), starting from the age of 34 years. One brother died of sudden infant death syndrome at three months of age. The other brother, 18 yrs old, was without any medical problems.

At birth, the girl's Apgar score was 10^L-10^V. Birth weight was 2.6 Kg (-1.90 SDS), length was 48 cm (-1.40 SDS) and head circumference 33.5 cm (-1.03 SDS). The neonatal congenital hypothyroidism screening was negative.

At 10 years and 7 months of age the girl weighed 26.6 kg (-1.75 SDS) and her height was 144 cm (-0.20 SDS). BMI was 12.80 (-3.00 SDS), according Cacciari et al.⁸ The girl had no dysmorphic features. Her pubertal staging was B2-PH1-AH1. Her history was positive for frequent headache episodes and learning difficulty in school. At physical examination she appeared as hyperactive. There was no exophthalmos, muscle weakness or tremor. There was no erythema or onycholysis. The neurological and audiometric examinations were normal. Her blood pressure was 110/70 mmHg and her pulse rate was 110 beats/min. The skeletal muscles appeared hypotrophic and the adipose tissue reduced. The patient's thyroid gland was slightly and symmetrically enlarged.

Basal hormonal investigation revealed fT₄ 4.26 ng/dL (normal range: 0.8-1.9), fT₃ 8.02 pg/mL (normal range: 1.6-4.8), TSH 3.90 μ IU/mL (normal range: 0.4-4). Antibodies against thyroperoxidase, thyroglobulin, and TSHR were undetectable. The *fundus oculi*, ECG, and echocardiography were normal.

Ultrasound examination of the neck showed an in-place, slightly enlarged thyroid gland. Bone age, performed according to the Greulich and Pyle method, was correspondent to chronological age (10 years vs. 10 years 7 months).

Other laboratory examinations showed normal haemoglobin levels (135 g/L; normal range: 120-150 g/L), mean corpuscular volume (MCV) (75.7 fL; normal range: 75-90 fL), serum cortisol (18 mg/dL; 08 AM normal range 5-25 mg/dL), adrenocorticotrophic hormone (ACTH) (08 AM 35 pg/mL; normal range 9-52 pg/mL), 30's prolactin (PRL) (100 mIU/L; normal range 63-426 mIU/L), total calcium (2.3 mmol/L; normal range 2.2-2.7 mmol/L), phosphate

(1.12 mmol/L; normal range 1.09-1.4 mmol/L), 25-hydroxyvitamin D (30.5 ng/mL; normal value >30 ng/mL), 1,25-dihydroxyvitamin D (65.5 pg/mL; normal range: 19.9-67 pg/mL); parathyroid hormone (PTH) (37.6 pg/mL; normal range: 12-72 pg/mL), bone alkaline phosphatase (82.3 U/L; normal range 40-140 U/L), osteocalcin (90 ng/mL; normal range 45-110 ng/mL), and urinary deoxypyridinoline (46 nM/mM creatinine; normal range 30-60 nM/mM creatinine) levels. Her blood chemistry was AST 23 IU/L, ALT 36 IU/L, γ -GTP 27 IU/L, ferritin 10.5 ng/mL, HbA1c 5.6%, triglycerides 138 mg/dL, total cholesterol 235 mg/dL, HDL cholesterol 49 mg/dL, LDL cholesterol 158 mg/dL, insulin 26 μ U/mL, glycaemia 130 mg/dL. No excretion of glucose in the urine was detectable.

However, an oral glucose tolerance test indicated diabetes mellitus with hyperinsulinism and insulin

resistance: glycaemia was 131 mg/dL at 0', and 205 mg/dL at 120', whereas insulin was 34.6 μ U/mL at 0', and 78.8 μ U/mL at 120' (Table 1). Homeostasis Model Assessment - Insulin Resistance (HOMA-IR) index (11.19) and Matsuda index (1.29) indicated insulin resistance.

Coeliac disease screening was negative (IgA 129 mg/dL; anti-tissue transglutaminase (tTG) antibodies 0.5 U/mL). The HLA was DQ2 DR3, 11 DRB3* DRw52.

Routine cytogenetic investigations revealed an apparently normal female karyotype (46,XX). For the next diagnostic plan, the sequence analysis of the *TR β* gene revealed a mutation in exon 9 and an amino acid alteration, namely, a substitution of valine for methionine at codon 313 (Met313Val) (Figure 1). This mutation has not, to our knowledge, been

Table 1. Thyroid hormone and TSH levels and metabolic evaluation before and after TRIAC administration

	Before TRIAC (10 years 7 months)	After TRIAC (11 years 9 months)
Height (SDS)	-0.20	-0.11
Weight (SDS)	-1.75	-1.83
BMI (SDS)	-3.00	-2.78
FT ₄ (ng/dL)	4.26	2.47
FT ₃ (pg/mL)	8.02	5.23
TSH (μ IU/mL)	3.90	2.60
Total cholesterol (mg/dL)	235	176
HDL cholesterol (mg/dL)	49	53
LDL cholesterol (mg/dL)	158	101
Triglycerides (mg/dL)	138	108
OGTT		
Glycaemia (mg/dl)		
T0'	131	106
T30'	222	132
T60'	189	144
T90'	146	116
T120'	205	129
Insulin (μ U/mL)		
T0'	34.6	15.4
T30'	89.3	34.9
T60'	110.3	67.8
T90'	35.4	25.3
T120'	78.8	31.2
HbA1c	5.6	5.2

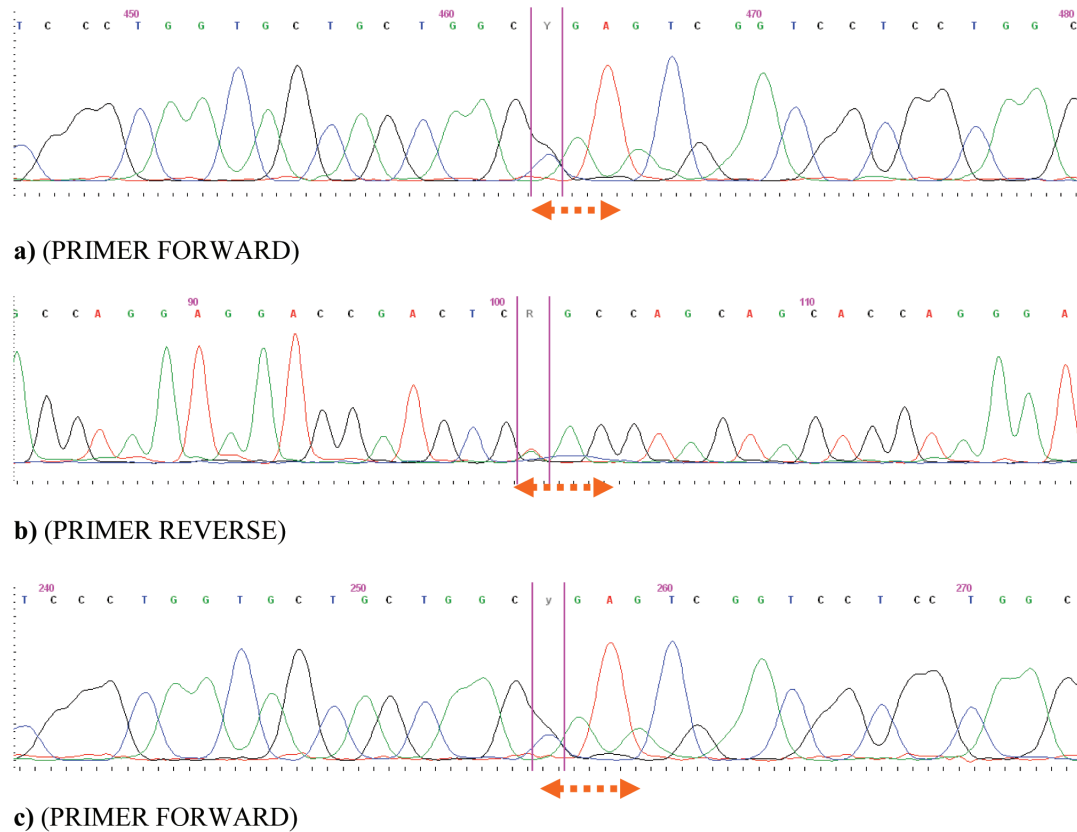


Figure 1. Electropherogram of gene *TRβ* in the proposita (a and b) and the mother (c), showing a point mutation at codon 313 (Met313Val).

described in the literature. The same mutation was detected in the mother and in two maternal aunts (one with IGT).

Therefore, the proposita was started on a 3,5,5'-triiodoacetic acid (Triac) therapy at the initial dose of 1 mg/d. At the same time, nutritional recommendations and interventions were also initiated for diabetes.

Almost one year after long-term Triac therapy (11 years 9 months of age), the girl weighed 30.2 kg (-1.83 SDS) and her height was 149.6 cm (-0.11 SDS). BMI was 13.49 (-2.78 SDS), according Cacciari et al.⁸ The girl had no dysmorphic features. The pubertal staging was B2-3-PH2-AH1.

We noted a reduction of thyroid hormone levels: fT_4 2.47 ng/dL, fT_3 5.23 pg/mL, TSH 2.60. We also observed an amelioration of glucose and insulin metabolism: HbA1c was 5.2%, basal glycaemia was 104 mg/dl, and basal insulin was 18.3 μ U/mL. However, triglycerides were 108 mg/dL, total cholesterol 176

mg/dL, HDL cholesterol 53 mg/dL, LDL cholesterol 101 mg/dL. After a new oral glucose tolerance test, we hypothesized that glucose metabolism could be ameliorated by Triac treatment, possibly by improving insulin sensitivity (Table 1). In fact, basal glycaemia was 106 mg/dL (116 mg/dL at 120') and basal insulin 15.4 μ U/mL (31.2 μ U/mL at 120'). HOMA index was 4.03 and Matsuda index 3.50.

DISCUSSION

Resistance to thyroid hormone is an uncommon disorder characterized by elevated circulating THs with nonsuppressed TSH levels, reflecting resistance within the hypothalamic-pituitary-thyroid axis but variable refractoriness to hormone action in peripheral tissues.⁹

Clinically, RTH can be divided into two entities: generalized (GRTH) and pituitary (PRTH) resistance.³ A molecular mechanism to explain these two

clinical phenotypes has proven elusive and many authors have concluded that they are part of a spectrum of the same disorder.¹⁰ However, most subjects with GRTH are either asymptomatic or have nonspecific symptoms and are deemed to be in a compensated euthyroid state. In contrast, a subset of affected individuals can exhibit some clinical features of hyperthyroidism, suggesting greater central or pituitary RTH than in peripheral tissues.¹¹

A possible, partial, explanation may be tissue-dependent TRs expression. In fact, although TR α 1 and TR β 1 are expressed ubiquitously, TR α 1 is expressed predominantly in the heart, bone, brain, and skeletal muscle,¹¹ whereas TR β 1 is expressed more abundantly in liver, kidney, and thyroid.¹⁰ However, TR β 2 expression is limited to the pituitary gland, hypothalamus, retina, and inner ear.¹⁰

In the murin pancreas, TR α 1 is mainly expressed in α -cells.¹² On the other hand, T₃ rapidly induces *Akt* activation, with specific non-genomic action, in pancreatic β -cells via TR β 1. Thus, T₃ could be considered a survival factor protecting islet β -cells from apoptosis.¹³ Besides this, the predominance of an α receptor isoform in skeletal muscle may explain retention of sensitivity to TH by this target tissue, though some observations also support the notion that skeletal muscle is less refractory to TH action than the hypothalamic-pituitary axis in RTH.¹¹

Patients with RTH usually present with goiter and a euthyroid or mildly hypothyroid metabolic state.¹⁴ However, the clinical presentation of the disease may be hypothyroidism, with symptoms such as delayed growth, cognitive dysfunction, and hypercholesterolaemia and, concurrently, signs consistent with hyperthyroidism, including tachycardia, weight loss, attention deficit-hyperactivity disorder, and advanced bone age.¹⁵ The hypothyroid-like effects are presumably the consequence of mutant TR β interference with, or inhibition of, normal T₃ signalling pathways, whereas the signs reflective of hyperthyroidism result from the elevated T₃ driving the activity of the TR α 1 isoform.¹⁵

The secondary occurrence of T2DM with pituitary, adrenal, and/or thyroid diseases is a recurrent observation.¹⁶ Indeed, IGT and overt diabetes mellitus have frequently been associated with acromegaly, hypercortisolism, and hyperthyroidism.¹⁶

Several studies evaluating the genomic and non-genomic effects of TH on insulin secretion have been conducted in order to clarify the mechanism(s) behind the IGT observed in hyperthyroidism.¹⁷ For example, in rats treated with high and low doses of T₄, fasting blood glucose levels were increased, but serum insulin levels were similar to those of controls.¹⁷ By contrast, in rats treated only with high doses of T₄, after an oral glucose load, blood glucose levels were increased, but serum insulin levels were decreased.¹⁷ Hence, in animals, a deficient pancreatic β -cell response to glucose, rather than insulin resistance, seems to be responsible for the abnormal glucose tolerance.¹⁷

Notwithstanding, in humans, a recent study of Mitchell et al showed that RTH subjects exhibited insulin resistance as reflected by HOMA-IR.¹¹ In fact, in a subgroup of five RTH patients undergoing an OGTT, the ISI tended to be significantly lower and HOMA significantly higher than controls, clearly indicating the presence of an insulin-resistance.¹¹

One possible explanation for these discrepant results between animal models and humans may be that besides stimulating muscle fat oxidation and mitochondrial energy uncoupling in skeletal muscle, TH also promotes myocellular lipogenesis. A further possibility is that the liver RTH action might influence hepatic insulin sensitivity.¹¹

A key phenotype associated with T2DM in humans is impaired mitochondrial oxidative metabolism in skeletal muscle, a pattern potentially contributing to increased lipid accumulation and impaired metabolic flexibility, in turn, central features of both insulin resistance and diabetes.¹⁸ In fact, the insulin-resistant state with hyperglycaemia may also be due to TH effects on mitochondrial content and activity, which is important for steroidogenesis as well as insulin secretion and action.^{19,20}

However, in thyrotoxicosis, insulin levels are determined by two key factors: increased release of biologically inactive insulin precursors and increased insulin breakdown. β -cell response to a meal in patients with Graves' disease is characterized by a high proinsulin output in the hyperglycaemic state.²¹ The ratio of C-peptide to proinsulin is decreased, suggesting a defect in the cleaving process.²² The increase in cardiac output in hyperthyroidism leads to increased

glomerular filtration rate and therefore increased insulin clearance. The combination of increased proinsulin secretion and increased insulin clearance results in a reduced steady state of circulating insulin in hyperthyroidism (Figure 2).

In addition to these secretion and clearance alterations, overweight hyperthyroid women lose their first-phase response to hyperglycaemia, demonstrating lower insulin peaks after intravenous glucose challenge, similar to what is observed in patients with early T2DM.²³

Finally, an interesting aspect of our case report may be the possible effect, certainly also associated with changes related to the lifestyle of our patient, of Triac treatment on glucose metabolism. Although there is to date no scientific elucidation of the action of Triac on the insulinaemic metabolism, it is known that Triac has a higher affinity for TR β 1.²⁴ However, Triac inhibits leptin secretion and expression in white and brown adipocytes, whereas insulin has the opposite effect.²⁵ In particular, leptin exerts antidiabetic actions that are independent of its regulation of body weight and food intake,²⁶ correcting diabetes

in animal models of type 1 and type 2 diabetes. In addition, long-term leptin replacement therapy seems to improve glycaemic control as well as insulin sensitivity in patients with severe insulin resistance due to lipodystrophy.²⁶

In conclusion, our data seem to show that some patients with RTH may develop an impaired glucose metabolism. We therefore suggest that glucose metabolism should be investigated in all patients with RTH.

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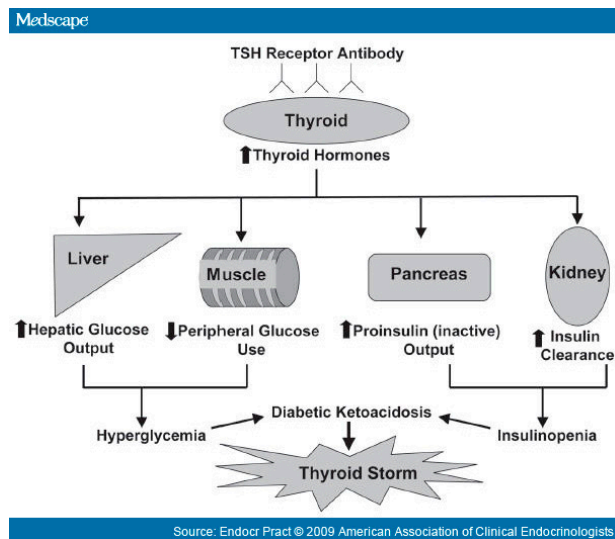


Figure 2. The effects of excess thyroid hormone on various organ systems resulting in altered glucose metabolism, potentially leading to diabetic ketoacidosis and thyroid storm. Thyroid hormone increases hepatic glucose output, decreases peripheral glucose disposal, increases inactive insulin secretion by the pancreas, and increases insulin clearance by the kidney. TSH indicates thyrotropin.

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Case report**A novel succinate dehydrogenase type B mutation in an Iranian family. Its genetic and clinical evaluation**

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ABSTRACT

Succinate Dehydrogenase-B (SDH-B) gene mutations constitute one of the most frequent forms of hereditary paragangliomas (PGL). Genetic study is advised in all cases for the evaluation of tumour behaviour, the selection of optimal management and the surveillance of the first degree relatives. There are limited data on the genetic characteristics of patients with PGLs from Middle East countries, and to our knowledge this is the first study from Iran. We present the clinical and genetic characteristics of a 29-year old woman who presented with hypertension secondary to a para-aortic PGL. She was shown to have a novel mutation in the SDH-B gene and her family was subsequently screened. We also emphasize the problems in diagnosing and treating patients in this region.

Key words: Family, Iran, Paraganglioma, Pheochromocytoma, SDH-B

INTRODUCTION

Pheochromocytomas (PCC) and paragangliomas (PGL) are neuroendocrine tumours arising from chromaffin cells of the adrenal medulla or extra-adrenal sympathetic or parasympathetic ganglia, respectively. The incidence of these tumours is approximately 2-8 per million population per year, with

extra-adrenal tumours comprising some 10-20% of the cases. Mostly, the term pheochromocytoma is used for all catecholamine-secreting tumours located either in adrenal or in extra-adrenal locations, while the term paraganglioma is used only for head-and-neck tumours originating from the parasympathetic system. Several authors, however, restrict the term PCC to specifically adrenal tumours and label all others as extra-adrenal PGLs.^{1,2} Most PGLs are located in the abdominal or pelvic region and may present with severe hypertension due to the secretion of large amounts of adrenaline or noradrena-

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line from the tumour. PGLs develop as sporadic or hereditary forms, but germline mutations are now considered to be responsible for some 30-40% of patients presenting with phaeochromocytomas and 50% of those with paragangliomas. Mutation in the succinate dehydrogenase-B gene (SDH-B), which encodes for subunit B of the complex enzyme, has been shown to be one of the most prevalent forms of the hereditary variants of PGL, with a high rate of malignant transformation.^{3,4} There are only very limited reports on hereditary forms of PGLs in countries of the Middle East, and this is the first study addressing the clinical and genetic characteristics of an Iranian family harbouring a novel SDH-B mutation.

Case presentation

A 29-year old woman was referred to Taleghani General Hospital, Tehran, Iran, in 2011 because of persistent headache and palpitations for the previous 6 years which had recently deteriorated. Her past history was positive for a single episode of severe arterial hypertension (blood pressure 270/110mmHg) that was incidentally discovered during maxillo-facial surgery 5 years previously. The surgery was cancelled and evaluation of the patient revealed high 24h urinary metanephrine (800 μ g/24h, normal <440 μ g/day) and 24h VMA (17mg/day, normal <12mg/day) excretion, but with reported normal abdominal MRI and CT scans. The patient refused further evaluation and was discharged on combined adrenoceptor blockade with prazosin and atenolol. Five months prior to admission she was seen at another hospital for increasing headache and palpitations: an abdominal CT scan showed a para-aortic tumour. She underwent a percutaneous needle biopsy and the mass was diagnosed as a paraganglioma. She was referred to our hospital for further evaluation.

On physical examination her blood pressure was 170/110mmHg and heart rate was 110 beats per minute: the rest of the physical examination was negative. Routine laboratory evaluations were normal except for mild normocytic anaemia. Laboratory evaluation revealed high 24h urine VMA (20.3mg/day, normal <12) and normetanephrine (3000 μ g/day, normal <440). Abdominal CT and an MRI showed a lobulated 3cm round mass in the para-aortic region (Figure 1, black arrows).

After appropriate management of her hypertension with modification to her α - and β -adrenoceptor blockade, she underwent surgery and a solitary 4 by 3.5 by 2.5 cm mass located in the para-aortic region with an associated enlarged lymph node were removed. Histopathologic evaluation revealed a well-encapsulated tumour with a reddish-brown fleshy cut surface. The cells were bound with a delicate highly vascular fibrous stroma and arranged in well-defined nests or *zellballen* pattern. The tumoural cells had finely granular cytoplasm, round nuclei and prominent nucleoli (Figure 2A). Immunohistochemistry evaluation revealed positive staining for chromogranin A in the tumour cells, positive S100 in the sustentacular cells, a Ki-67 of 1% and negative staining for cytokeratin in the tumour cells (Figure 2B). The lymph node was free of tumour. The histopathologic diagnosis was of a paraganglioma.

Genetic analysis in Oxford on DNA samples prepared from the peripheral white cells revealed a heterozygous 3-nucleotide deletion, c.596-598 delACT (p.Tyr199del) in exon 6.

The patient's post-operative course was uneventful. Her blood pressure normalised after surgery and the patient was discharged from hospital after 5 days. On her last examination, one year after surgery, she had mild diastolic hypertension (130/100 mmHg) on atenolol 50 mg and hydrochlorothiazide 25 mg/day without any signs or symptoms of catecholamine excess, and her urinary metanephrine excretion had normalised.



Figure 1. Abdominal CT scan showing the right sided para aortic tumour.

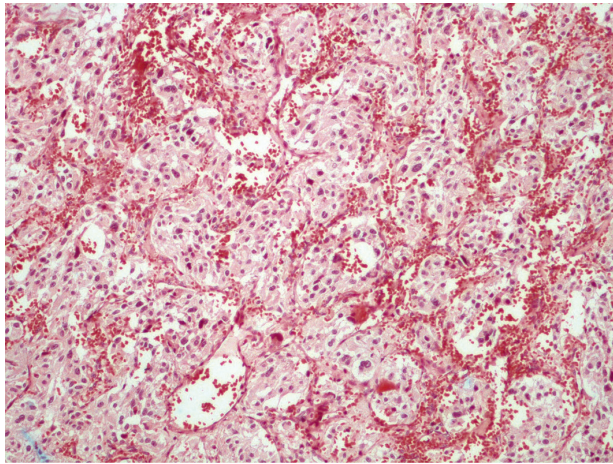


Figure 2A. Characteristic nesting pattern of tumour cells (H&E X100).

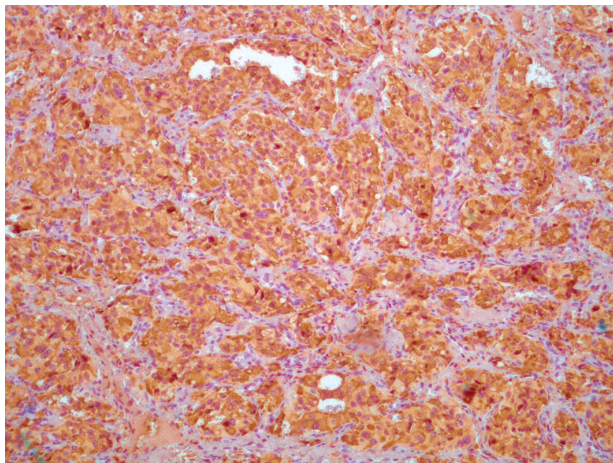


Figure 2B. Nests of tumoural cells strongly immunoreactive to chromogranin A (immunoperoxidase stain for chromogranin AX100).

The patient was the offspring of a consanguineous marriage, her parents being cousins on the maternal side. First-degree family members including her father, mother, three brothers and three sisters were also assessed. Both parents were hypertensive, 160/90 mmHg and 170/120 mmHg, but had no symptoms suggestive of catecholamine excess; no other family member agreed to clinical examination and none to biochemical assessment. However, all agreed to genetic analysis and the same mutation was found in the mother and all the siblings except one of the sisters (Figure 3).

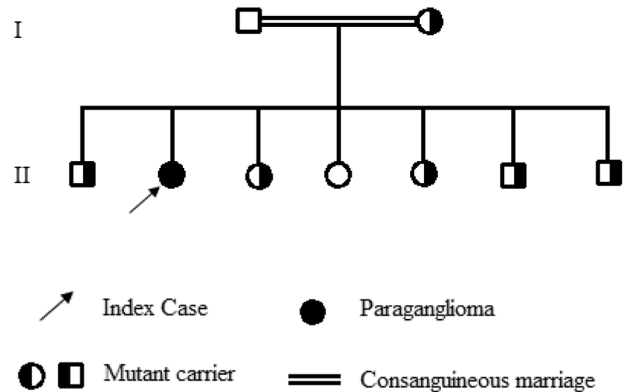


Figure 3. Pedigree of the family.

DISCUSSION

Extra-adrenal tumours comprise 10 to 20% of cases of PCC/PGLs and are more likely to be malignant in comparison to intra-adrenal tumours, 20-50% versus 10-15%. Recent figures suggest that some 40-50% of patients with PGLs suffer from a hereditary form of the disease, but this will depend on the numbers of genes and also the ethnic and geographic backgrounds of the population studied.⁵⁻⁷ Currently, there are known to be hereditary forms of the disease that are due to mutations of principally 11 genes: *NFI*, *VHL*, *RET*, *SDH (A, B, C, D)*, *SDHAF2*, *TMEM127*, *HIF2A* and *MAX*.⁸⁻¹⁰

Succinate Dehydrogenase (SDH) is a tetrameric protein that is attached to the inner mitochondrial membrane and has 4 subunits (A, B, C and D) which are necessary for the functional activity of the protein. The enzyme catalyses succinate to fumarate in the Krebs cycle and contributes to electron transport; its main function is to link the products of the Krebs cycle to the electron transport system in order to complete glucose metabolism and ATP synthesis. The SDH-B gene is located on chromosome 1, spans 35.4 Kb, contains 8 exons and encodes for a 280 amino-acid protein.⁷

Mutations in the SDH-B gene are associated with the PGL4 syndrome. While the precise mechanism of tumorigenesis in carriers of the SDH-B mutation is unclear, it seems that increases in succinate lead to activation of hypoxia-inducible factors (HIF) due to a block of their ubiquitination: this then leads to deregulation of target genes implicated in cell prolifer-

eration, apoptosis and angiogenesis that finally results in malignant transformation.¹¹⁻¹³ SDH-B gene mutation as the pathogenetic mechanism in the development of hereditary PGLs was originally described by Astuti et al in 2001;¹⁴ the following year, 2002, Neumann et al demonstrated that 66 out of 271 (24%) of patients from Germany and Poland who were classified as sporadic and non-syndromic PCC/PGL indeed had hereditary forms of the disease and had a mutation in one of their corresponding genes. According to their study, SDH-B mutation positive carriers comprised 5.4% of the patients.³ Subsequently, Manelli et al showed that 32.1% of their group of 501 patients had a hereditary form of the disease. They also showed that prevalence of the hereditary form varies from 11% to 100% in different subgroups according to clinical characteristics of patients such as age, location of the tumour, the number of tumours, familial clustering or association of syndromic manifestation. SDH-B mutation carriers comprised 4.8% of their patients.⁴ The study conducted by a French group on 445 patients with extra-adrenal PGLs demonstrated that 54% of their patients were SDH mutation carriers, those with SDH-B mutation comprising 22% of the cases.¹⁵

More than 90% of mutations are either a point mutation or small deletions or insertions in exons 1-7. Large deletions are found in less than 10% of the cases. No mutation has yet been found in exon 8. There does not appear to be a clear genotype-phenotype correlation.²

SDH-B mutation carriers may present with either thoraco-abdomino-pelvic (TAP) or head-and-neck tumours (HNPGs). The most frequent form is a solitary, catecholamine-secreting TAP tumour. The median age at diagnosis is 34 years, but children less than 10 years may also be affected. Of SDH-B mutation carriers, 29% develop the tumour by age 30 years and 45% by age 40 years;¹⁶ 10% of cases are hormonally silent, which may be due an absence of tyrosine hydroxylase, the rate-limiting enzyme of catecholamine synthesis.¹⁷ According to the study by Ricketts et al on 295 patients with SDH-B mutation, the risks of TAP and HNPG tumour development were 52% and 29%, respectively, by age 60 years.¹⁸

One specific feature of SDH-B mutation carri-

ers is their tendency to develop a malignant form of PGL, while they may also develop tumours of other organs such as renal cell carcinoma^{19,21} or gastrointestinal stromal tumours.²⁰ Neumann et al reported that 34% of their patients with an SDH-B mutation had malignant PGLs; they also reported two cases of renal cell carcinoma and one case of papillary thyroid carcinoma among their patients.²¹

Genetic study is essential for risk stratification, selection of therapeutic modalities, assessment of tumour behaviour and also the evaluation of first-degree relatives.^{2,22} Currently, most large facilities specialising in the diagnosis and treatment of these patients will undertake multiple gene screening, although where facilities are limited the clinical characteristics of the patients such as age, family history, presence or absence of hypertension, localisation and solitary or multiple nature of the tumour can be helpful in the prioritisation of genetic screening.^{1,15}

With regard to the current family, the mutation c.596-598 Del ACT (p.Tyr199del) is novel and has not previously been reported. As shown in Figure 3, the mother is involved, as are all of the siblings other than one sister. Evaluation of the family members such as collection of urine and imaging could not be completed because they lived in a remote area and were not willing to pursue the study, so we cannot be certain if there have been other cases with tumour among the family members, especially since two of them were hypertensive. This demonstrates the problems in screening and identifying patients with genetic disorders in societies where the economy is limited and specialist, clinicians and infrastructure are of limited availability.

The p.Tyr199 resides within a highly conserved iron-sulphur binding domain (4Fe-4S), which plays a crucial role in the mitochondrial electron transport system. Residue 199 is highly conserved across species and is in a highly conserved domain of the protein. Recently, an in-frame deletion of a different amino-acid in this domain, p.Ser195del, has been reported in a patient with a PGL and in his affected son.²³ Based on these data, we believe that this mutation is highly likely to be pathogenic. With respect to the young age of the family members and low penetrance rate of SDH-B gene mutation, which is about 25-40%, pro-

longed follow-up of the patient and indeed the family is needed for accurate interpretation.²⁴ In general, in mutation-positive subjects, regular measurement of plasma and/or urinary metanephrines, at 6-monthly or yearly intervals, is the recommended follow-up plus annual MRI of neck, thorax and abdomen and radionuclide scanning. Radilabelled ¹²³I-MIBG is relevant therapeutically as patients can be selected for ¹³¹I-MIBG therapy, but the sensitivity is not high, especially for metastatic tumours.²⁵ FDG-PET is preferable for sensitive detection because, as the biochemical derangements render these tumours highly inefficient in their use of glucose, they thus show a high degree of glucose uptake. Other radionuclides can be used for PET scanning but are of very limited availability.²⁵

In conclusion, in this paper we present for the first time the clinical and genetic characteristics of a family with a novel SDH-B mutation from Iran.

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Case report

Rare case of Cushing's disease due to double ACTH-producing adenomas, one located in the pituitary gland and one into the stalk

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ABSTRACT

We describe a patient affected by Cushing's disease due to the presence of double pituitary adenomas, one located within the anterior pituitary and the other in the infundibulum associated with a remnant of Rathke's pouch. Cure was achieved only after the infundibulum lesion was surgically removed. **CASE REPORT:** A 38-year-old female presented with unexplained weight gain, hirsutism, amenorrhea, asthenia, recurrent cutaneous micotic infections and alopecia. Hormonal studies indicated Cushing's disease and MRI showed an enlarged pituitary gland with a marked and homogeneous enhancement after injection of gadolinium and an enlarged infundibulum with a maximum diameter of 8 mm. As a venous sampling of the inferior petrosal sinus after 10 µg iv desmopressin stimulation revealed a central to peripheral ACTH ratio consistent with a pituitary ACTH-secreting tumor, transphenoidal explorative surgery was performed and a 4-mm pituitary adenoma immunopositive for ACTH was disclosed and removed. Since postoperative hormonal evaluation showed persistent hypercortisolism, confirmed by dynamic tests, the patient again underwent surgery by transcranial access and the infundibulum mass was removed. Histology and immunochemistry were consistent with an ACTH-secreting adenoma. A few months after the second operation, cushingoid features were significantly reverted and symptoms improved. **CONCLUSION:** Although Cushing's patients bearing multiple adenomas have already been documented, the presence of two adenomas both immunohistochemically positive for ACTH is a very rare cause of Cushing's disease and this is the first report of a case of double ACTH-producing adenomas, one located in the pituitary gland and one attached to the stalk.

Key words: Cushing's disease, Double ACTH-producing adenomas, Magnetic resonance imaging, Pituitary stalk

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INTRODUCTION

Multiple pituitary adenomas are defined as simultaneous, morphologically or immunocytologically, distinct tumors¹ and are classified as *contiguous* and *clearly separated double tumors*. These tumors are rarely detected among surgical specimens (0.004-0.01%), the most frequent clinical manifestation in the reported patients being acromegaly.² The diagnosis of double pituitary adenomas is, in most cases, based on histopathologic examination, since preoperative evaluation and MRI are able to identify multiple adenomas in only a few cases. These adenomas can belong to the same or to different hormone groups, making different combinations possible: ACTH-PRL secreting tumors appear to be the most common, followed by GH-non functioning adenomas and GH-PRL adenomas.² Herein we describe, to the best of our knowledge, the first case in the recent literature of a middle-aged woman with Cushing's disease due to double adenomas, one located within the anterior pituitary and the other in the infundibulum.

CASE PRESENTATION

A 38-year-old woman was referred to our Endocrinology Unit as she had shown over the last three years progressive unexplained weight gain (>25 Kg bw) and hirsutism. Secondary amenorrhea had been present for six months. A polycystic ovary syndrome had been previously diagnosed and she was enrolled in a weight reduction program, without, however, any improvement. Moreover, one year before she developed typical hypercortisolemic clinical features including weight gain, depression, alopecia, profound asthenia, recurrent cutaneous micotic infections. She denied current use of alcohol. She did not suffer from other multiple endocrine neoplasia (MEN1) or Carney complex clinical manifestations.

On physical examination, vital signs were normal, weight was 90 kg and height was 169 cm (BMI 31.5 kg/m²). Other clinical signs, e.g. moon face with mild plethora, truncal obesity, alopecia, thin skin with many bruises and abdominal striae, suggested the presence of Cushing's syndrome.

Her morning plasma ACTH and serum cortisol levels were 40-70 pg/ml and 497-821 nmol/L, respec-

tively. Both overnight low dose (1 mg) dexamethasone (DXM) and low dose (2 mg for 2 days) DXM test showed an inadequate suppression of her serum cortisol (390 nmol/L/dl and 355 nmol/L, respectively; normal suppression <50 nmol/L). Hypercortisolism was further confirmed by elevated urinary free cortisol (407-960 nmol/24h; normal range 35-275 nmol/24h). High dose dexamethasone suppression test (8+8 mg for 2 days) revealed suppression of both serum cortisol (from 868 to 108 nmol/L) and UFC (urinal free cortisol) levels (from 960 to 59 nmol/24h). A corticotropin releasing hormone test (CRH; 1 mcg/kg bw) revealed greatly increased levels of both cortisol and ACTH (by +76% and +92%, respectively, over baseline).

A positive response to ACTH (from 43 to 112 pg/ml, +160%) and cortisol levels (from 507 to 757 nmol/L, +49%) was found also after desmopressin stimulation (DDAVP; 10 mcg i.v.).

Magnetic resonance imaging (MRI) of the hypothalamic-pituitary region revealed an enlarged pituitary gland with a marked and homogeneous enhancement after gadolinium i.v. Indeed, the infundibulum was enlarged with a maximum diameter of 8 mm and impinged on the optic chiasm (Figure 1). Visual field evaluation revealed a minimal peripheral loss. As MRI did not identify a typical intrapituitary tumor, the patient underwent bilateral inferior petrosal sinus sampling during desmopressin stimulation. The central to peripheral ratio of ACTH was 6.17 on the

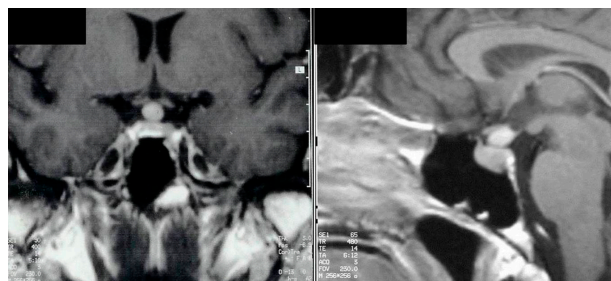


Figure 1. On the left, coronal T1-weighted, contrast-enhanced MR imaging showing a rounded mass located at the level of the pituitary stalk, over the pituitary gland, that appears intact; on the right, sagittal T1-weighted, contrast-enhanced MR imaging confirming the presence of a round mass located at the level of the pituitary stalk, over the pituitary gland, which in this plane seems enlarged in volume.

left side after desmopressin, suggesting a possible corticotropinoma on that side. No pituitary hormones deficiencies were present.

The patient underwent transsphenoidal surgery to search for an intrapituitary microadenoma. After careful exploration of the pituitary gland, a grey soft tumor was identified and completely removed. The surgical specimen consisted of 4 mm of tissue and microscopically showed fragments of pituitary adenoma. The adenoma cells were immunoreactive for ACTH (Figure 2A) and immunonegative for LH, FSH, GH, PRL and chromogranin A.

Despite these findings, postoperative hormonal evaluation showed persistent hypercortisolism.

Thereafter, based on the preoperative MRI findings, we strongly suspected that the lesion observed in the enlarged infundibulum might be a further source of ACTH secretion. Thus, one month later the patient underwent a second operation in order to remove the infundibulum lesion by transcranial access. Histological examination revealed remnants of Rathke's pouch associated with a pituitary microadenoma. Immunohistochemical staining again

demonstrated a positive reaction for ACTH (Figure 2B) and negative ones for LH, FSH, PRL, GH and chromogranin A.

Soon after the operation, ACTH and cortisol levels decreased to 4-7 pg/ml and 11-57 nmol/L, respectively, (Table 1). In the subsequent days, weakness, fatigue, hypotension and skin pallor, indicative of a hypoadrenal condition, progressively ensued. As signs of central hypopituitarism (LH <0.1 mIU/ml, FSH <0.1 mIU/ml, TSH 0.01 μ UI/ml) appeared, hormonal replacement therapy was initiated, including cortisone acetate, l-thyroxine, desmopressin and estrogenic therapy.

DISCUSSION

We herein describe a patient with Cushing's disease due to the presence of two ACTH-secreting adenomas, one located within the anterior pituitary and the other in the infundibulum.

Very recently, an incidence of 2.6% of double pituitary adenomas in an unselected surgical series of 117 patients undergoing surgery for pituitary adenoma was reported.³ Previously, Kontogeorgos et al⁴

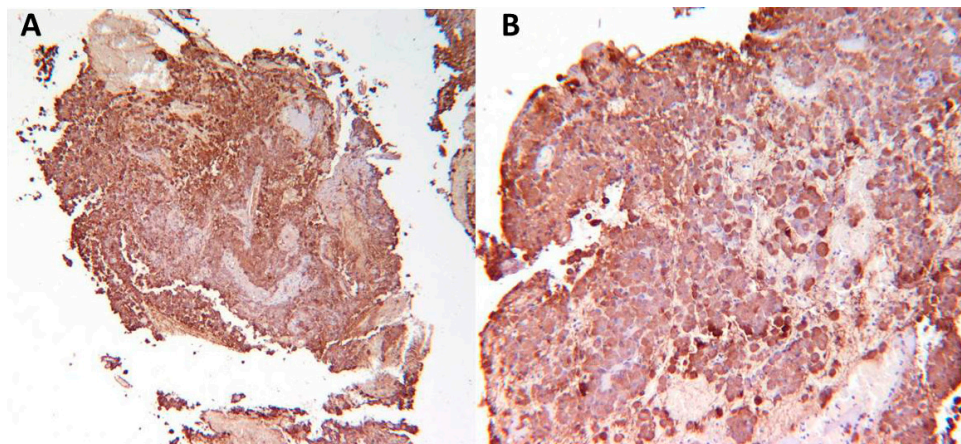


Figure 2. A: Intrapituitary microadenoma: adenomatous cells with positive immunohistochemistry for ACTH (4 \times); B: Adenoma attached to the stalk: adenomatous cells with positive immunostaining for ACTH (10 \times).

Table 1. Serum cortisol and plasma ACTH levels before and after surgery

		Before surgery	After 1 st surgical operation	After 2 nd surgical operation	Normal range
Cortisol (nmol/l)	Basal	503	420	<28	140-700
	After DXM 1mg	390	-	<28	<50
ACTH (pg/ml)		43	71	7.7	10-90

showed that 8.9% of adenomas found at autopsy were multiple and, overall, 0.9% of autopsies detected the presence of multiple pituitary adenomas. It has also been emphasized that these tumors may occasionally co-exist with other brain tumors^{1,5-9} and that they may be distinguished as *contiguous* and *clearly separated double tumors*.

In autopsy specimens of double adenomas, prolactin was the most common immunoreactive secreted hormone,^{4,10} while most of the double adenomas in surgical series were a combination of GH-secreting and clinically non-functioning adenomas.^{1,7,11-13} In fact, acromegaly has been shown to be the most frequent clinical feature in surgical series of double pituitary adenomas.^{1,5,7} Patients with somatotropinoma plus gonadotroph adenoma and cases of prolactinoma associated with GH-secreting adenoma have also been described.^{1,7,14} It is of note that multiple (as many as three) intrapituitary non-functioning adenomas have additionally been documented.¹⁵

By contrast, immunostaining for adrenocorticotrophic hormone in surgically resected double adenomas have rarely been described and these corticotroph adenomas were either "silent" or clinically active. In any case, isolated cases of Cushing's disease patients with double^{4,6,7,16} and also triple¹⁷ adenomas have been reported.

As far as double adenomas are concerned, ACTH-secreting pituitary adenomas have been described together with silent prolactinomas in two cases,^{16,17} with active PRL-secreting adenomas in four cases,^{1,2,6,16} with a somatotropinoma associated with acromegaly in one patient⁶ and with a silent FSH-secreting adenoma in another one.¹⁸ Only one case of double pituitary adenomas ACTH-secreting has been reported recently in the literature.¹⁹

Owing to the rare occurrence of double pituitary adenomas, their pathogenesis is not known, but several possible mechanisms may be considered. One is a multicentric origin in the same pituitary, i.e. real double adenoma. The cause of multicentric adenomas might include incidental occurrence, promotion of the second adenoma growth through autocrine/paracrine pathways and a common origin. For example, studies on transgenic mice reported that several pituitary-driven growth factors can induce pituitary hyperplasia.²⁰

Some authors suggested that one adenoma can induce the formation of another, mainly in cases of GH-secreting adenomas which release factors that may promote the proliferation of a secondary adenoma.^{1,7} Anyway, the role of pituitary and extrapituitary factors in inducing clonal expansion of genetically altered cells would be considered in the occurrence of multiple adenomas. In our patient, the existence of multicentric adenomas would be more plausible because the co-existing adenomas were completely separated from each other. In this context, the origin of the adenoma located within the stalk may be explained as a consequence of corticotroph basophilic invasion from the residual intermediate lobe into the posterior lobe. Starting from young adulthood, some corticotrophs in the zona intermedia proliferate into the posterior pituitary lobe and become more prominent with aging. A basophilic invasion has also been implicated as the possible origin of the extremely rare pituitary ACTH secreting adenomas arising from within the posterior lobe.^{21,22}

A poor surgical outcome has been reported in patients with double adenoma in whom the noncausative lesion was removed during the first operation. In the present case, hormonal data soon after surgery indicated the persistence of hypercortisolism and led the neurosurgeon to remove the lesion suspected at preoperative MR imaging of an enlarged infundibulum. The early occurrence of hypoadrenalism in the postoperative days indicated that a second corticotropinoma was resected: in fact, both tumors stained for ACTH on immunohistochemistry.

It is noteworthy in this regard that ACTH-secreting adenomas originating in, or extending into, the pituitary stalk have been previously observed.²³ These observations, together with the present case of double ACTH-producing adenomas, one in the anterior pituitary and the other attached to the pituitary stalk, once again emphasize that double ACTH-secreting adenomas may occur and that they need careful surgical management to recognize multiple lesions and to achieve a clinical resolution of Cushing's disease. On the other hand, the normalization of hormone hypersecretion cannot be achieved when the co-existing hypersecreting tumor is missed during surgery. In our case, the preoperative MR imaging of an enlarged infundibulum enabled us to discover,

after the surgical failure, a second ACTH-secreting adenoma associated with a remnant of Rathke's pouch. To our knowledge, this is the first case of double ACTH-producing adenomas, one located in the anterior pituitary and the other attached to the pituitary stalk, reported in the literature.

DISCLOSURE

The authors have no financial conflict of interest.

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Historical Note

Gender identity disputed in the court of justice: a story of female to male sexual transformation in the hellenistic period, described by Diodorus Siculus

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ABSTRACT

Cases of sexual reassignment in Greco-Roman antiquity, presenting as a pubertal female to male gender transformation, are described in the "classical" literature. Textual evidence concerning a case of androgynism, garnered by Diodorus Siculus, among other similar accounts, as an odd story of gender dispute in a court of justice, is provided in the present study. A medical interpretation of the data pertaining to this case has been attempted and is herein reported. The spontaneous virilization and post-pubertal gender inversion of the specific individual appears to have been caused by a defect either in 5 α -reductase type 2 or in 17 β hydroxysteroid dehydrogenase genes and consequent deficient enzymatic activity.

Key words: Ambiguous genitalia, Androgynism, Diodorus Siculus, Disorders of sexual differentiation, Heterosexual puberty, 5 α -reductase deficiency, 17 β HSD deficiency

A. LITERAL DOCUMENTATION OF THE HERAIS STORY: A CASE OF FEMALE TO MALE SEXUAL TRANSFORMATION DESCRIBED BY DIODORUS SICULUS

Diodorus Siculus (Διόδωρος Σικελιώτης, Diodoros Sikeliotes) was a Greek historian and writer, born around 80 B.C. at the foot of the volcano of

Etna (Aetna) in Agyrium (today Agira) in Sicily. His English translator (1933), Charles Henry Oldfather, remarks on the "striking coincidence" that one of only two known Greek inscriptions from Agyrium (I.G. XIV, 588) is the tombstone of "Diodorus, the son of Apollonius".¹ He became famous for his monumental universal history, the "Bibliotheca Historica"¹⁻³ (Historical Library) thought to have been written between 60 and 30 B.C. During those 30 years he was engaged in long-distance travels in order to personally collect information on different nations and tribes to be included in his history. This colossal work consisted of 40 books of which fewer than half

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have survived to the present. Fragments of the lost books are preserved in the Library of the Patriarch of Constantinople Photius (858/67-878/86 AD) and as excerpts in works by Constantine Porphyrogenitus. In this enormous epic history, Diodorus sought to incorporate all historical events from the time before the Trojan War until his own era, with special focus on the time of Alexander the Great and his successors. He decided to present his work under the name of "Bibliotheca" in recognition of the fact that he was assembling a composite work deriving from many sources. In the centuries to come, the "Bibliotheca Historica" enjoyed immense reputation and served as a reliable source for a great number of savants in the fields of philosophy, religion and history. It is therefore of particular importance that Diodorus included in his work, among events of such a huge historical significance as the Persian Wars and the decisive battles of Alexander the Great, a testimony of cases of androgynism noted in his era.

In ancient culture, humans possessing both sexes were condemned to extermination as they were regarded as miscreations and evil omens arousing terror.⁴ Being a rationalist, Diodorus, who lived in the age of Caesar, adopted a humanitarian view of the world, interpreting androgynous creatures as mere faults of nature.¹ By including such cases in his historical work, he endeavoured to familiarize his readers with such events thus eliminating the feeling of dread at their appearance. The same attitude was also followed by other prominent historians and philosophers of his era, including Plinius the Elder,⁵ Phlegon⁵ and Titus Livius.⁶

Among the cases of androgynism collected by Diodorus, the story of Herais (Ἡραΐς) is of especial interest, the reason for this being that, to our knowledge, this is the only description of a legal dispute over the gender of a person with ambiguous genitalia ever reported throughout ancient history.

The dating of the event is close to 145 B.C. and, in brief, the sequence of events was the following:

"In a city of Arabia, called Aves, lived a man of Macedonian origin, named Diophantus. He was married to a native woman and they had a daughter named Herais. When Herais was at the proper age, her father married her to a man named Samiades.

Samiades lived with his wife for a year and then went off on a long journey. During his absence, Herais developed a strange illness, characterized by intense inflammation at the base of her abdomen. As the swelling worsened and was also complicated by high fever, the physicians suspected that an ulceration had taken place at the mouth of the uterus. They applied remedies which would reduce the inflammation but on the seventh day, the surface of the swelling cracked and a penis and two testicles appeared in Herais' groin.

When the rupture occurred, with its sequel, neither her physician nor any other visitors were present, but only her mother and two maidservants. Although astonished by this strange phenomenon, the women provided Herais proper care and withheld the truth. Herais, having been relieved of her "illness", continued to wear feminine attire but returned to her father's house.

When Samiades returned, he asked to see his wife. Herais was ashamed and did not dare to appear in front of her husband. Thus, Samiades dragged her father to trial, claiming his wife back. The judges decided that Herais had to follow her husband. It was only then that Herais revealed the truth: mobilizing all her courage, she took off her dress and displayed her masculinity in public, screaming and asking how could some people force a man to live with another man. All present were overcome with astonishment but accepted this unusual fact. As it is said, Herais, after revealing her male nature, exchanged her woman's apparel for the garb of a young man, changed her/his name to Diophantus and enlisted in the cavalry fighting with the king.² As for Samiades, they say that, constrained by shame for his unnatural marriage, he made his departure from life. Thus, she who was born a woman took on a man's courage and renown, while the man proved to be less strong-minded than a woman."

B. DIFFERENTIAL DIAGNOSIS OF HERAIS' CASE

Diodorus Siculus described the story of Herais, a case of sexual reassignment, namely a female to male gender role change occurring after marriage and consisting of a female to male phenotypic transition. Herais was raised as female during childhood, was married to a man and subsequently experienced

a sexual transformation. Given that marriages at that time were frequently agreed upon close to the time of puberty or soon after, the described female to male sexual transformation is in fact a case of heterosexual puberty. Indeed, Diodorus described the appearance of male external genitalia, including a penis-like structure and two testes, through an inflamed hypogastric hernia. This inflammation was probably caused by a pubertal increase in the size of the testes trapped in the narrow inguinal duct. After this event Herais completely changed his/her gender assignment, rejected femininity and strongly adhered to a reassigned masculinity that led him to completely change his social orientation: he changed his name from feminine to masculine and served as a warrior in the army of the king. We can assume, therefore, that Diodorus described a case of a Disorder of Sexual Differentiation (DSD) which, despite the intra-abdominal presence of both testes, was raised as female during childhood and presented pubertal signs of virilization, leading to heterosexual puberty.

The presence of two testes in Diodorus' description of Herais' case excludes the diagnosis of virilizing adrenal or testicular tumors, gonadal dysgenesis, true hermaphroditism and female pseudohermaphroditism.⁷ Therefore, heterosexual puberty in this particular case will have been the result of an increase in serum androgen levels, produced by testicular tissue, leading to the development of male secondary sex characteristics.

Given that heterosexual puberty has never been reported in Androgen Insensitivity Syndrome (AIS),⁸ the most likely diagnosis in cases of female to male transition is a defect in androgen synthesis. Among all enzyme deficiencies in the pathway of testosterone-DHT synthesis are only those of 17β hydroxysteroid dehydrogenase (HSD17B3), the enzyme converting androstenedione to testosterone, or 5α -reductase type 2, the enzyme converting testosterone to dihydrotestosterone (DHT). Such defects can lead to similar clinical phenotypes characterized by ambiguous genitalia appearance leading to female sex assignment at birth and heterosexual puberty.⁷

Differential diagnosis between 5α -reductase type 2 and HSD17B3 deficiencies cannot be made based on clinical phenotype. 5α -reductase type 2 converts

testosterone to DHT, the major determinant of male external genitalia formation, and is highly expressed early in gestation in testicular, scrotal and phallic tissues. This undoubtedly makes its action essential for the normal development of male primary sexual characteristics in the fetus. Therefore, lack of expression of this gene leads to decreased production of DHT and development of external genitals in the neonate, simulating those of a female, as in the case of Herais.⁹ Depending on the molecular defect, 5α -reductase deficiency may be manifested with a wide spectrum of clinical phenotypes, from milder ones that can be easily overlooked¹⁰ to more severe ones resembling Herais' case.¹¹ HSD17B3 deficiency also results in genital transformation at puberty which can be quite pronounced.

5α -reductase type 2 deficiency promotes more considerable changes during puberty, which are attributed to the accumulated testosterone and its conversion to DHT by type 1 isoenzyme. Unlike 5α -reductase type 2, type 1 is not expressed in utero, therefore contributing to postnatal sexual but not to fetal differentiation.¹² An age-dependent increase in the expression of the 5α -reductase type 1 gene has been reported, rendering its action measurable during puberty and for the remainder of life in peripheral tissues, such as liver and kidney, thus compensating for 5α -reductase type 2 deficiency.

Heterosexual puberty in cases of HSD17B3 deficiency is due to isoenzyme HSD17B5. Although HSD17B3 converts androstenedione to testosterone in the testes, HSD17B5 is responsible for extragonadal production of testosterone in peripheral tissues, such as placenta, prostate, adrenals and liver, while it slightly contributes to testosterone produced by the testes.¹³ Furthermore, there is an age-dependent regulatory transcription mechanism concerning HSD17B3 and HSD17B5 isoenzymes, with the former decreasing and the latter increasing with age.^{14,15}

Nevertheless, the clinical phenotype of 5α -reductase deficiency cannot be clearly distinguished from that of 17β hydroxysteroid dehydrogenase deficiency,¹² and even less so, needless to say, based on historical data. Therefore, in the absence of a definitive molecular diagnosis, Herais' case could be attributed to either a 5α -reductase or a 17β hydroxysteroid dehydrogenase

gene deficiency, with the former being more likely.

Finally, both 5 α -reductase and 17 β hydroxysteroid dehydrogenase gene deficiencies are encountered in the region of the Eastern Mediterranean. Indeed, recent molecular analysis of cases of 5 α -reductase type 2 gene deficiency revealed a high incidence of cases in the lands around the Mediterranean Sea, many of them reported in subjects of Arabian and Greek ethnic origin.¹¹ Similarly, prevalence of 17 β hydroxysteroid dehydrogenase gene deficiency is higher among Arabian and Mediterranean populations.¹⁶

In conclusion, based on the above contemporary appraisal of the case, we could attribute Herais' spontaneous virilization and post-pubertal gender inversion to molecular defects either in 5 α -reductase type 2 or in 17 β hydroxysteroid dehydrogenase gene expression and consequent deficient enzyme activity.

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Letter to the Editor**Severe hypothyroidism due to autoimmune thyroiditis in a child: a one-year follow-up**

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Dear Editor,

Autoimmune thyroiditis is considered to be the most common autoimmune condition, with a female preponderance of 2:1.^{1,2} While its overall prevalence peaks in adulthood, autoimmune thyroiditis may affect children and adolescents, particularly during early to mid-puberty.³ Hypothyroidism secondary to autoimmune thyroiditis may develop insidiously with slow and progressive physical changes that may remain unrecognized even to parents.⁴ Nevertheless, early recognition of symptoms and signs of hypothyroidism is important to prevent its negative effects on growth, metabolic function, and intellectual performance.

An eleven-year-old Caucasian boy was evaluated for poor linear growth, with normal weight gain starting at the age of six and never investigated previously. Physical examination showed short stature (height: -2.2 SDS; target height: 0.1 SDS) with overweight (body mass index: +1.7 SDS), bradycardia, sparse-brittle hair, dry and thickened skin with myxedema involving the extremities (Figure 1). The child appeared

severely hypokinetic with apathy and mildly reduced intellectual performance (low-normal IQ score of 81, assessed by Wechsler Intelligence Scale for Children, third edition-WISC III). He also reported fatigue, constipation, sleepiness, impaired school performance, and cold intolerance.

Bone age was severely delayed (6 years as assessed by the Greulich and Pyle standard). Serum thyroid-stimulating hormone (TSH) levels were remarkably increased (1,648 mIU/L) with undetectable concentrations of thyroid hormones and elevated anti-microsomal and anti-thyroid peroxidase antibodies titers (>2,040 IU/mL and >1,020 IU/mL, respectively). Thyroid ultrasound disclosed hypoechoic, inhomogeneous, and atrophic thyroid gland, confirming the diagnosis of severe hypothyroidism secondary to autoimmune thyroiditis.

Biochemical evaluation also revealed hypertransaminasemia (aspartate aminotransferase 151 U/L, alanine aminotransferase 117 U/L), dyslipidemia (total cholesterol 360 mg/dL, high-density lipoprotein cholesterol 29 mg/dL, low-density lipoprotein cholesterol 294 mg/dL, triglycerides 221 mg/dL), and rhabdomyolysis (creatinine kinase 6,883 U/L). Cerebral MRI showed a pituitary mass of 18×12×10 mm extending into the suprasellar region, compatible with pituitary hyperplasia.

Low-dose levothyroxine administration was promptly started and progressively increased [0.5 µg/Kg/die (considering ideal weight according to height) for 2 weeks followed by 0.75 µg/Kg/die for 1 month, 1 µg/Kg/die for 1 month and 1.5 µg/Kg/die for

Key words: Autoimmune thyroiditis, Childhood, Hypothyroidism, Myxedema

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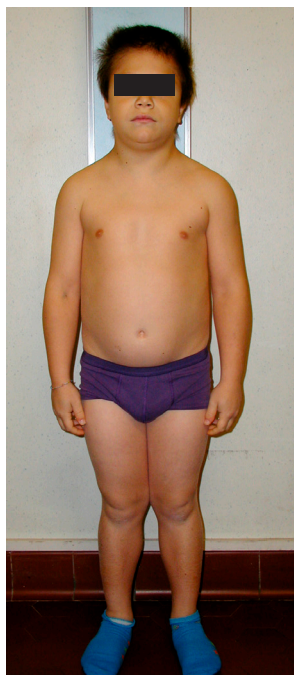


Figure 1. The patient at diagnosis with apathy, myxedema of the extremities, and sparse-brittle hair.

1 month, continuing with 2 µg/Kg/die afterwards]. Free thyroxine (fT4) and TSH levels normalized after 3.5 and 6 months of therapy, respectively. After twelve months of follow-up height improved to -1.7 SDS. The child displayed normal hair, complete resolution of myxedema, normalization of biochemical alterations and intellectual performance (IQ score 92) (Figure 2). Finally, MRI showed the resolution of pituitary hyperplasia.

The case we describe herein illustrates that forms of severe juvenile hypothyroidism are still relevant today. It seems unbelievable that nobody had recognized the progressive onset of severe hypothyroidism before the child developed severe multi-organ complications. Moreover, this case demonstrates that levothyroxine administration significantly improves the changes due to long-lasting hypothyroidism acquired during childhood.

A careful monitoring of growth is essential to avoid late diagnosis of diseases with possible long-term sequelae, such as acquired hypothyroidism. A child with poor linear growth should always be adequately investigated. Finally, the detection of a child with impaired linear growth associated with normal



Figure 2. The patient after twelve months of therapy with levothyroxine. The resolution of myxedema and the normalization of hair growth were noticeable in association with the disappearance of apathy.

weight gain should always arouse the suspicion of hypothyroidism.⁴

DISCLOSURE STATEMENT:

The authors have nothing to disclose.

PATIENT CONSENT

Obtained from the parents.

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Letter to the Editor

Genetic analysis does not confirm non-classical congenital adrenal hyperplasia in more than a third of the women followed with this diagnosis

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Dear Sir,

Non-classical congenital adrenal hyperplasia (NCCAH) due to 21-hydroxylase deficiency is one of the most frequent autosomal recessive diseases, with an estimated prevalence of 1 in 1000. It may manifest at different stages in life. In late childhood, it may present with advanced skeletal maturation, accelerated growth or premature pubarche. In adult women, the disease usually presents with a hyperandrogenic syndrome including hirsutism, acne, androgenic alopecia, anovulation, menstrual dysfunction, and infertility.^{1,2} However, not all individuals with NCCAH are symptomatic and affected males are not usually detected until a female family member is diagnosed.^{1,2}

Individuals with NCCAH may be compound het-

erozygotes and can carry severe *CYP21A2* allele mutations.² Consequently, genetic testing and counseling is highly recommended when planning pregnancy. Our aim was to assess *CYP21A2* allele mutations and review the clinical characteristics in patients with a NCCAH diagnosis attended at the center, irrespective of their need for genetic counseling at short term.

Patients with a diagnosis of NCCAH were recruited when they came for their regular follow-up visits. Medical records were examined to retrieve data about initial clinical presentation, later signs and symptoms, hormonal work-up [basal and stimulated 17-hydroxyprogesterone (17OHP)], and treatment at diagnosis and follow-up. A standardized database was used. The interpretation of the patients' hormonal work-up was performed according to Speiser PW et al: basal 17OHP ≥ 6 and < 30 nmol/L was considered abnormal, while basal or stimulated 17OHP ≥ 30 and < 300 nmol/l was considered indicative of NCCAH.³ Analysis of the *CYP21A2* gene was performed through polymerase chain reaction, sequencing, and family genetic testing when possible.⁴ Data obtained from descriptive statistic analysis is expressed as percentages and medians (interquartile range). Chi-square and Mann-Whitney tests were used for comparisons among groups. The Institutional Review Board gave special approval for the study.

Key words: 17-alpha-hydroxy-progesterone, Non-classical congenital adrenal hyperplasia

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Results are summarized in Table 1. The audit included 29 women followed at the Pediatrics (N=5), Gynecology & Obstetrics (N= 6) or Endocrinology & Nutrition (N= 18) Departments at our center. The initial clinical diagnosis was made in all of these departments, as well as in the Endocrinology Departments of

other centers from 1976 to 2010. Twenty-four patients (82.7%) were index cases. Age at first symptom was 15.8 ± 8 years and the median number of symptoms was 2 (1 to 3). The most common manifestations were hirsutism (69%) and oligomenorrhea (48.3%). Basal 17OHP at diagnosis was available in the clini-

Table 1. Summary of clinical information of women followed with a diagnosis of NCCAH

Patient characteristics:	N or mean	% or IQR
Index case	23	79.3
Age at first symptom (years, mean \pm SD)	15.8	8
Number of symptoms, median (IQR)	2	1 to 3
Most common manifestations:	N	%
Hirsutism	20	69
Oligomenorrhea	14	48.3
Infertility	4	13.8
Accelerated linear growth	4	13.8
Acne	3	10.3
Alopecia	3	10.3
Precocious puberty	3	10.3
Hormonal work-up at diagnosis:	Availability, n (%)	Concentration (nmol/l), median (IQR)
Basal 17 OH-P	22 (75.9)	14 (5.75 to 41)
ACTH stimulated 17 OH-P	8 (27.6)	142 (38.5 to 177.75)
Pharmacological treatment #	N	%
None	38	12.1
Corticosteroids:	233	74.2
Dexamethasone	188	59.8
Hydrocortisone	44	14
Prednisone	1	0.3
Other treatments, alone or a combination:	43	13.7
Oral contraceptives	27	8.5
Spironolactone	15	4.8
Flutamide	1	0.31
Genetic analysis:	N	%
No mutations	3	10.3
Heterozygous, mild mutation	8	27.6
Homozygous, mild mutation	9	31
Compound heterozygous, mild/severe	9	31
Most frequent mutations:	N ‡	%
Mild exon 7, Val281Leu	28	62
Mild exon 10, Pro453Ser	6	11.1
Deletion	5	8.8
Severe exon 10, R483P	3	6.6
Severe IVS2, 290-13 C>G	2	4.4
Severe exon 7, His282Tyr	1	2.2

IQR: Interquartile range; # in 314 follow-up visits; ‡One patient had 3 mutations.

cal records of 22 patients (75.9%) and was 14 nmol/l (5.75 to 41). Stimulated 17OHP was available in 8 patients (27.6%) and was 142 nmol/l (38.5 to 177.75). In a substantial subset of patients in follow-up for NCCAH (16, 55.2%), hormonal work-up available in the clinical records was not sufficient to establish a NCCAH diagnosis.

Genetic analysis is summarized in Table 1. Genetic testing of parents or siblings was performed in 10 patients (34.5%) to clarify the genetic diagnosis. The most common mutation (28 out of 45, 62%) was one that is known to be mild: Val281Leu. Globally, 37.9% of the genetic results did not confirm the alleged diagnosis. Other genes were not analyzed, but since 95% of cases are due to a mutation of the *CYP21A2* gene,³ it is unlikely that more than one third of the women had a mutation in a different gene.

The rate of non-confirmatory genetic analysis was not significantly different in women with a satisfactory biochemical diagnosis of NCCAH (33.1%) vs those without (50%). Department of origin, the patient's index condition, age at initial presentation, and number of signs/symptoms did not differ between women with and without a genetic diagnosis of NCCAH (data not shown). Twenty-six women (89.7%) received drug treatment for NCCAH at some point during follow-up, most frequently corticosteroids (23 women, 79.3%). Similarly, at 314 follow-up visits, 87.9% of the women were receiving drug treatment, most frequently corticosteroids (74.2%). Use of drug treatment did not differ in women with and without a genetic diagnosis of NCCAH (88.9 vs 90.9% at some point during follow-up, ns).

The main finding of this investigation is that a clinically significant subset of women followed and treated according to a diagnosis of NCCAH did not have a confirmatory genetic diagnosis. Some patients had been referred from other centers and access to lab tests at diagnosis and follow-up was limited. This could partly explain the less than optimal rate of available hormonal work-up at diagnosis. The limited sample size may have contributed to the non-significant differences in the characteristics of women with and without a genetic diagnosis of NCCAH.

All women included in this series presented symptoms suggestive of NCCAH together with at least an abnormal basal 17OHP at some point that could help understand the assignment of a NCCAH diagnosis. The heterozygote condition was not uncommon. In the literature, the clinical presentation and 17OHP values of the heterozygote carriers may overlap with that of NCCAH.² Other conditions, such as premature adrenarche in childhood or PCOS in young adults, might have been present. For example, Pall et al⁵ reported an elevation of basal 17OHP in up to 25% of patients with PCOS.

Current guidelines emphasize limiting drug treatment to symptomatic NCCAH patients and avoiding corticosteroids whenever possible, with the exception of women seeking pregnancy.³ At present we can only speculate on the potential untoward effects of prolonged treatment for a non-existing NCCAH.

The results of this audit compel us to reconsider the diagnostic and therapeutic requirements of patients coming to the outpatient clinic with an alleged diagnosis of NCCAH. The fact that a significant percentage of patients attended in different departments with a NCCAH diagnosis did not have a confirmatory genetic analysis suggests that the situation may not be uncommon.

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Letter to the Editor**The metabolic syndrome among preschool and school age children and adolescents in Crete in the first decade of the 21st century**

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Dear Sir,

In Greece as well as worldwide, studies on the prevalence of metabolic syndrome (MetS) in children/adolescents began to take place in the second half of the 20th century. It was indicated that the prevalence of MetS is mostly predicted by the presence of obesity and particularly abdominal obesity.¹ A previous Greek study showed that the prevalence of MetS was higher in overweight/obese children compared to normal weight controls.² Recently, a Greek National Epidemiological Survey found a prevalence of high abdominal obesity among children 6-12 years old, higher than that recently reported from other European countries.³ Crete, a Greek island known for its favorable health status in the 1960s, experienced a rise in the prevalence of childhood obesity between 1982 and 2002,⁴ assumed to also be accompanied by increases in MetS prevalence. To our knowledge, only one previous study has examined the prevalence of MetS among Cretan children, this carried out during the period 2001-2003.⁵ Such studies are important

from a public health perspective, since identification of MetS factors that predispose to morbidity could help public health professionals to develop more effective preventive measures. Therefore, this study aims to determine the prevalence of MetS in a school-based sample of school children and adolescents in Crete extending the above period to 2011.

This study used secondary data from overweight and obesity registries in kindergarten, elementary and junior high school children during the years 2001-2011, from different regions of Chania and Heraklion prefectures in Crete. The selected children, presented in Table 1, participated in the Clinical Preventive Medicine and Nutrition program of the School of Medicine at the University of Crete. Initially, 2968 children/adolescents were recruited, of whom 1088 agreed to undergo anthropometric and biochemical measurements (blood tests). Of the participants, 887 were boys and 993 were girls aged 3-19 years.

Waist circumference (WC) was measured to the nearest 0.1 cm with the use of a non-elastic tape, with the pupil standing, at the end of a gentle expiration after placing the measuring tape on a horizontal plane around the trunk, at the level of umbilicus midway, between the lower rib margin and the iliac crest. The age- and sex-specific WC percentiles were used for the classification of central obesity (≥ 90 th percentile).

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Table 1. Descriptive statistics of the study population

A/A	Study groups	N(%)*	Metabolic Syndrome Components	Boys	Girls	Age (years)	Year of assessment
1	Administrative region of Rouvas (students)	192 (94)	188	89	99	4-16	2001
2	Administrative region of Chania (students)	303 (68)	246	103	143	14-17	2002
3	Administrative region of Chersonissos (preschool children)	110 (63)	89	46	43	3-7	2003
4	Administrative region of Heraklion (Dilina, Tilissos - preschool children and students)	779 (67)	686	304	382	3-17.5	2003
5	Six administrative areas of the county of Chania (preschool children)	1356 (77)	574	297	277	4-7	2004
6	Administrative region of Minoa-Pediados (students)	228 (74)	97	48	49	12-19	2011
Total		2968	1880	887	993		2001-2011

*Children who participated in each study (N=total number and %=percentage).

Blood pressure was measured in the right arm while in a sitting position and after five minutes of rest. A mercury sphygmomanometer was used covering from 50 to 75% of the perimeter of the right arm. The measurement was taken twice with a two-minute interval between readings. A third measurement was taken if there was a difference of over 10 mmHg between the previous measurements. The average value of the measurements was used in analysis. Systolic (SBP) and diastolic blood pressure (DBP) were recorded. Systolic or diastolic hypertension was defined as SBP or DBP above the 95th percentile for gender, age and height.⁶

Early morning blood samples were taken after a 12-hour overnight fast. The parents as well as the children were reminded on the previous day in order to ensure compliance with fasting. Plasma glucose, total cholesterol, triglycerides, high density lipoprotein cholesterol (HDL-C) and low density lipoprotein cholesterol were measured (methods referenced in Hatzis et al).⁷ The National Cholesterol Education Program cut-off points for blood lipids were used to define dyslipidemias.⁸

The MetS was defined as the presence of ≥ 3 of the following factors among children/adolescents: impaired fasting blood glucose, hypertension, abdominal obesity, hypertriglyceridemia and low HDL-C.

The statistical analysis was conducted in SPSS

20. Descriptive statistics were exported for all variables and are presented in the accompanying tables. No extended statistical tests are presented in order not to overestimate the results that were treated as secondary data.

Table 2 shows the frequencies of MetS among children and adolescents in Crete (2001-2011). More

Table 2. Metabolic syndrome components of children and adolescents in Crete (2001-2011)

Factors	Boys N (%)	Girls N (%)	Total N (%)
0	472 (53.2)	505 (50.8)	977 (52.0)
1	287 (32.4)	364 (36.7)	651 (34.6)
2	95 (10.7)	100 (10.1)	195 (10.4)
≥ 3 (MetS)	33 (3.7)	24 (2.4)	57 (3.0)
Total	887	993	1880

N = total number; % = percentage.

Metabolic syndrome components are described as follows:

- 1) Hyperglycemia: blood sugar serum ≥ 100 mg/l
- 2) Hypertension: systolic blood pressure $> 95^{\text{th}}$ percentile (gender-age) or diastolic blood pressure $> 95^{\text{th}}$ percentile (gender-age) and 16.5 years $\geq 130/85$ mmHg
- 3) Central obesity: waist circumference $> 90^{\text{th}}$ percentile and > 16.5 years ≥ 94 cm and 80 cm for boys and girls, respectively
- 4) Hypertriglyceridemia: triglycerides ≥ 100 mg/dl and > 16.5 years ≥ 150 mg/dl
- 5) HDL-cholesterol: low $< 10^{\text{th}}$ percentile (gender-age) and > 16.5 years < 40 mg/dl

* For boys and girls aged ≥ 16.5 years, the criteria for adult men and women were used, respectively.

than half of the children and adolescents were found to have no MetS risk factor (52%: 53.2% boys; 50.8% girls), 34.6% of the children/adolescents were found to have only one risk factor (32.4% boys; 36.7% girls), while 10.4% were found to have two risk factors for MetS (10.7% boys; 10.1% girls). Of the total sample, 48% had >1 risk factors for MetS (46.8% boys versus 49.2% girls). Finally, 3% of the children/adolescents were identified as having three or more risk factors for MetS (3.7% boys; 2.4% girls).

In a previous study among elementary school children of north-east Attica, the prevalence of MetS was estimated at 3.6%.⁹ From worldwide surveys on the prevalence of MetS in children and adolescents, it is estimated that the prevalence of MetS is around 4-5%,^{10,11} and this prevalence increases with obesity. Cook S. et al¹² found that 6.8% of overweight and 28.7% of obese children and adolescents had MetS, while Duncan et al¹³ found higher rates of MetS among overweight (7.1%) and obese (32.1%) children/adolescents. As concerns children aged 2 to 20 years, a previous study found that in those with a BMI over the 95th percentile, the prevalence of MetS reached 40%.¹⁴ Another study conducted by Cruz et al¹⁵ showed that the prevalence of MetS syndrome was 6.8% among overweight children, while it was 28.7% among obese children.

The presence of MetS in childhood is crucial because there is evidence that the syndrome in childhood could predict cardiovascular disease in adulthood. Among children, and especially among those with MetS risk factors, adoption of the traditional Mediterranean diet, which is rich in antioxidant and anti-inflammatory substances, engaging in regular exercise and participation in long-term educational intervention programs is the only way to prevent and reduce those factors that affect and continuously increase morbidity and mortality from chronic diseases.

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